



**Conference on
Giving More For
Research in Europe**

MONDAY 27 AND TUESDAY 28 OF MARCH 2006

P R O G R A M M E

**Strengthening the role of
philanthropy in the financing
of research**

VENUE: CENTRE ALBERT BORSCHETTE, RUE FROISSART 36, 1040 BRUSSELS

CONFERENCE ON GIVING MORE FOR RESEARCH IN EUROPE

STRENGTHENING THE ROLE OF PHILANTHROPY IN THE FINANCING OF RESEARCH

Monday 27 and Tuesday 28 of March 2006

Venue: Centre Albert Borschette, rue Froissart 36, 1040 Brussels

Organisation: opening session, thematic sessions and closing session

The purpose of this conference is to discuss **how philanthropy (foundations, trusts, charities, etc) can fund knowledge generation, in particular research activities and explore strategies and initiatives to strengthen the potential of philanthropy as a source of funding for research in Europe.**

Expected participants. The conference is addressed to all stakeholders who have a role to play in the development of philanthropy as a source of funding for research. Those stakeholders will include **representatives from charitable foundations, trusts, etc** that are, or intend to be, active in the support of research; **representatives from University and Research Organisations** interested in attracting or making better use of philanthropic donations as a source of research funding; **representatives from industry** contemplating the development of philanthropic activities that may include the support of research. It will also appeal to those **national and regional authorities** who have responsibility for the construction and implementation of policies that influence the fiscal and regulatory climate for philanthropic giving for all purposes including research, as well as **other non-governmental organisations from the civil society that have interests** in the area.

This event comes at a time when important developments are underway in the European Union, particularly to place research and innovation at the heart of EU and national policies, to support growth and job creation. **The challenge to be addressed in this seminar is how to place research funding at the heart of philanthropic activities.**

The findings and recommendations of the **report “Giving more for Research in Europe”**, commissioned by the Research Directorate-General of the European Commission from a group of independent experts, will be presented and discussed. Discussion of this report as well as experiences and views presented by various actors concerned should help develop a shared **appreciation of the potential for enhancing the role that philanthropy and Charitable Foundations can play in funding research in Europe.** The purpose of the event is also to explore **ways and means to realise this potential.** Participants, coming from various constituencies such as foundations, research bodies, universities, governments, industry, will exchange views, experiences regarding the issues and opportunities to be addressed. **The need for and feasibility of a “European Forum of Research Foundations” will also be considered.**

Short background

Until the publication of the “Giving for Research in Europe” report, relatively little attention has been paid to the role played by Foundations and other philanthropic organisations that fund research activities. The findings of this report show that the European landscape in this area is characterised by a **high degree of heterogeneity**, which is reflected in the organisation, governance, operating conditions and legal status of Charitable Organisations. Charity organizations funding research appear to fall into two groups, dominated by a handful of major players markedly concentrated in a few specific sectors. This contrasts with the much higher amount of funding by charities that is directed to cultural or education initiatives.

New trends are however apparent. Enhancing the role of foundations and trusts as a means to raise and channel additional funds for research is being pursued by a number of foundations and is being considered or encouraged by public authorities in several European countries. These developments deserve being highlighted and disseminated among stakeholders to foster the creation, development and effectiveness of foundations investing in research.

Organization of the conference

SUNDAY, 26 March 2006

Venue: Charlemagne building, Rue de la Loi 170, 1040 Brussels

(see map on last page)

18:00-20:00 Welcome Cocktail

Simultaneous interpretation will be provided in English-French-German for all activities

MONDAY, 27 March 2006

Venue: Centre Albert Borschette, rue Froissart 36, 1040 Brussels

(see map on last page)

8.30-9.30 Coffee reception and **Registration - Room OA**

9:30-11:00 **Opening plenary session**

Room OA

Chair: Mr Yves Mény, President of the European University Institute and chairman of the Independent Group of Experts who drafted the report "Giving More for Research in Europe"

Key note speakers:

Dr Janez POTOČNIK, Commissioner for Research: Research in Europe. Mobilising policies and funding to support research

Dr Wilhelm KRULL, VolkswagenStiftung, Vice-Chair, European Foundation Centre: **Encouraging Change. The role of foundations in European research funding.**

Dr Mark WALPORT, Director the Wellcome Trust: **Wellcome Trust work in the funding of research. Trends and challenges.**

Dr George PAPAConstantinou: **Developing philanthropy as a source of funding for research. Main findings of an independent report.**

11:30-18:30 **Thematic sessions in two parallel sessions which will be followed by questions and answers**

A Governance and friendly regulatory framework. It will review a series of **national initiatives to foster the role of Foundations in Research as well as cross-cutting issues relating to governance, legal and tax treatments of foundations**

A1: National, governmental initiatives to foster the role of foundations in funding research

A2-A3: Legal and tax treatments of foundations funding research (double session)

B Philanthropic mechanisms to fund research. Presentations will review and discuss the different types of funding given by philanthropic institutions with a priority for research. A series of **concrete examples** will be presented to highlight current practices and trends. Issues to be addressed will include **different foundation mechanisms to fund research with particular attention to fund-raising for university**

B1: Foundations practices to support research

B2-B3: Foundations and University Research (double session)

Room OB

Room OA (Plenary room)

TUESDAY, **28** March 2006

9:30-14:30

Thematic sessions in two parallel sessions which will be followed by questions and answers (continuation)

A Governance and friendly regulatory framework. It will review a series of **national initiatives to foster the role of Foundations in Research as well as cross-cutting issues relating to governance, legal and tax treatments of foundations**

A4: Governance issues and foundations funding research

A5: Building trust with donors. Ethical and governance issues relating to Foundations funding research

Room OB

B Philanthropic mechanisms to fund research. Presentations will review and discuss the different types of funding given by philanthropic institutions with a priority for research. A series of **concrete examples** will be presented to highlight current practices in these patterns. Issues to be addressed will include **different foundation mechanisms to fund research with particular attention to fund-raising for university research**

B4: Giving for Research by banks and other financial institutions

B5: Scaling up resources (funds and expertise) through cooperative schemes and structures

Room OA (Plenary room)

14:30-16:30

Room OA (Plenary room)**Closing plenary session with a panel of main stakeholders****Closing words by Mr José Manuel SILVA RODRIGUEZ, Director General, D-G Research, European Commission.**

Simultaneous interpretation will be provided in English-French-German for all activities

PARALLEL SESSIONS

MONDAY, 27 March 2006

Venue: Centre Albert Borschette, rue Froissart 36, 1040 Brussels

Governance and friendly regulatory framework

A1 11:30-13:00

National, governmental initiatives to foster the role of foundations in funding research

Chair: Mr César Nombela, Professor of Microbiology and MSD Special Chair in Genomics and Proteomic, former President of the Spanish Council for Scientific Research (CSIC)

The session will highlight a number of **strategic initiatives carried in some Member States** (e.g. the Netherlands, France, Norway) aiming at **enhancing the financial support of foundations in the field of research**. The seminar may facilitate to identify good practices and possible areas for regulatory cooperation in this field.

The presentations will be supported by concrete examples of foundations funding research created/strengthened by such initiatives.

11:30-11:45

Presentation by Ms Michèle Hannyoy, Deputy Director at Direction of Technology, French Ministry of Education, Higher Education and Research:
Novelties introduced in the 2003 French action plan to foster foundations funding research.

11:45-11:55

Presentation by Mr Philippe Couillard, former CEO of EADS Space Transportation and paymaster of the public utility foundation "Research Foundation for Aeronautics and Space":
The Research Foundation for Aeronautics and Space. Rationale and first results achieved.

Philanthropic mechanisms to fund research

B1 11:30-13:00

Foundation practices to support research

Chair: Dr Wilhelm Krull VolkswagenStiftung, Vice-Chair, European Foundation Centre:

The **variety of support mechanisms** being employed and which could be employed to fund research will be outlined and discussed. This typology includes: family-controlled and trustee-controlled foundations making grants to research, fund-raising foundations (mobilising and leveraging resources from individuals, public and private donors) as well as endowed organisations engaged in grant making for research.

Examples will be presented to illustrate concrete practices in this area as well as the trends and challenges.

11:30-11:45

Presentation by Ms Leonor Beleza, Director General, Fundação Champalimaud:
A newcomer: the Champalimaud Foundation, background and objectives.

11:45-12:00

Presentation by Dr Peter Baldwin, Professor of History, University of California, Los Angeles, and Trustee of the Lisbet Rausing Charitable Fund:
The Lisbet Rausing Charitable Fund: An Anglo-American Perspective on Private Research Funding in Europe

12:00-12:15

Presentation by
Ms Kari-Balke Oiseth, Director General of Research,
 Ministry of Education and Research Affairs:
**Norwegian initiative for matching funds to philan-
 thropic finance of research.**

12:00-12:15

Presentation by
Mr Marc Thevenot, Institut Pasteur:
**Example of a Foundation associating big private
 donors in research funding.**

12:15-12:25

Presentation by
Mr Halvor Stenstadvold, Vice-President of the
 Norwegian company ORKLA:
**The new matching funds scheme-possibilities for
 Norwegian philanthropy.**

12:15-12:30

Presentation by
Dr Steen Hemmingsen, Director Lundbeckfonden:
**Example of a foundation set up under a
 Commercial Act that provides funding for high-
 quality scientific research.**

12:25-12:40

Presentation by
Mr Schuyt, Professor Philanthropic Studies, Vrije
 Universiteit Amsterdam, chairman of the Dutch
 Task Force "Giving to Research":
**Rationale for the creation of a Netherlands Task
 Force on Giving to Research: Progress achieved
 and expected results.**

12:30-13:00

Questions and Answers

12:40-13:00

Questions and Answers

13:00-14:30

Lunch

13:00-14:30

Lunch

**A2
and
A3**

14:30-18:00

Legal and tax treatments of founda- tions funding research

Chair: Mr Richard Fries, visiting fellow of the
 London School of Economics

This session will address legal and tax policies
 shaping the performance of philanthropy. The dis-
 cussions will seek to identify ways and mecha-
 nisms that may be of particular interest for founda-
 tions funding research by considering those that
 have proved successful and the apparent barriers.

**B2
and
B3**

14:30-18:00

Foundations and University Research

Chair: Mr William Wakeham, Vice-chancellor,
 University of Southampton

Initiatives and experiences to create/enhance founda-
 tions generating funds for University research
 will be presented. The views in this area of the
 European University Association will be comple-
 mented by experiences from the United States
 attracting resources from alumni and from their
 local environment.

A2 14:30-16:00**Legal and tax treatments of foundations funding research. Obstacles and solutions (first part)****14:30-14:50**

Presentation by
Dr Carsten Carstensen, Ernst and Young Foundation and member of the EFC Legal and Tax Task Forces:

Comparative overview of the legal and fiscal frameworks for foundations at the national level – trends and challenges including VAT issues.

14:50-15:10

Presentation by
Ms Ana Sastre, Legal department, Spanish Foundation "ONCE":
Cross border legal and tax issues for foundations.

15:10-15:25

Presentation by
Mr Francis Charhon, Fondation de France:
The EFC proposal for a European Foundation Statute.
 The rationale and circumstances behind this proposal will be presented and discussed.

15:25-15:40

Presentation by
Mr Rui Machete, Luso-American Development Foundation, and chair of the EFC Legal Task Force:
The EFC proposal for a European Foundation Statute.
 Expected advantages and challenges and its possible implications for foundations funding research

15:40-16:00**Questions and answers****16:00-16:30****Coffee Break****B2 14:30-16:00****Foundations and University Research (first part)****14:30-14:45**

Presentation by
Ms Joanna Motion, Council for Advancement and Support of Education, CASE:
Philanthropy in Europe: A difficult territory for University Research.

14:45-15:00

Presentation by
Mr John Smith, Deputy-Secretary General, European University Association:
European University Association views on philanthropy funding of University Research.

15:00-15:15

Presentation by
Mr Joel Munson, University of Oregon:
How Alumni and Friends support university research in the US.

15:15-15:30

Presentation by
Mr Jan-Eric Sundgren, Chalmers University:
The experience of the Chalmers University foundation, the role of modest endowments and of systematic fundraising.

15:30-16:00**Questions and answers****16:00-16:30****Coffee Break**

A3 16:30-18:00

Legal and tax treatments issues. (continuation of previous sessions focusing on individual and corporate donations)

16:30-16:45

Presentation by Ms Nilda Bullain, European Centre for Non-Profit Law ECNL: Views from new Member States on legal and tax issues affecting foundations.

16:45-17:00

Presentation by Ms Cathy Pharoah, Director of Research, Charities Aid Foundation: Setting friendly tax schemes for donors. Issues at stake.

17:00-17:15

Presentation by Ms Monika Granja, Legal and Tax Programme Coordinator, Czech Donors Forum: The views of donors on raising for Research.

17:15-17:30

Presentation by Mr Ludwig Forrest, King Baudouin Foundation: What solutions at EU level: Transnational private giving.

17:30-18:00**Questions and Answers****Closing of this session****B3 16:30-18:00**

Foundations and University Research (continuation of previous session focusing on case studies)

16:30-16:45

Presentation by Prof Helene Bergsten, CEI programme coordinator: The Chalmers Environmental Initiative (example of a research programme funded by Chalmers University Foundation).

16:45-17:00

Presentation by Mr Jean-Marie Hennes, President HEC Foundation: Research funded by HEC Foundation: Achievements, challenges and trends.

17:00-17:15

Presentation by Ms Martine Godefroid, Managing Director Europe, Factory: Strategic Philanthropic Funding Sources for University Research- How to find them?

17:15-17:30

Presentation by Mr Frances Cribillers, Professor, ESADE: Philanthropic and University Research in Spain: Some data, some cases, and some thoughts

17:30-18:00**Questions and Answers****Closing of this session**

PARALLEL SESSIONS

TUESDAY, 28 March 2006

Venue: Centre Albert Borschette, rue Froissart 36, 1040 Brussels

A4 9:30-11:00

Governance issues and foundations funding research

Issues relating to ethical aspects, transparency and accountability will be addressed. Particular attention will be given to those governance matters enhancing the perception of foundations funding research among citizens. Community initiatives in this area will be presented in particular the Framework for a Code of Conduct for non-profit organizations. It is intended to promote good practice in this area, in particular among foundation interested in research.

Chair: Mr Robin Stephenson, Director of Corporate Affairs, The Health Foundation

B4 9:30-11:30

Giving for Research by banks and other financial institutions

Chair: Dr Dan Brändström, Managing Director, Stiftelsen Riksbankens Jubileumsfond

9:30-9:50

Presentation by
Ms Dora Balazs, European Commission, DG Justice, Freedom and Security:
Promoting Transparency and Accountability: a European Framework for a Code of Conduct.

"Giving for research in Europe" can also be encouraged by non-profit organisations by applying transparent and accountable rules in their governance. Such rules can prevent diversion of funds from the organisation's mission purposes. In November 2005, the European Commission presented a Framework for a Code of Conduct aiming at promoting good practice among non-profit organisations and thus enhancing donor confidence in the European Union.

9:30-9:45

Presentation by
Mr Sergio Missana, Foundation BBVA:
Funding activities in research by BBVA foundation. Rationale and Trends.

The presentation will focus on the BBVA foundation mechanisms to fund research initiatives: rationale, objectives, strategic areas, procedures to select projects, impact assessment, etc.

9:50-10:10

Presentation by
Mr Volker Then, Bertelsmann Foundation:
Setting the scene. Governance issues affecting foundations funding research.

9:45-10:00

Presentation by
Mrs Montserrat Gomendio, Spanish Council for Scientific Research (SCIC):
Challenges in a research project funded by philanthropy.
The presentation will outline the challenges raised by a research project supported by the BBVA foundation to develop reproductive biotechnologies and a genetic bank of endangered felines in South America.

10:10-10:30

Presentation by
Mr Vibert, European Policy Forum:
NGO Codes of Conduct. Strengthening the Governance structure for the Research contribution of NGOs.

10:00-10:15

Presentation by
Dr Carlo Mango, responsible for the Scientific Research Department, Fondazione CARIPL0:
A grant making foundation as a catalyst for R&D policies: the experience of CARIPL0 foundation.

10:30-11:00**Questions and Answers****10:15-10:30**

Presentation by
Prof Elena Cattaneo, Milan State University:
Cariplo foundation support to the NOBEL project (Operative Network of Excellence for the Biomedical research in Lombardy); Challenges.

The presentation will outline the selection procedures, advantages, and difficulties in a research project in biomedicine supported by the Cariplo foundation.

10:30-11:00**Questions and Answers****11:00-11:30****Coffee Break****11:00-11:30****Coffee Break**

A5 11:30-13:00**Building trust with donors.****Chair: Prof. Richard Brook**, Director, The Leverhulme Trust

This session will focus on ethical and governance issues relating to Foundations funding research and review of good practices.

B5 11:30-13:00**Scaling up resources (funds and expertise) through cooperative schemes and structures****Chair: Dr Piero Gastaldo**, Secretary General, Compagnia di San Paolo

This session will present and discuss selected co-operation schemes (at national and European level) of research foundations pooling funds for specific projects (e.g. research laboratory, training in social science, science and the citizens etc)

11:30-11:45

Presentation by
Dr Richard Sullivan, Cancer Research Trust:
Ethical issues for charitable funders. Cancer Research Trust experiences and how they operate them.

11:30-11:45

Presentation by
Dr Axel Horstmann, VolkswagenStiftung:
Promotion of social science and research. Joint project of the Fritz Thyssen Stiftung and the VolkswagenStiftung.

11:45-12:00

Presentation by
Dr Tomasz Perkowski, Vice-President, Fundacja Na Rzecz Nauki Polskiej.

Governance and Ethics. Foundation for Polish Science practices

11:45-12:00

Presentation by
Mr Thierry Touchais, Executive Director, The International Polar Foundation:
Scaling up global awareness on scientific research in the polar region.
The IPF works closely with polar research bodies with the aim to inform society on the growing importance of scientific research in this area.

12:00-12:15

Presentation by
Ms Ghislaine Alajouanine, French Foundation for Medical Research:
Governance and Ethics. French Foundation for Medical Research practices.

12:00-12:15

Presentation by
Dr Ingrid Wüning, Robert Bosch Stiftung GmbH:
Science and Society project. Foundations working to support research.

12:15-12:30

Presentation by
Mr Burkhard Wilke, Secretary General, International Committee on Fundraising Organisations (ICFO):
Ethical issues for Fundraising Organizations. ICFO views

12:15-12:30

Presentation by
Dr Stefan Stolte, Stifterverband für die Deutsche Wissenschaft:
An association of donors and foundations promoting science and humanities in Germany.

12:30-13:00

Questions and Answers

12:30-13:00

Questions and Answers

13:00-14:30

Lunch

13:00-14:30

Lunch

14:30-16:30

Closing plenary session. Moderator: Dr George Papaconstantinou
Towards a European voice for an enhanced role of foundations in the field of research

Panel session: Mainly chairpersons of each session of the seminar. This panel will review and highlight main issues discussed during the various sessions, in particular the views relating to the recommendations contained in the Report, including the setting up of a European Forum of Research Foundations.

This closing panel session seminar will explore options with a view to creating a permanent mechanism enhancing the role and co-operation of foundations investing in research at European level.

Closing words by Mr José Manuel SILVA RODRIGUEZ, Director General, Directorate-General Research, European Commission.

Location map



Centre de Conférence Charlemagne
Rue de la Loi 170
1040 Brussels

Metro Schuman

Centre de Conférence Albert Borschette
Rue Froissart 36
1040 Brussels



Organised by the European Commission - DG RTD-M in cooperation with the SCIC and the EFC