

RENEWABLE ENERGY TECHNOLOGIES  
AND  
SOCIO-ECONOMIC TOOLS

SUBMISSION OF PROPOSALS  
AND EVALUATION

**Barry Robertson**  
**New and Renewable Energy Sources**  
**Directorate General for Research**  
**European Commission**



# Call Documents “Info Pack”

## Documents to consult during proposal preparation:

- × Brochure “FP6 in Brief” (*General for whole of FP6*)
- × Guidelines on proposal evaluation procedures (*General for whole of FP6*)
- × Work Programme (*Whole of SES Short, Med, Long Term*)
- × Calls for Proposals (*OJ Text : Specific to each Call & focused on certain WP topics*)
- × Guides for Proposers (*Specific to each Call & Instrument*)
- × Guidelines for Evaluators (*Specific to each Call*)

Refer to Call Page in CORDIS



# Your proposal

- × **Make sure your proposal really fits the specific Call topics and uses the right Instrument**
- × **Identify the right Call (Short-Med or Med-Long)**
- × **Read the Call documents carefully and demonstrate how your proposal contributes to key FP6 concepts**
  - ✓ **ERA**
  - ✓ **FP6 & Thematic Priority (energy-specific) objectives**
  - ✓ **Topic scope, objectives & right instrument**



# Need to improve balance

- × **More SMEs (15% funding target)**
- × **More participants from New Member States**
- × **More International Cooperation**
  - ✓ **Accession Countries (not Croatia)**
  - ✓ **INCO Priorities (Mediterranean, Balkan, Russia, China, Latin America)**
- × **IP & NoE 65%, STREP & CA 35%**
- × **At least 50% for RES & Socio-economics**

# The Parts & Content of a Proposal

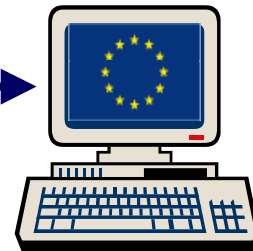
N.B. Forms are different for each instrument

## PART A - FORMS

Proposal Submission Forms		A1
EUROPEAN COMMISSION	Large Scale Project	
6th Framework Programme for Research, Technological Development and Demonstration	Network of Excellence Specific Targeted Project Coordination Actions Specific Support Actions	
Proposal Number <sup>1</sup>	Proposal Acronym <sup>2</sup>	
GENERAL INFORMATION ON THE PROPOSAL		
Proposal Title <sup>3</sup> (max. 200 char.)		
Duration in months <sup>4</sup>	Call (part) reference <sup>5</sup>	
Activity code(s) most relevant to your topic <sup>6</sup>		
Keyword 1 <sup>7</sup>		
Keyword 2 <sup>8</sup>		
Keyword 3 <sup>9</sup>		
Free keywords <sup>10</sup>		
Abstract <sup>11</sup> (max. 1500 char.)		

## PART B - TECHNICAL CONTENT

- × Objectives and impact
- × Work plan and budget
- × Consortium and the project resources
- × Project management
- × Exploitation and dissemination plans
- × Ethics, gender issues
- × IP & NoE : 18 mth detailed plan, other instruments : full duration





# How to submit a proposal

- × **Single stage submission (full proposal)**
- × **Electronic submission (Acrobat pdf **only** now)**
- × **Submission on paper**
- × **(**no** CDRoms or diskettes any more)**
- × **Proposal Preparation Tool **available online****
  - ✓ **includes some error-checking**
- × **Paper versions of forms also in the Info Pack**
- × ****Deadlines (time and date) are absolute****



# Lessons learnt from First Call

- × **Ineligible proposals**
  - ✓ **Don't delay until the day before the deadline**
  - ✓ **Make sure proposal is complete, not just a letter**
  - ✓ **Send to the correct call**
  - ✓ **DG TREN or DG RTD**
  - ✓ **Use the right forms**
  - ✓ **Use the right instrument (IP, NoE, STREP, CA)**
- × **Electronic submission – push the submit button**
- × **If re-submitting, take account of ESR comments**
- × **Check with EC staff or National Contact Point**



# Evaluation process

- × **Two-stage Evaluation by independent experts**
  - ✓ **Call for experts in Cordis**
- × **1st Stage (about 24-28 Jan)**
  - ✓ **Eligibility check (deadline, completeness, consortium)**
  - ✓ **3 or 5 Individual experts & Consensus**
  - ✓ **Prepare 1st stage evaluation reports & draft questions for hearings (for IP & NoE only)**
- × **2nd Stage (about 16-23 Feb)**
  - ✓ **Hearings for IP & NoE (16-18 Feb)**
  - ✓ **Extended Panel : finalise evaluation reports, ranking**
- × **All co-ordinators will receive a Quick Information including an Evaluation Summary Report (~March)**
- × **Opening of negotiations ~ late April**



# Proposal evaluation

- × **Relevance to Call Scope is first step of evaluation**
- × **All eligible proposals will be evaluated against the published criteria (*instrument-dependent*).**
- × **Threshold mark for each criterion & Overall**
- × **All criteria have equal weight.**
- × **Success rate of **1 in 6** in First Call**
  - ✓ **'excellence' is keyword**
  - ✓ **aim at score of ~85-90% to be funded**



# Evaluation criteria

(see WP Annex Annex B)

CRITERION	IP	NoE	STREP	CA	SSA
Relevance	3/5	3/5	3/5	3/5	4/5
Potential impact	3/5	3/5	3/5	3/5	3/5
Technical quality/excellence	4/5		4/5		3/5
Quality of participants	3/5	3/5	3/5	3/5	
Management	3/5	3/5	3/5	3/5	3/5
Mobilisation of resources	3/5		3/5	3/5	3/5
Degree of integration and the JPA		4/5			
Quality of the co-ordination				4/5	
Overall threshold score	24/30	20/25	21/30	21/30	17.5/25