



The EU Framework Programme  
for Research and Innovation

# HORIZON 2020



## ERA-NET Cofund actions Administrative forms (Part A) Research proposal (Part B)

Version 1.2  
23 October 2014

### Disclaimer

This document is aimed at informing potential applicants for Horizon 2020 funding. It serves only as an example. The actual Web forms and templates, provided in the online proposal submission system under the Participant Portal, might differ from this example. Proposals must be prepared and submitted via the online proposal submission system under the Participant Portal.

Research and  
Innovation

## History of changes

<b>Version</b>	<b>Date</b>	<b>Change</b>	<b>Page</b>
1.1	27.02.2014	<ul style="list-style-type: none"><li>Information on Evaluation added - scoring of proposals as they were submitted, rather than on their potential if certain changes to be made (Part B)</li></ul>	1
1.2	23.10.2014	<ul style="list-style-type: none"><li>Reference to person months under resources removed (not applicable), table 3.3 updated to simplify presentation</li></ul>	13

## Horizon 2020

Call:

Topic:

Type of action:

Proposal number:

Proposal acronym:

Table of contents

<i>Section</i>	<i>Title</i>	<i>Action</i>
1	General information	
2	Participants & contacts	
3	Budget	
4	Ethics	

### [How to fill in the forms](#)

The administrative forms must be filled in for each proposal using the templates available in the submission system. Some data fields in the administrative forms are pre-filled based on the previous steps in the submission wizard.



Proposal ID

Acronym

## 1 - General information

Topic	Type of action
Call identifier	Acronym <input type="text"/>
Proposal title*	<input type="text"/> <i>Max 200 characters (with spaces). Must be understandable for non-specialists in your field.</i>
<i>Note that for technical reasons, the following characters are not accepted in the Proposal Title and will be removed: &lt; &gt; " &amp;</i>	
Duration in months	<input type="text"/> <i>Estimated duration of the project in full months.</i>
Free keywords	<input type="text"/> <i>Enter any words you think give extra detail of the scope of your proposal (max 200 characters with spaces).</i>

### Abstract

*Short summary (max. 2,000 characters, with spaces) to clearly explain:*

- the objectives of the proposal*
- how they will be achieved*
- their relevance to the work programme.*

*Will be used as the short description of the proposal in the evaluation process and in communications with the programme management committees and other interested parties .*

- Do not include any confidential information.*
- Use plain typed text, avoiding formulae and other special characters.*

*If the proposal is written in a language other than English, please include an English version of this abstract in the "Technical Annex" section.*

Remaining characters 2000

Has this proposal (or a very similar one) been submitted in the past 2 years in response to a call for proposals under the 7th Framework Programme, Horizon 2020 or any other EU programme(s)?  Yes  No

Proposal ID	Acronym
-------------	---------

**Declarations**

1) The coordinator declares to have the explicit consent of all applicants on their participation and on the content of this proposal.	<input type="checkbox"/>
2) The information contained in this proposal is correct and complete.	<input type="checkbox"/>
3) This proposal complies with ethical principles (including the highest standards of research integrity — as set out, for instance, in the <a href="#">European Code of Conduct for Research Integrity</a> — and including, in particular, avoiding fabrication, falsification, plagiarism or other research misconduct).	<input type="checkbox"/>
4) The coordinator confirms:	
- to have carried out the self-check of the financial capacity of the organisation on <a href="https://ec.europa.eu/research/participants/portal/desktop/en/organisations/lfv.html">https://ec.europa.eu/research/participants/portal/desktop/en/organisations/lfv.html</a> or to be covered by a financial viability check in an EU project for the last closed financial year. Where the result was “weak” or “insufficient”, the coordinator confirms being aware of the measures that may be imposed in accordance with the H2020 Grants Manual (Chapter on Financial capacity check); or	<input type="radio"/>
- is exempt from the financial capacity check being a public body including international organisations, higher or secondary education establishment or a legal entity, whose viability is guaranteed by a Member State or associated country, as defined in the H2020 Grants Manual (Chapter on Financial capacity check); or	<input type="radio"/>
- as sole participant in the proposal is exempt from the financial capacity check.	<input type="radio"/>
5) The coordinator hereby declares that each applicant has confirmed:	
- they are fully eligible in accordance with the criteria set out in the specific call for proposals; and	<input type="checkbox"/>
- they have the financial and operational capacity to carry out the proposed action.	<input type="checkbox"/>
The coordinator is only responsible for the correctness of the information relating to his/her own organisation. Each applicant remains responsible for the correctness of the information related to him and declared above. Where the proposal to be retained for EU funding, the coordinator and each beneficiary applicant will be required to present a formal declaration in this respect.	

According to Article 131 of the Financial Regulation of 25 October 2012 on the financial rules applicable to the general budget of the Union (Official Journal L 298 of 26.10.2012, p. 1) and Article 145 of its Rules of Application (Official Journal L 362, 31.12.2012, p.1) applicants found guilty of misrepresentation may be subject to administrative and financial penalties under certain conditions.

**Personal data protection**

Your reply to the grant application will involve the recording and processing of personal data (such as your name, address and CV), which will be processed pursuant to Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data. Unless indicated otherwise, your replies to the questions in this form and any personal data requested are required to assess your grant application in accordance with the specifications of the call for proposals and will be processed solely for that purpose. Details concerning the processing of your personal data are available on the [privacy statement](#). Applicants may lodge a complaint about the processing of their personal data with the European Data Protection Supervisor at any time.

Your personal data may be registered in the Early Warning System (EWS) only or both in the EWS and Central Exclusion Database (CED) by the Accounting Officer of the Commission, should you be in one of the situations mentioned in:

- the Commission Decision 2008/969 of 16.12.2008 on the Early Warning System (for more information see the [Privacy Statement](#)), or
- the Commission Regulation 2008/1302 of 17.12.2008 on the Central Exclusion Database (for more information see the [Privacy Statement](#)).



Proposal ID

Acronym

### List of participants

#	Participant Legal Name	Country
1		



Proposal ID	Acronym	Short name
-------------	---------	------------

## 2 - Administrative data of participating organisations

PIC	Legal name
-----	------------

Short name:

Address of the organisation

Street

Town

Postcode

Country

Webpage

Legal Status of your organisation

### Research and Innovation legal statuses

Public body ..... unknown

Legal person ..... unknown

Non-profit ..... unknown

Industry (private for profit)..... unknown

International organisation ..... unknown

International organisation of European interest ..... unknown

Secondary or Higher education establishment ..... unknown

Research organisation ..... unknown

### Enterprise Data

SME self-declared status..... unknown

SME self-assesment ..... unknown

SME validation sme..... unknown

Based on the above details of the Beneficiary Registry the organisation is not an SME (small- and medium-sized enterprise) for the call.

Nace code



Proposal ID

Acronym

Short name

*Department(s) carrying out the proposed work*

**Department 1**

Department name

Same as organisation address

Street

Town

Postcode

Country

*Dependencies with other proposal participants*

<b>Character of dependence</b>	<b>Participant</b>	
--------------------------------	--------------------	--





Proposal ID	Acronym	Short name
-------------	---------	------------

*Person in charge of the proposal*

The name and e-mail of contact persons are read-only in the administrative form, only additional details can be edited here. To give access rights and basic contact details of contact persons, please go back to Step 4 of the submission wizard and save the changes.

Title

Sex  Male  Female

First name	Last name
E-Mail	

Position in org.

Department

Same as organisation address

Street

Town  Post code

Country

Website

Phone

Phone 2

Fax

Example,



Proposal ID

Acronym

Go to

### 3 - Budget for the proposal

Participant	Participant	(A)		Direct coordination costs of additional activities Unit cost €29000/year	(C) Indirect cost /€ (=0.25 *(A.2 + B)	(D) Total estimated eligible costs/€ (=A.1+A.2+B+C)	(E) Reimbursement rate/%	(F) Maximum grant/€ (=D*E)	(H) Requested grant /€
		Costs related to trans-national project	Costs related to trans-national project						
		A.1 Direct costs of providing financial support to third parties /€	A.2 Direct costs for the implementation of trans-national projects by the beneficiaries /€	B1 No of units (years)					
1		0	0	0	0,00	0,00	33	0,00	0,00
Total		0	0	0	0,00	0,00		0,00	0,00

Proposal ID	Acronym
-------------	---------

## 4 - Ethics issues table

<b>1. HUMAN EMBRYOS/FOETUSES</b>		Page
Does your research involve <a href="#">Human Embryonic Stem Cells (hESCs)</a> ?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve the use of human embryos?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve the use of human foetal tissues / cells?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>2. HUMANS</b>		Page
Does your research involve human participants?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve physical interventions on the study participants?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does it involve invasive techniques?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>3. HUMAN CELLS / TISSUES</b>		Page
Does your research involve human cells or tissues (other than from Human Embryos/ Foetuses, i.e. section 1)?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>4. <a href="#">PERSONAL DATA</a> (ii)</b>		Page
Does your research involve personal data collection and/or processing?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve further processing of previously collected personal data (secondary use)?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>5. <a href="#">ANIMALS</a> (iii)</b>		Page
Does your research involve animals?	<input type="radio"/> Yes <input checked="" type="radio"/> No	

Proposal ID	Acronym	
<b>6. THIRD COUNTRIES</b>		Page
Does your research involve non-EU countries?		<input type="radio"/> Yes <input checked="" type="radio"/> No
Do you plan to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)? (v)		<input type="radio"/> Yes <input checked="" type="radio"/> No
Do you plan to import any material from non-EU countries into the EU? <i>For data imports, please fill in also section 4.            For imports concerning human cells or tissues, fill in also section 3.</i>		<input type="radio"/> Yes <input checked="" type="radio"/> No
Do you plan to export any material from the EU to non-EU countries? <i>For data exports, please fill in also section 4.            For exports concerning human cells or tissues, fill in also section 3.</i>		<input type="radio"/> Yes <input checked="" type="radio"/> No
If your research involves <a href="#">low and/or lower middle income countries</a> , are benefits-sharing measures foreseen? (vii)		<input type="radio"/> Yes <input checked="" type="radio"/> No
Could the situation in the country put the individuals taking part in the research at risk?		<input type="radio"/> Yes <input checked="" type="radio"/> No
<b>7. ENVIRONMENT &amp; HEALTH and SAFETY</b> See legal references at the end of the section. (vi)		Page
Does your research involve the use of elements that may cause harm to the environment, to animals or plants? <i>For research involving animal experiments, please fill in also section 5.</i>		<input type="radio"/> Yes <input checked="" type="radio"/> No
Does your research deal with endangered fauna and/or flora and/or protected areas?		<input type="radio"/> Yes <input checked="" type="radio"/> No
Does your research involve the use of elements that may cause harm to humans, including research staff? <i>For research involving human participants, please fill in also section 2.</i>		<input type="radio"/> Yes <input checked="" type="radio"/> No
<b>8. DUAL USE</b> (vii)		Page
Does your research have the potential for military applications?		<input type="radio"/> Yes <input checked="" type="radio"/> No
<b>9. MISUSE</b>		Page
Does your research have the potential for malevolent/criminal/terrorist abuse?		<input type="radio"/> Yes <input checked="" type="radio"/> No
<b>10. OTHER ETHICS ISSUES</b>		Page
Are there any other ethics issues that should be taken into consideration? Please specify		<input type="radio"/> Yes <input checked="" type="radio"/> No

I confirm that I have taken into account all ethics issues described above and that, if any ethics issues apply, I will complete the ethics self-assessment and attach the required documents.



*Proposal ID*

*Acronym*

## Validation result

<b>Section</b>	<b>Description</b>
	The form has not yet been validated, click "Validate Form" to do so!

Example, not to complete



# Horizon 2020

## Proposal template

### *ERA-NET Cofund actions*

Please follow the structure of this template when preparing your proposal. It has been designed to ensure that the important aspects of your planned work are presented in a way that will enable the experts to make an effective assessment against the evaluation criteria. Sections 1, 2 and 3 each correspond to an evaluation criterion for a full proposal.

Please be aware that proposals will be evaluated as they were submitted, rather than on their potential if certain changes were to be made. This means that only proposals that successfully address all the required aspects will have a chance of being funded. There will be no possibility for significant changes to content, budget and consortium composition during grant preparation.

**⚠ Page limit:** The cover page, and sections 1, 2 and 3, together should not be longer than 50 pages. All tables in these sections must be included within this limit. The minimum font size allowed is 11 points. The page size is A4, and all margins (top, bottom, left, right) should be at least 15 mm (not including any footers or headers).

If you attempt to upload a proposal longer than the specified limit, before the deadline you will receive an automatic warning, and will be advised to shorten and re-upload the proposal. After the deadline, any excess pages will be overprinted with a 'watermark', indicating to evaluators that these pages must be disregarded.

Please do not consider the page limit as a target! It is in your interest to keep your text as concise as possible, since experts rarely view unnecessarily long proposals in a positive light.

## COVER PAGE

**Title of Proposal**

**List of participants**

<b>Participant No *</b>	<b>Participant organisation name</b>	<b>Country</b>
1 (Coordinator)		
2		
3		

\* Please use the same participant numbering as that used in the administrative proposal forms.

**Table of Contents**

\*\*\*

### **1. Excellence**

**Your proposal must address a topic set out in the work programme, for this call for proposals.**

**⚠ This section of your proposal will be assessed only to the extent that it is relevant to that topic.**

#### **1.1 Objectives**

- Describe the specific objectives for the project<sup>1</sup>, which should be clear, measurable, realistic and achievable within the duration of the project. Objectives should be consistent with the expected exploitation and impact of the project. (see section 2).

#### **1.2 Relation to the work programme**


- Indicate the work programme topic to which your proposal relates, and explain how your proposal addresses the specific challenge and scope of that topic, as set out in the work programme.

#### **1.3 Concept and approach**

---

<sup>1</sup> The term 'project' used in this template equates to an 'action' in certain other Horizon 2020 documentation.

- Describe and explain the overall concept underpinning the project. Describe the main ideas, models or assumptions involved;
- Indicate how the area addressed by the action will benefit from the coordination of national/regional research activities. Describe the level of ambition in the collaboration and commitment of the participants in pooling national resources and coordinating their national/regional research programmes;
- Describe and explain the approach and methodology, distinguishing as appropriate activities requested in the relevant section of the work programme, e.g. for the preparation, implementation and follow-up of the joint transnational call receiving co-funding, and, if applicable, other joint activities including other joint calls without Union co-funding, etc;
- Identify any activities or initiatives (Joint Programming initiatives, on-going or former ERA-NETs, ETPs etc.) that will be linked with the action, notably cases where inputs/output from these other activities and initiatives will feed into the action or outcomes from this action will be used;
- Where relevant, describe how sex and/or gender analysis is taken into account in the project's content.


 *Sex and gender refer to biological characteristics and social/cultural factors respectively. For guidance on methods of sex / gender analysis and the issues to be taken into account, please refer to [http://ec.europa.eu/research/science-society/gendered-innovations/index\\_en.cfm](http://ec.europa.eu/research/science-society/gendered-innovations/index_en.cfm)*

#### **1.4 Ambition**

- Describe the current status of coordination and collaboration between national and regional programmes in your area. Describe the advance your proposal would provide beyond the state-of-the-art, and the extent the proposed work is ambitious.

## **2. Impact**

### **2.1 Expected impacts**

 *Please be specific, and provide only information that applies to the proposal and its objectives. Wherever possible, use quantified indicators and targets.*

- Describe how your project will contribute to the expected impacts set out in the work programme, under the relevant topic;
- Describe specifically the achievement of critical mass for the funding of trans-national projects by pooling of national/regional resources and contribution to establishing and strengthening a durable cooperation between the partners and their national/regional research programmes





- Describe any barriers/obstacles, and any framework conditions, that may determine whether and to what extent the expected impacts will be achieved. (This should not include any risk factors concerning implementation, as covered in section 3.2.)

## 2.2 Measures to maximise impact


### a) Dissemination and exploitation of results

- Provide a draft ‘plan for disseminating and exploiting the project’s results’ (unless the work programme topic explicitly states that such a plan is not required). The plan, which should be proportionate to the scale of the project, should contain measures to be implemented both during and after the project;

 *Dissemination and exploitation measures should address the full range of potential users and uses including research, commercial, investment, social, environmental, policy making, setting standards, skills and educational training.*

 *The approach to innovation should be as comprehensive as possible, and must be tailored to the specific technical, market and organisational issues to be addressed.*

- Explain how the proposed measures will help to achieve the expected impact of the project, in particular the results of the research and innovation projects selected following the co-funded call for proposals, and how these will increase the impact of the project. In designing these measures, you should take into account a variety of communication means and target groups as appropriate (e.g. policy-makers, interest groups, media and the public at large).
- Where relevant, include information on how the participants will manage the research data generated and/or collected during the project, in particular addressing the following issues<sup>2</sup>:
  - What types of data will the project generate/collect?
  - What standards will be used?
  - How will this data be exploited and/or shared/made accessible for verification and re-use? If data cannot be made available, explain why.
  - How will this data be curated and preserved?

 *You will need an appropriate consortium agreement to manage (amongst other things) the ownership and access to key knowledge (IPR, data etc.). These will allow you, collectively and individually, to pursue market opportunities arising from the project's results.*

---

<sup>2</sup> For further guidance on research data management, please refer to the H2020 Online Manual on the Participant Portal.

- Outline the strategy for knowledge management and protection. Include measures to provide open access (free on-line access such as the ‘green’ or ‘gold’ model) to peer-reviewed scientific publications which might result from the project<sup>3</sup>.

**⚠** *Open access publishing (also called 'gold' open access) means that an article is immediately provided in open access mode by the scientific publisher. The associated costs are usually shifted away from readers, and instead (for example) to the university or research institute to which the researcher is affiliated, or to the funding agency supporting the research.*

**⚠** *Self-archiving (also called 'green' open access) means that the published article or the final peer-reviewed manuscript is archived by the researcher - or a representative - in an online repository before, after or alongside its publication. Access to this article is often - but not necessarily - delayed ('embargo period') as some scientific publishers may wish to recoup their investment by selling subscriptions and charging pay-per-download/view fees during an exclusivity period.*

## **b) Communication activities**

- Describe the proposed communication measures for promoting the project and its findings during the period of the grant. Measures should be proportionate to the scale of the project, with clear objectives. They should be tailored to the needs of various audiences, including groups beyond the project's own community. Where relevant, include measures for public/societal engagement on issues related to the project.

## **3. Implementation**

### **3.1 Project plan**

*Give full details of the project plan. Base your account on the logical structure of the project and the stages in which it is to be carried out. Include details of the resources to be allocated to each work package.*

Please provide the following:

- brief presentation of the overall structure of the work plan
- timing of the different work packages and their components (Gantt chart or similar)
- detailed work description, i.e.:
  - a description of each work package (table 3.1a)
  - a list of work packages (table 3.1b);
  - a list of major deliverables (table 3.1c);
- graphical presentation of the components showing how they inter-relate (Pert chart or similar)

<sup>3</sup> Open access must be granted to all scientific publications resulting from Horizon 2020 actions. Further guidance on

Please include distinct work packages on:

**A. Activities related to the co-funded call and the consortium management**

- Management
- Preparation and launch of the co-funded call
- Evaluation and proposal selection for the co-funded call (including a detailed description of the call implementation in compliance with the ERA-NET Grant Agreement and on how proposal selection according to the ranking list(s) will be ensured)
- Follow-up and monitoring of projects resulting from the co-funded call
- Communication, Exploitation and Dissemination of the results

**B. Additional activities**

If applicable, include further work package(s) on any other joint activities including other joint calls without Union co-funding that are planned. Proposers have to demonstrate the appropriateness of the overall coordination costs for the proposed additional activities.

Please foresee at the end of the evaluation of the co-funded call a deliverable with the following elements, to be submitted to the Commission together with the second pre-financing payment request:

- the ranking list(s) of the projects;
- the observers' report on the evaluation;
- the joint selection list of the projects to be funded, and
- from each consortium partner participating in the joint call, a formal and duly signed commitment on availability of funds for the selected projects.

*Work package: A major sub-division of the proposed project*

*Deliverable: A distinct output of the project, meaningful in terms of the project's overall objectives, and constituted by a report, a document, a technical diagram, a software etc.*

*Milestones: Control points in the project that help to chart progress. Milestones may correspond to the completion of a key deliverable, allowing the next phase of the work to begin. They may also be needed at intermediary points so that, if problems have arisen, corrective measures can be taken. A milestone may be a critical decision point in the project where, for example, the consortium must decide which of several technologies to adopt for further development.*

*The number of work packages should be proportionate to the scale and complexity of the project.*


*You should give enough detail in each work package to justify the proposed resources to be allocated and also quantified information so that progress can be monitored, including by the Commission.*

*You will be required to include an updated (or confirmed) plan for 'dissemination and exploitation' in both the mid-term and final reports. (This does not apply to topics where a draft plan was not required). Each time, this should include a record of activities related to 'dissemination and exploitation' that have been undertaken, and those still planned. A report of completed and planned communication activities will also be required.*

### 3.2 Management structure and procedures


- Describe the organisational structure and the decision-making (including a list of milestones (table 3.2a));
- Explain why the organisational structure and decision-making mechanisms are appropriate to the complexity and scale of the project;
- Describe any critical risks, relating to project implementation, that the stated project's objectives may not be achieved. Detail any risk mitigation measures. Please provide a table with critical risks identified and mitigating actions (table 3.2b).

### 3.3 Consortium as a whole

 *The individual members of the consortium are described in a separate section 4. There is no need to repeat that information here.*

- Describe the consortium, its expertise and experience in implementing joint calls and, if relevant, other joint activities, the geographical coverage and how the participating national/regional programmes ensure achievement of critical mass for the selected topic. In what way does each of them contribute to the project? How will they be able to work effectively together?
- **Other countries:** If one or more of the participants requesting EU funding is based in a country that is not automatically eligible for such funding (entities from Member States of the EU, from Associated Countries and from one of the countries in the exhaustive list included in General Annex A of the work programme [General Annex A of the work programme](#) are automatically eligible for EU funding), explain why the participation of the entity in question is essential to carrying out the project.

### 3.4 Resources to be committed

 *Please make sure the information in this section matches the costs as stated in the budget table in section 3 of the administrative proposal forms.*

Please provide the following:

- Summary of national/regional call contributions (*please use table 3.3*)
- Summary of Work package participation and number of years with coordination costs (*please use table 3.4*)

**Table 3.1 a: Work package description**

**For each work package:**

<b>Work Package Number</b>		<b>Start Date or Starting Event</b>					
<b>Work Package Title</b>							
<b>Participant Number</b>							
<b>Short Name of participant</b>							

<b>Objectives</b>
-------------------

<b>Description of work</b> (where appropriate, broken down into tasks), lead partner and role of participants
---

<b>Deliverables</b> (brief description and month of delivery)
---

Example, not to complete

**Table 3.1 b: List of work packages**

<b>Work package No</b>	<b>Work Package Title</b>	<b>Lead Participant No</b>	<b>Lead Participant Short Name</b>	<b>Start Month</b>	<b>End month</b>

Example, not to complete

**Table 3.1 c: List of Deliverables<sup>4</sup>**

<b>Deliverable (number)</b>	<b>Deliverable name</b>	<b>Work package number</b>	<b>Short name of lead participant</b>	<b>Type</b>	<b>Dissemination level</b>	<b>Delivery date</b>

**KEY**

*Deliverable numbers in order of delivery dates. Please use the numbering convention <WP number>.<number of deliverable within that WP>.*

*For example, deliverable 4.2 would be the second deliverable from work package 4.*

**Type:**

Use one of the following codes:

R: Document, report (excluding project periodic or final report)

DEC: Websites, patents filing, market studies, press & media actions, videos, etc.

OTHER: Software, technical diagram, etc.

**Dissemination level:**

Use one of the following codes:

**PU** = Public, fully open, e.g. web

**CO** = Confidential, restricted under conditions set out in Model Grant Agreement

**CI** = Classified, information as referred to in Commission Decision 2001/844/EC.

**Delivery date**

Measured in months from the project start date (month 1)

<sup>4</sup> If your action is taking part in the Pilot on Open Research Data, you must include a data management plan as a distinct deliverable within the first 6 months of the project. This deliverable will evolve during the lifetime of the project in order to present the status of the project's reflections on data management. A template for such a plan is available on the Participant Portal (Guide on Data Management).

**Table 3.2 a: List of milestones**

<b>Milestone number</b>	<b>Milestone name</b>	<b>Related work package(s)</b>	<b>Estimated date</b>	<b>Means of verification</b>



**KEY**

**Estimated date**  
*Measured in months from the project start date (month 1)*

**Means of verification**  
*Show how you will confirm that the milestone has been attained. Refer to indicators if appropriate. For example: a laboratory prototype that is ‘up and running’; software released and validated by a user group; field survey complete and data quality validated.*

**Table 3.2b: Critical implementation risks**

<b>Description of risk</b>	<b>Work package(s) involved</b>	<b>Proposed risk-mitigation measures</b>

Example, not to be used



**Table 3.3: Summary of national/regional call contributions**


Participant number	Country – short name	(a) Initial national call contribution [€]	(b) indicative possible additional national call contribution (optional) [€]	(c) Requested EU Contribution [€]	Minimum total call budget [€] (a + c)	Maximum total call budget [€] (a + b + c)
<b>Total</b>						

**Table 3.3 Summary of Work package participation and number of years with coordination costs**

Participant number	Short name	Participation in Work Packages					Number of years for which coordination costs are declared on the basis of unit costs
		A. Co-funded call and management			B. Additional activities		
		WP1	WP2	...	WP N-1	WP N	
		Y/N	Y/N	Y/N	Y/N	Y/N	
		Y/N	Y/N	Y/N	Y/N	Y/N	
		Y/N	Y/N	Y/N	Y/N	Y/N	
		Y/N	Y/N	Y/N	Y/N	Y/N	
<b>Total</b>							

## **Section 4: Members of the consortium**

 *This section is not covered by the page limit*

 *The information provided here will be used to judge the operational capacity*

### **4.1. Participants (applicants)**

Please provide, for each participant, the following (table 4.1):

- A brief description of the legal entity
- Identification of their role (programme manager and/or programme owner)
- Identification and description of the research programme(s) with which they participate in the proposed action, the main programme objectives and any previous experience and participation
- The main tasks attributed in the proposed action, and the previous experience relevant to those tasks
- Short profile of the staff member(s) who will be undertaking the work
- If applicable: information on linked third parties and/or in-kind contribution provided by third parties

**Table 4.1: Description of participants and participating programmes**


<b>Name of organisation</b>			
<b>Short name</b>		<b>Country</b>	
<b>Brief description of the legal entity</b>			
<b>Identification of the role:</b>			
Programme Owner and Manager			Y/N
Programme Owner			Y/N
Programme Manager			Y/N
In case you are a Programme Manager, please name the respective Programme Owner			
Identify and describe the <b>research programme(s)</b> with which you participate, the main programme objectives, and any previous experience and participation;			
<b>Main tasks</b> attributed the proposed action and the previous experience relevant to those tasks			
<b>Short profile of staff member(s) who will be undertaking the work</b>			
Please specify the maximum amount of financial support to a third party and the criteria for determining the exact amount under national funding rules <sup>1</sup> .			
Does the participant envisage that part of its work is performed by a linked third parties <sup>2</sup> .			Y/N
<i>If yes, describe the third party, the link of the participant to the third party, and describe and justify the foreseen tasks to be performed by the third party</i>			
Does the participant envisage the use of in-kind contribution provided by third parties (Articles 11 and 12 of the Model Grant Agreement)			Y/N
<i>If yes, describe the third party and their contributions</i>			

<sup>1</sup> This information is required by the financial regulation. If no maximum amount of financial support is defined under national funding rules please put the amount for call contribution.

<sup>2</sup> A third party that is an affiliated entity or has a legal link to a participant implying a collaboration not limited to the action. (Articles 14 of the Model Grant Agreement).

[Proposal Acronym]


## Section 5: Ethics and security


 *This section is not covered by the page limit.*

### 5.1 Ethics

If you have entered any ethics issues in the ethical issue table in the administrative proposal forms, you must:

- submit an ethics self-assessment, which:
  - describes how the proposal meets the national legal and ethical requirements of the country or countries where the tasks raising ethical issues are to be carried out;
  - explains in detail how you intend to address the issues in the ethical issues table, in particular as regards:
    - research objectives (e.g. study of vulnerable populations, dual use, etc.);
    - research methodology (e.g. clinical trials, involvement of children and related consent procedures, protection of any data collected, etc.);
    - the potential impact of the research (e.g. dual use issues, environmental damage, stigmatisation of particular social groups, political or financial retaliation, benefit-sharing, malevolent use, etc.).
- provide the documents that you need under national law (if you already have them), e.g.:
  - an ethics committee opinion;
  - the document notifying activities raising ethical issues or authorising such activities.

 *If these documents are not in English, you must also submit an English summary of them (containing, if available, the conclusions of the committee or authority concerned).*

 *If you plan to request these documents specifically for the action you are proposing, your request must contain an explicit reference to the action title.*

### 5.2 Security<sup>3</sup>

Please indicate if your project will involve:

- activities or results raising security issues: (YES/NO)
- 'EU-classified information' as background or results: (YES/NO)

---

<sup>3</sup> Article 37.1 of Model Grant Agreement. *Before disclosing results of activities raising security issues to a third party (including affiliated entities), a beneficiary must inform the coordinator — which must request written approval from the Commission/Agency; Article 37. Activities related to 'classified deliverables' must comply with the 'security requirements' until they are declassified; Action tasks related to classified deliverables may not be subcontracted without prior explicit written approval from the Commission/Agency.; The beneficiaries must inform the coordinator — which must immediately inform the Commission/Agency — of any changes in the security context and — if necessary — request for Annex 1 to be amended (see Article 55)*