



Proposal Submission and evaluation

Sebastiano Fumero
Head of Research Coordination
DG TREN - Unit B3

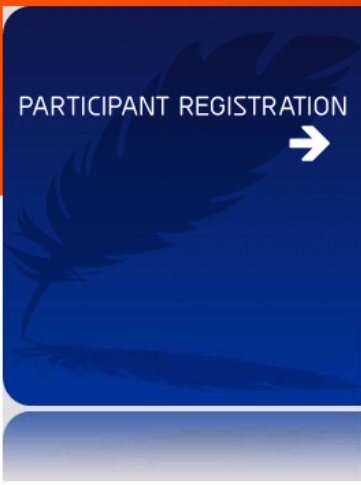
FP7 Energy Information Day, Brussels, 24 September 2008



Unique registration facility (URF)

Welcome to the URF

The Unique Registration Facility



NEW PARTICIPANT ?
Please click here to register a new participant:

EXISTING PARTICIPANT ?
Click here to manage a participant registered data:



Those who are familiar with the proposal and negotiation submission forms know that in the past, participants had to provide to the Commission their legal and financial information every time they submit a proposal or negotiate a contract.

To eliminate these redundant requests for information, we invite you to register your organisations data only once in the [Unique Registration Facility or URF](#). You will receive after registration a Participant Identification Code (or PIC) which you will be able to use in all proposals submissions and negotiations.





Europa > CORDIS > FP7 > Find a Call



- FP7 Home
- FP7 news
- Understand
- Participate
- **Find a call**
- Register your organisation (URF)
- Preparation and Submission of Proposals (EPSS)
- Get support
- Find project partners
- Find a project
- Find a document

- FP7 Calls
- Cooperation
- Ideas
- People
- Capacities
- Euratom

Open Calls / Closed Calls

- Health / 2 Open calls
- Food, Agriculture and Fisheries, and Biotechnology / 3 Open calls
- Information and Communication Technologies / 2 Open calls
- Nanosciences, Nanotechnologies, Materials and new Production Technologies / 2 Open calls
- Energy / 6 Open calls
- Environment (including Climate Change) / 3 Open calls
- Socio-economic sciences and Humanities / 3 Open calls
- Space / 1 Open call
- Security / 1 Open call

E-mail Notification

Use [CORDIS e-mail notification service](#) to be notified by e-mail each time a call relevant to your area of expertise is published.

| [What is RSS?](#)

Health

Calls for proposals

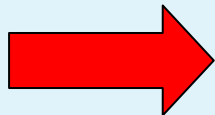
Call Identifier	Call Title	Publication Date	Deadline
FP7-Health-2009-single-stage	FP7-Health-2009-single-stage	2008-09-03	2008-12-03
FP7-Health-2009-two-stage	FP7-Health-2009-two-stage	2008-09-03	2008-12-03

[top](#)

Food, Agriculture and Fisheries, and Biotechnology



Open Energy calls on CORDIS



Calls for proposals

Call Identifier	Call Title	Publication Date	Deadline
-----------------	------------	------------------	----------

Energy

Calls for proposals

Call Identifier	Call Title	Publication Date	Deadline
FP7-ENERGY-2009-2	ENERGY CALL PART 2	2008-09-03	2009-04-29
FP7-2009-BIOREFINERY_CP	Biorefinery Joint Call	2008-09-03	2008-12-02
FP7-2009-BIOREFINERY_CSA	Biorefinery Joint Call	2008-09-03	2008-12-02
FP7-ENERGY-2009-1	Energy Call Part 1	2008-09-03	2008-11-25
FP7-ENERGY-2009-3	Energy Call 3	2008-09-03	2008-11-25
FP7-ENERGY-2008-TREN-1	Energy call Part 2	2008-04-29	2008-10-08

Environment (including Climate Change)

Calls for proposals

Call Identifier	Call Title	Publication Date	Deadline
-----------------	------------	------------------	----------





Call page on CORDIS


[About](#) | [What's New?](#) | [Sitemap](#)
[>> Quick Links](#)
[Europa](#) > [CORDIS](#) > [FP7](#) > [Cooperation](#) > [Energy](#) > [Find a Call](#) > [FP7-ENERGY-2009-2](#)

- [FP7 Home](#)
- [FP7 newsroom](#)
- [Understand FP7](#)
- [Participate in FP7](#)
- **[Find a call](#)**
- [Register your organisation \(URF\)](#)
- [Preparation and Submission of Proposals \(EPSS\)](#)
- [Get support](#)
- [Find project partners](#)
- [Find a project](#)
- [Find a document](#)



Cooperation: Call for Proposal

[FP7 Calls](#) | [Cooperation](#) | [Ideas](#) | [People](#) | [Capacities](#) | [Euratom](#)

Energy Calls: FP7-ENERGY-2009-2

[Information Package](#) | [Electronic Proposal Submission Service \(EPSS\)](#)
[Additional Documents](#) | [Get Support](#) | [Build Your Consortium](#)

ENERGY CALL PART 2

EPSS is now available for this call for proposals

Identifier: FP7-ENERGY-2009-2

Publication Date: 03 September 2008

Budget: € 100 000 000

Deadline: 29 April 2009 at 17:00:00 (Brussels local time)

OJ Reference: OJ C226 of 03 September 2008

Specific Programme(s): Cooperation

Theme: Energy

Information Package Key documents required for the preparation of your proposal.

In order to receive a complete Information Package for this call, you will need to select the following elements:

1. The call fiche (only available in .pdf format)
2. The work programme (.pdf format)
3. FP7 factsheets in your preferred language - an overview of the basic features of this programme (.pdf format)
4. The Guides for Applicants relevant to the funding schemes used in this call (.pdf format)



FP7 proposal submission

CORDIS Call Page

Gives :

Budget,
deadline, OJ
reference, legal
documents

Call documents
to download

Links to

EPSS

NCPs

PPC (soon)

Annual
Work
Programme
year N

Guides for
applicants

Call X

Funding
Scheme Y

EPSS

Electronic
proposal
submission
system

Directorate-General
for Energy
and Transport

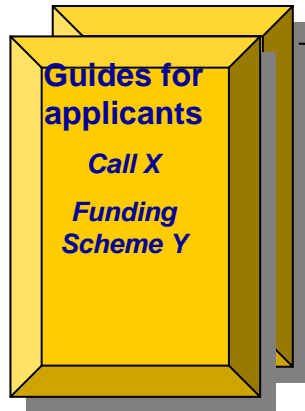


FP7 Annual Work Programme

*Annual
Work
Programme
year N*

- Includes overall WP for all 7 years of FP7
- Need to download the latest version for each Call
- Includes Call Fiches for the current year's Calls
- Call topics are sorted by Area, not by Call
- Check which Call your Topic is in – DG RTD or TREN
- Check which Funding Scheme is specified for your Topic
- Also includes evaluation criteria, budget, tentative outline of future Calls

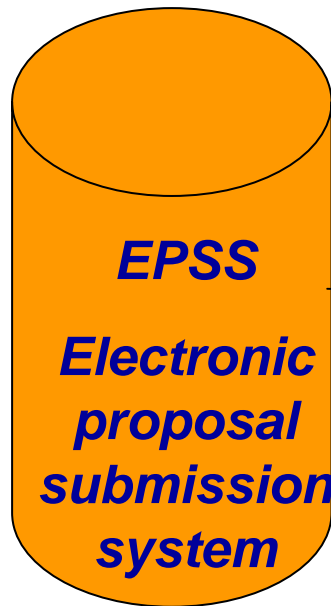
FP7 Guides for applicants



- **Select the appropriate Guide for the Call & the Funding Scheme**
- **Includes description of Funding Schemes**
- **How to submit proposal incl. instructions for Parts A & B (template & page limits)**
- **Eligibility rules**
- **Detailed evaluation procedure & timetable**
- **Evaluation Criteria**

EPSS

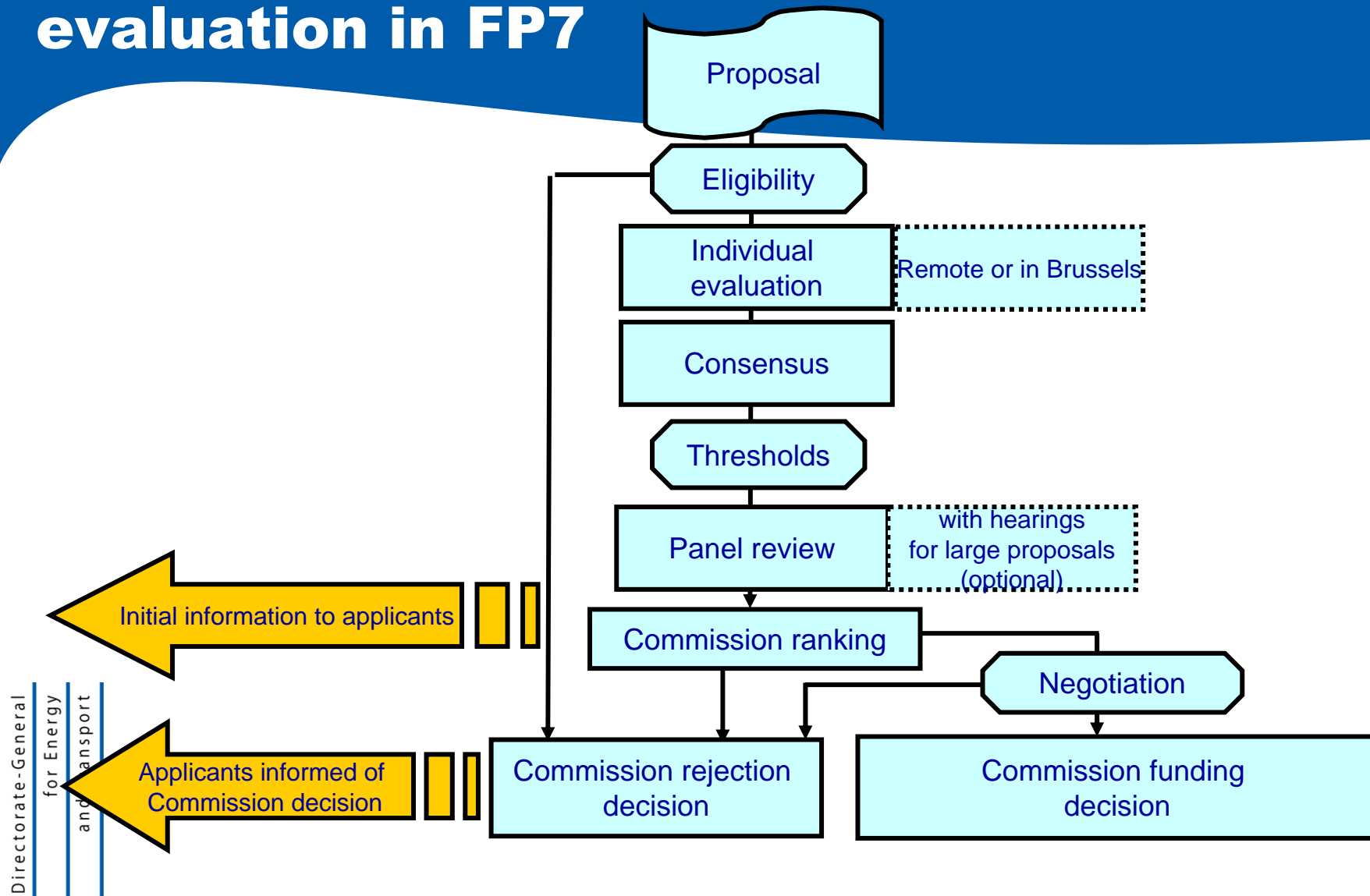
Electronic proposal submission system



- **Electronic submission only (exceptions)**
- **Available at least 4 weeks before deadline**
- **Fill in Part A proposal details using online web form.**
- **Upload PDF of Part B proposal description**

Please remember that evaluation copies of the proposals are not printed in Colours (Black & White)
- **Remember to Save and Submit regularly**
 - **Latest Submission overwrites previous one**
- **Don't wait until last minute! (17.00)**

Submission and evaluation in FP7



Eligibility Checks

- Date and time of receipt of proposal on or before deadline
 - » Strict deadline
- Minimum number of eligible, independent partners
 - » As set out in Work Programme and Call
- Completeness of proposal Parts A & B
- In/Out of scope of Topic & Funding Scheme
- Others (only for DG RTD call)
 - » e.g.. Funding threshold of 4 M€ between small-med & large Collaborative Projects in DG RTD Energy Call



Experts

- Commission Call for FP7 Experts
 - » Applications via CORDIS, by individuals & organisations
- Commission invites individuals, call-by-call
- Expertise, and experience are paramount
 - » Geography, gender and “rotation” also considered
- Experts sign confidentiality and conflict of interest declaration
- Each expert individually evaluates ~ 10-15 proposals in Brussels....
-then consensus & panels



Three evaluation criteria

- *S&T Quality (in relation to the call topic)*
 - » Concept, objectives, work-plan
- *Implementation*
 - » Individual participants and consortium as a whole
 - » Allocation of resources
- *Impact*
 - » Contribution to expected impact as given in work programme
 - » Plans for dissemination/exploitation
 - » Criteria adapted to each funding scheme

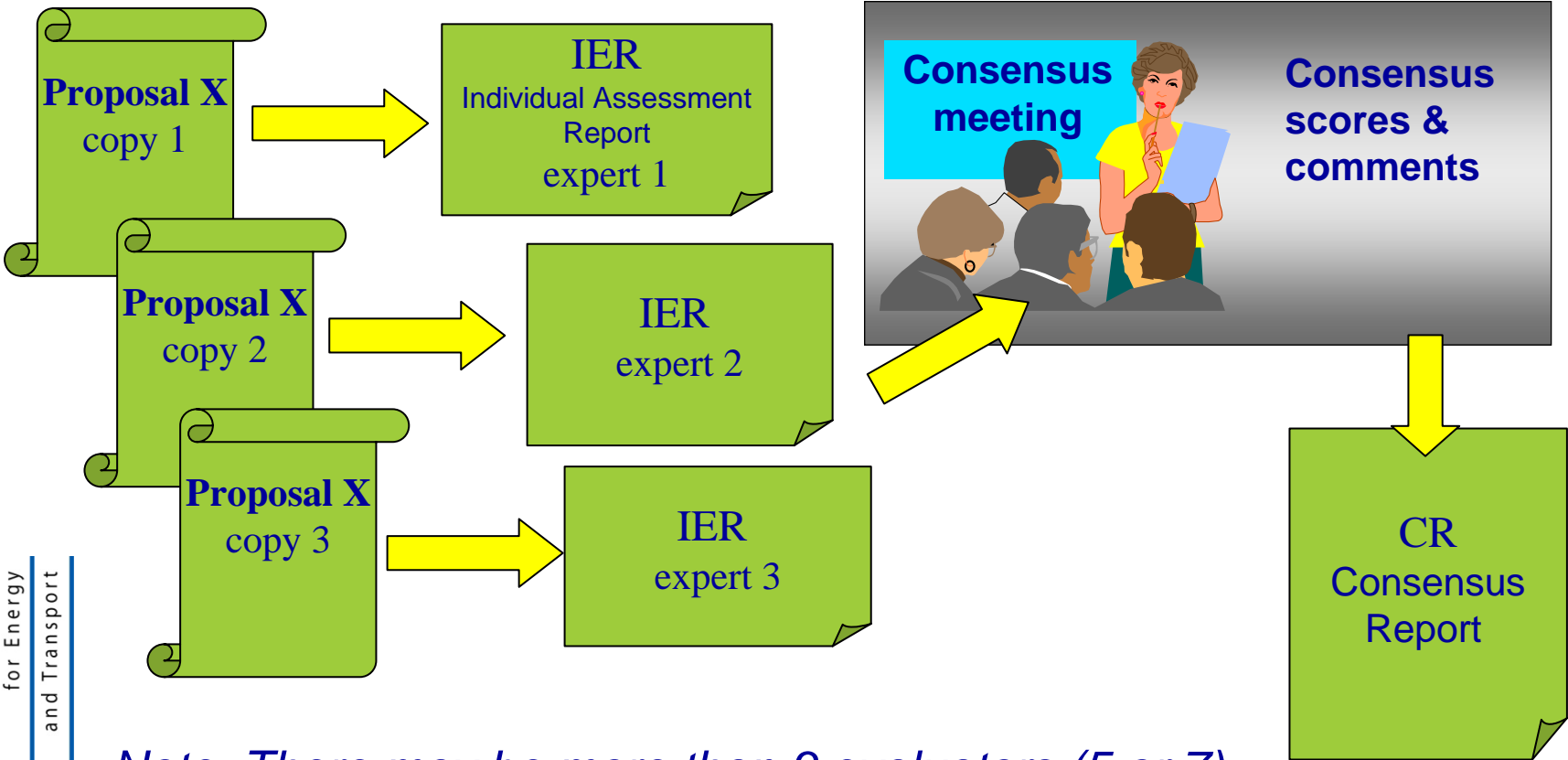


Scoring (general rule)

- » Each criterion marked out of 5
- » Threshold for each criterion = 3
- » Overall threshold for three criteria = 10 out of 15



For each proposal:



Note: There may be more than 3 evaluators (5 or 7)

Panel review

- Panel Meeting
 - » Compare consensus reports, review cases where a minority view was recorded, check consistency of marks
 - » Final marks and comments for each proposal
 - » Suggestions on order of priority for proposals with same consensus score (Preference to Quality, then Impact, then Implementation)
 - » Recommend possible clustering or combination of proposals (merge)
 - » Prepare Evaluation Summary Reports (ESRs) & Panel Report



Commission Follow-up

- Initial information letter
 - » Evaluation Summary Reports (ESRs) sent to applicants
- Draw up final ranked lists
 - » Proposals to be Negotiated, Reserve List or Rejected
- Negotiation of proposals for grant agreements
- Letters of rejection
- Proposals selected for funding



DG TREN

- DG TREN applies only the 4 main eligibility criteria, and does not apply any additional eligibility criterion regarding the funding threshold (for collaborative projects)
- DG TREN will not use remote evaluation
- All the evaluation steps, individual/consensus/panels will be held in Brussels
- DG TREN will not have hearings with applicants



DG TREN Energy 2nd Call - Timetable

- Deadlines for submission 8 October 2008, 17:00
- Evaluation by experts 10 to 28 November 2008
- Initial information letter incl. ESRs December
- Launch negotiations from January 2009
- Letter to unsuccessful applicants from January 2009
- Signature of first grant agreement Spring/summer 2009



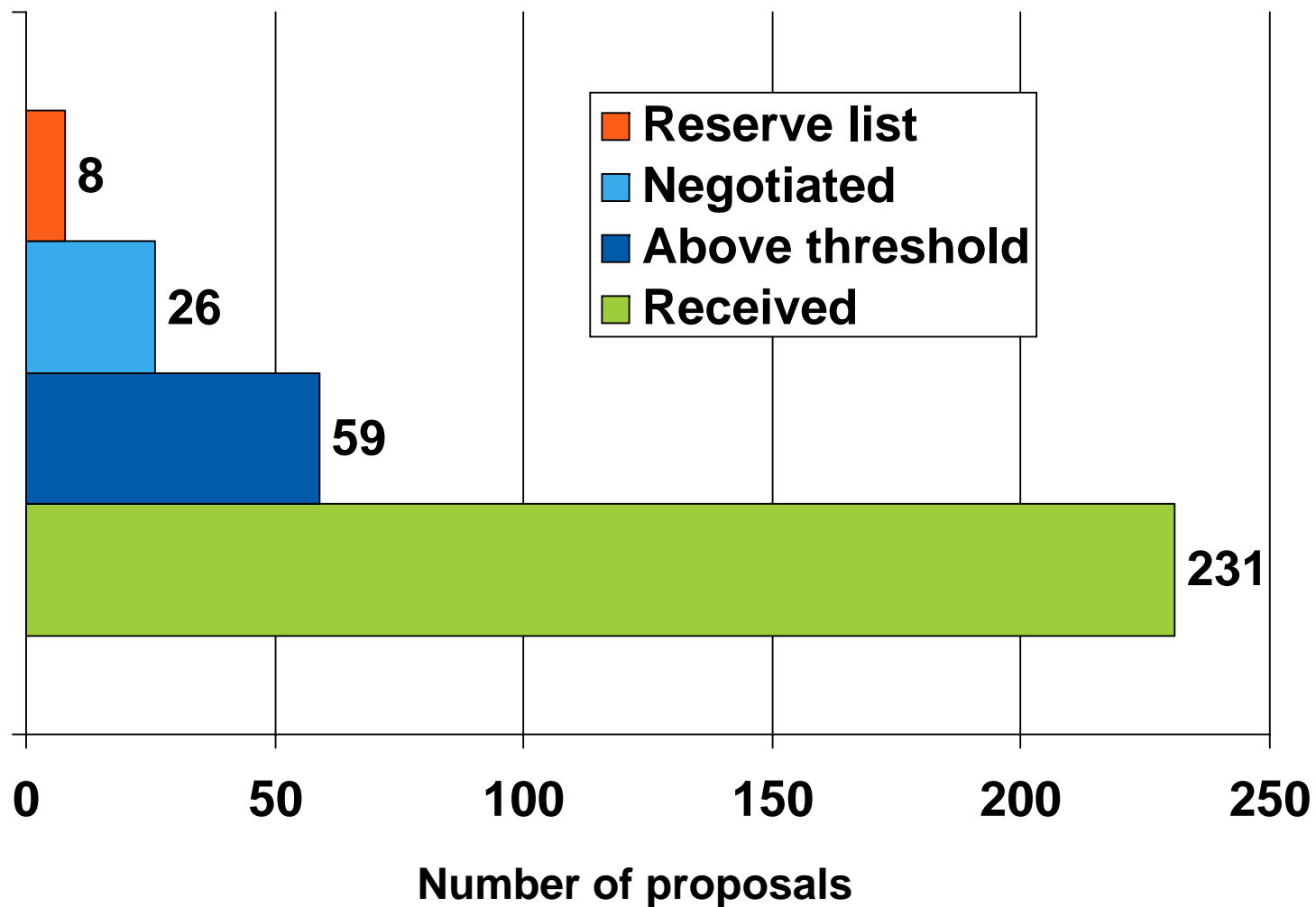
DG TREN Energy 3rd Call - Timetable

- Deadlines for submission **29 April 2009, 17:00**
- Evaluation by experts **June 2009**
- Initial information letter incl. ESRs **July 2009**
- Launch negotiations **from September 2009**
- Letter to unsuccessful applicants **from September 2009**
- Signature of first grant agreement **from January 2010**



FP7

Statistics of 1st call evaluation



Frequent weaknesses of proposals (1/3)

Scientific and technical quality

- Limited innovation
- Not beyond commercial practices
- Not entirely addressing the topic priorities
- Insufficient demonstration part
- Insufficient quality of the concept and work plan

Frequent weaknesses of proposals (2/3)

Implementation

- **Unclear complementarity of consortium partners**
- **Unclear experience of some partners**
- **Insufficiently justified presence of partners**
- **Inappropriate management structure**
- **Too large consortia**
(keep consortia to minimum size necessary)
- **Inappropriate resources allocation / justification**

Frequent weaknesses of proposals (3/3)

Impact

- Limited monitoring and dissemination foreseen
- No significant impact
- No significant replication potential
- No significant European added value
- Lack of involvement of industrial partners

General

- Unstructured text, difficult to read
- Unclear /incomplete information

Considering to apply ?

Suggested first steps

- **Read call text carefully**
- **Become familiar with FP7 rules**
- **Read guidelines for proposers**
- **Set up a suitable consortium (lean management)**
- **Discuss principle with National contact points or EC officers**

More information

- **Homepage DG Energy and Transport**

http://ec.europa.eu/dgs/energy_transport/index_en.html

- **FP7 Cordis homepage**

www.cordis.europa.eu

- **Guidance note for CONCERTO proposers**

http://cordis.europa.eu/fp7/dc/index.cfm?fuseaction=usersite.FP7DetailsCallPage&CALL_ID=127

- **Concerto Plus**

<http://concertoplus.eu>





Thank you for your attention

Directorate-General
for Energy
and Transport

