

H.Evaluation

Selection Criteria

a) *Financial capacity*: In line with the Financial Regulation and the Rules for Participation. At the proposal stage, coordinators will be invited to complete a self-assessment using an on-line tool.

b) *Operational capacity*: As a distinct operation, carried out during the evaluation of the award criterion ‘Quality and efficiency of the implementation’, experts will indicate whether the participants meet the selection criterion related to operational capacity, to carry out the proposed work, based on the competence and experience of the individual participant(s).

Award criteria

Experts will evaluate on the basis of the criteria ‘excellence’, ‘impact’ and ‘quality and efficiency of the implementation’. The aspects to be considered in each case depend on the types of action as set out in the table below, unless stated otherwise in the call conditions. (The provisions applying to calls under Marie Skłodowska –Curie are set out under that chapter of the work programme).

Type of action	Excellence	Impact	Quality and efficiency of the implementation
All types of action	<p>The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the work programme.</p> <p>Clarity and pertinence of the objectives;</p> <p>Credibility of the proposed approach.</p>	<p>The extent to which the outputs of the project should contribute at the European and/or International level to:</p> <p>The expected impacts listed in the work programme under the relevant topic</p>	<p>The following aspects will be taken into account:</p> <p>Coherence and effectiveness of the work plan, including appropriateness of the allocation of tasks and resources;</p> <p>Complementarity of the participants within the consortium (when relevant);</p> <p>Appropriateness of the management structures and procedures, including risk and innovation management.</p>

General Annexes

<p>Research innovation; Innovation; instrument</p> <p>and SME</p>	<p>Soundness of the concept, including trans-disciplinary considerations, where relevant;</p> <p>Extent that proposed work is ambitious, has innovation potential, and is beyond the state of the art (e.g. ground-breaking objectives, novel concepts and approaches)</p>	<p>Enhancing innovation capacity and integration of new knowledge;</p> <p>Strengthening the competitiveness and growth of companies by developing innovations meeting the needs of European and global markets; and, where relevant, by delivering such innovations to the markets;</p> <p>Any other environmental and socially important impacts (not already covered above);</p> <p>Effectiveness of the proposed measures to exploit and disseminate the project results (including management of IPR), to communicate the project, and to manage research data where relevant.</p>	
<p>Coordination & support actions</p>	<p>Soundness of the concept;</p> <p>Quality of the proposed coordination and/or support measures.</p>	<p>Effectiveness of the proposed measures to exploit and disseminate the project results (including management of IPR), to communicate the project, and to manage research data where relevant.</p>	
<p>ERA-NET Cofund</p>	<p>Level of ambition in the collaboration and commitment of the participants in the proposed ERA-NET action to pool national resources and coordinate their national/regional research programmes.</p>	<p>Achievement of critical mass for the funding of trans-national projects by pooling of national/regional resources and contribution to establishing and strengthening a durable cooperation between the partners and their national/regional research programmes;</p> <p>Effectiveness of the proposed measures to exploit and disseminate the project results and to communicate the project.</p>	

<p>Pre-commercial procurement Cofund/ Public procurement of innovative solutions Cofund</p>	<p>Progress beyond the state of the art in terms of the degree of innovation needed to satisfy the procurement need.</p>	<p>Strengthening the competitiveness and growth of companies by developing innovations meeting the needs of European and global procurement markets</p> <p>Effectiveness of the proposed measures to exploit and disseminate the project results (including management of IPR), to communicate the project.</p> <p>More forward-looking concerted procurement approaches that reduce fragmentation of demand for innovative solutions</p>	
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Note

Unless otherwise specified in the call conditions:

- (a) Evaluation scores will be awarded for the criteria, and not for the different aspects listed in the above table. For full proposals, each criterion will be scored out of 5. The threshold for individual criteria will be 3. The overall threshold, applying to the sum of the three individual scores, will be 10.
- (b) For Innovation actions and the SME instrument (phases 1 and 2), to determine the ranking, the score for the criterion ‘impact’ will be given a weight of 1.5.
- (c) For the evaluation of first-stage proposals under a two-stage submission procedure, only the criteria ‘excellence’ and ‘impact’ will be evaluated. Within these criteria, only the aspects in bold will be considered. The threshold for both individual criteria will be 4.

Priority order for proposals with the same score

Unless the call conditions indicate otherwise, the following method will be applied.

As part of the evaluation by independent experts, a panel review will recommend one or more ranked lists for the proposals under evaluation, following the scoring systems indicated above. A ranked list will be drawn up for every indicative budget shown in the call conditions.

If necessary, the panel will determine a priority order for proposals which have been awarded the same score within a ranked list. Whether or not such a prioritisation is carried out will

depend on the available budget or other conditions set out in the call fiche. The following approach will be applied successively for every group of *ex aequo* proposals requiring prioritisation, starting with the highest scored group, and continuing in descending order:

(i) Proposals that address topics not otherwise covered by more highly-ranked proposals, will be considered to have the highest priority.

(ii) These proposals will themselves be prioritised according to the scores they have been awarded for the criterion *excellence*. When these scores are equal, priority will be based on scores for the criterion *impact*. In the case of Innovation actions, and the SME instrument (phases 1 and 2), this prioritisation will be done first on the basis of the score for *impact*, and then on that for *excellence*.

If necessary, any further prioritisation will be based on the following factors, in order: size of budget allocated to SMEs; gender balance among the personnel named in the proposal who will be primarily responsible for carrying out the research and/or innovation activities.

If a distinction still cannot be made, the panel may decide to further prioritise by considering how to enhance the quality of the project portfolio through synergies between projects, or other factors related to the objectives of the call or to Horizon 2020 in general. These factors will be documented in the report of the Panel.

(iii) The method described in (ii) will then be applied to the remaining *ex aequos* in the group.