## HORIZON 2020 – WORK PROGRAMME 2014-2015 General Annexes

## C. Standard eligibility criteria

All proposals must conform to the conditions set out in the Rules for Participation.

Furthermore, in this work programme, the following conditions apply unless they are supplemented or modified in the call conditions. (The eligibility criteria applying to Marie Skłodowska –Curie actions are set out under that chapter of the work programme).

A proposal will only be considered eligible if:

- (a) its content corresponds, wholly or in part, to the topic description against which it is submitted, in the relevant work programme part;
- (b) it complies with the eligibility conditions set out below, depending on the type of action.

	Eligibility conditions <sup>1,2,3</sup>
Research & innovation action	At least three legal entities. Each of the three shall be established in a different Member State or associated country. All three legal entities shall be independent of each other.
Innovation action	At least three legal entities. Each of the three shall be established in a different Member State or associated country. All three legal entities shall be independent of each other
Coordination & support action	At least one legal entity established in a Member State or associated country.
SME instrument	At least one SME <sup>4</sup> . Only applications from for-profit SMEs established in EU Member States or countries associated to Horizon 2020 <sup>5</sup> ;

The eligibility criteria formulated in Commission notice Nr. 2013/C 205/05 (OJEU C 205 of 19.07.2013, pp.9-11) shall apply for all actions under this Work Programme, including with respect to third parties receiving financial support in the cases where the respective action involves financial support to third parties by grant beneficiaries in accordance with Article 137 of the EU's Financial Regulation, notably Programme Co-Fund actions.

Some entities from third countries are covered by the Council sanctions in place and are not eligible to participate in Union programmes. Please see: the consolidated list of persons, groups and entities subject to EU financial sanctions, available at <a href="http://eeas.europa.eu/cfsp/sanctions/consol-list\_en.htm">http://eeas.europa.eu/cfsp/sanctions/consol-list\_en.htm</a>.

Given that the EU does not recognise the illegal annexation of Crimea and Sevastopol, legal persons established in the Autonomous Republic of Crimea or the city of Sevastopol are not eligible to participate in any capacity. This criterion also applies in cases where the respective action involves financial support given by grant beneficiaries to third parties established in the Autonomous Republic of Crimea or the city of Sevastopol in accordance with Article 137 of the EU's Financial Regulation. Should the illegal annexation of the Autonomous Republic of Crimea and the City of Sevastopol end, this Work Programme shall be revised.

4 For-profit SMEs' means micro-, small- and medium-sized enterprises, as defined in Commission Recommendation 2003/361/EC, that are not 'non-profit legal entities' as defined in the Rules for Participation and Dissemination ('legal entity which by its legal form is non-profit-making or which has a legal or statutory obligation not to distribute profits to its shareholders or individual members').

## HORIZON 2020 – WORK PROGRAMME 2014-2015 General Annexes

ERA-NET Cofund	No concurrent submission or implementation with another phase 1 or phase 2 project <sup>6</sup> .  At least three legal entities. Each of the three shall be established in a different Member State or associated country. All three legal entities shall be independent of each other.  Participants in ERA-NET Cofund actions must be research funders: legal entities owning or managing public research and innovation programmes <sup>7</sup>
Pre-commercial procurement (PCP) Cofund & Public procurement of Innovative solutions (PPI) Cofund	At least three legal entities. Each of the three shall be established in a different Member State or associated country. All three legal entities shall be independent of each other.  Furthermore, there must be a minimum of two independent legal entities which are public procurers from two different Member States or associated countries.

## Note:

In the case of Cofund actions, sole participants formed by several legal entities (e.g. European Research Infrastructure Consortia, European Groupings of Territorial Cooperation, central purchasing bodies) are eligible if the above-mentioned minimum conditions are satisfied by the legal entities forming together the sole participant.

<sup>&</sup>lt;sup>5</sup> In line with the EU 2020 strategy, the SME instrument is designed to promote competitiveness, growth and job creation of European SMEs through delivering innovations for the market place. SMEs will be supported to enhance their innovation capacity and innovation output with growth potential. As the SME instrument aims to bridge the gap between research and development and the commercialisation of innovation, the funding of single company projects is possible. The projects need to have a clear European added value (see Rules for Participation).

<sup>&</sup>lt;sup>6</sup> The SME instrument is targeted at companies that need SME instrument funding as core part of their business strategy to launch a high-potential innovation. It is a competitive scheme in which only the best ideas have a chance to succeed. Consequently SMEs with usually limited absorptions capacities, need to focus their applications but have the chance to come back due to the permanently open call. This way it should also be possible to achieve a reasonable success rate.

<sup>&</sup>lt;sup>7</sup> ERA-NET Cofund actions support coordination and collaboration between Member States and their research and innovation programmes. Consequently participation in these actions is limited to entities that can fully participate in joint calls and other actions between national and regional programmes. In this regard programme owners are typically national/regional ministries/authorities responsible for defining, financing or managing research programmes carried out at national or regional level. Programme 'managers' are typically research councils or funding agencies or other national or regional organisations that implement research programmes under the supervision of the programme owners.