



Innovative Medicines Initiative



**Horizon 2020
European Union Funding
for Research & Innovation**

Self-evaluation form

Research and innovation actions

Innovation actions

Version 1.1
3 October 2014

IMI2 EVALUATION FORM FOR RESEARCH AND INNOVATION ACTIONS & INNOVATION ACTIONS

History of changes

Version	Date	Change	Page
1.0	24 June 2014	First version	
1.1	3 October 2014	Clarified threshold text	2

This form is made available to applicants who may themselves wish to arrange an evaluation of their proposal (e.g. by an impartial colleague) prior to final editing, submission and deadline. The aim is to help applicants identify ways to improve their proposals.

The forms used by the experts for their evaluation reports will be broadly similar, although the detail and layout may differ. These forms are based on the standard criteria, scores and thresholds. Check whether special schemes apply to the topics of interest to you. The definitive evaluation schemes are given in the IMI2 annual work plan.

A self-evaluation, if carried out by the applicants, is not to be submitted to the IMI2 JU, and has no bearing whatsoever on the conduct of the evaluation.

SCORING

Scores must be in the range 0-5. Half marks may be given. Evaluators will be asked to score proposals as they were submitted, rather than on their potential if certain changes were to be made. When an evaluator identifies significant shortcomings, he or she must reflect this by awarding a lower score for the criterion concerned.

INTERPRETATION OF THE SCORES

- 0** — The **proposal fails to address the criterion** or cannot be assessed due to missing or incomplete information.
- 1** — **Poor.** The criterion is inadequately addressed, or there are serious inherent weaknesses.
- 2** — **Fair.** The proposal broadly addresses the criterion, but there are significant weaknesses.
- 3** — **Good.** The proposal addresses the criterion well, but a number of shortcomings are present.
- 4** — **Very Good.** The proposal addresses the criterion very well, but a small number of shortcomings are present.
- 5** — **Excellent.** The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

THRESHOLDS

For the evaluation of first-stage proposals under a **two-stage** submission procedure, the threshold for individual criteria is 3. There is no overall threshold.

For the evaluation of second-stage proposals under a **two-stage** submission procedure; the threshold for individual criteria is 3. The overall threshold, applying to the sum of the three individual scores, is 10.

For the evaluation of first-stage proposals under a **one-stage** submission procedure, the threshold for the two first criteria, 'excellence' and 'impact' will be 4. The overall threshold, applying to the sum of the three individual scores, is 10.

TWO-STAGE SUBMISSION SCHEMES

The scheme below is applicable to a full proposal. For the evaluation of first-stage proposals under a two-stage submission procedure, only the criteria 'excellence' and 'impact' will be evaluated. Within these criteria, only the aspects in bold will be considered.

<p>1. Excellence</p> <p><i>Note: The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the IMI2 annual work plan:</i></p> <ul style="list-style-type: none"> • Clarity and pertinence of the objectives; • Credibility of the proposed approach; • Soundness of the concept, including trans-disciplinary considerations, where relevant; • Extent that proposed work is ambitious, has innovation potential, and is beyond the state of the art; • Mobilisation of the necessary expertise to achieve the objectives of the topic and to ensure engagement of all relevant key stakeholders. <p><u>Comments:</u></p>	<p>Score 1:</p>
<p>2. Impact</p> <p><i>Note: The following aspects will be taken into account, to the extent to which the outputs of the project should contribute at the European and/or International level:</i></p> <ul style="list-style-type: none"> • The expected impacts of the proposed approach listed in the IMI2 annual work plan under the relevant topic; • Enhancing innovation capacity and integration of new knowledge; • Strengthening the competitiveness and industrial leadership and/or addressing specific societal challenges; • Improving European citizens' health and wellbeing and contribute to the IMI2 objectives¹; • Any other environmental and socially important impacts; • Effectiveness of the proposed measures to exploit and disseminate the project results (including management of IPR), to communicate the project, and to manage research data where relevant. <p><u>Comments:</u></p>	<p>Score 2:</p>
<p>3. Quality and efficiency of the implementation*</p> <p><i>Note: The following aspects will be taken into account:</i></p> <ul style="list-style-type: none"> • Coherence and effectiveness of the project work plan, including appropriateness of the allocation of tasks and resources; • Complementarity of the participants within the consortium (where relevant); • Clearly defined contribution to the project plan of the industrial partners (where relevant); • Appropriateness of the management structures and procedures, including risk and innovation management and sustainability plan. <p><u>Comments:</u></p>	<p>Score 3:</p>

*Experts will also be asked to assess the operational capacity of applicants to carry out the proposed work.

Total score (1+2+3)

¹ Article 2 of the Council Regulation (EU) No 557/2014 of 6 May 2014 establishing the Innovative Medicines Initiative 2 Joint Undertaking (O.J. L169 of 7.6.2014)