

# CLEARING AND SETTLEMENT CODE OF CONDUCT MONITORING GROUP

3<sup>rd</sup> February 2009

Albert Borschette Conference Centre, 1000 Brussels

## PARTICIPANTS LIST

### MONITORING GROUP

| <i>Name</i>            | <i>Representing</i>   | <i>Telephone<br/>e-mail</i>  |
|------------------------|---|--|
| NAVA, Mario            | <b>CHAIRMAN – Head of Unit MARKT/G/2, “Financial Markets Infrastructure”, European Commission</b> | +32.2.296.42.35<br><a href="mailto:Mario.Nava@ec.europa.eu">Mario.Nava@ec.europa.eu</a>                            |
| HROVATIN, Sebastijan   | <b>ACTING SECRETARY - Administrator, MARKT/G/2, European Commission</b>                           | +32.2.295.88.12<br><a href="mailto:Sebastijan.Hrovatin@ec.europa.eu">Sebastijan.Hrovatin@ec.europa.eu</a>          |
| KOLASSA, Doris         | <b>Administrator, MARKT/G/2, European Commission</b>  | +32.2.292.13.79<br><a href="mailto:Doris.Kolassa@ec.europa.eu">Doris.Kolassa@ec.europa.eu</a>                      |
| PLANTA, Fabrizio       | <b>Detached National Expert, MARKT/G/2, European Commission</b>                                   | +32.2.298.63.79<br><a href="mailto:Fabrizio.Planta@ec.europa.eu">Fabrizio.Planta@ec.europa.eu</a>                  |
| TERRET, Marcel-Eric    | <b>Detached National Expert, MARKT/G/2, European Commission</b>                                   | +32.2.299.65.74<br><a href="mailto:Marcel-Eric.Terret@e.europa.eu">Marcel-Eric.Terret@e.europa.eu</a>              |
| FARKAS, Istvan         | <b>Committee of European Securities Regulators</b>  | +36.1.489.9200<br><a href="mailto:farkas.istvan@pszaf.hu">farkas.istvan@pszaf.hu</a>                               |
| MOELIKER, Wim          | <b>Committee of European Securities Regulators</b>  | +33.1.58364270<br><a href="mailto:wmoeliker@cesr.eu">wmoeliker@cesr.eu</a>   |
| RUSSO, Daniela         | <b>European Central Bank / Eurosystem</b>   | +49.69.1344.7421<br><a href="mailto:daniela.russo@ecb.europa.eu">daniela.russo@ecb.europa.eu</a>                   |
| SCHÖNENBERGER, Andreas | <b>European Central Bank / Eurosystem</b>   | +49.69.1344.6327<br><a href="mailto:andreas.schoenenberger@ecb.europa.eu">andreas.schoenenberger@ecb.europa.eu</a> |

## USERS

| <i>Name</i>           | <i>Representing</i>   | <i>Telephone<br/>e-mail</i>   |
|-----------------------|---|---|
| ADLER, Dominik        | <b>Association of German Banks</b>  | +49.3016633370<br><a href="mailto:dominik.adler@bdb.de">dominik.adler@bdb.de</a>  |
| ARIAS, Javier         | <b>Banco Bilbao Vizcaya Argentaria</b>  | +32.2.289.27.90<br><a href="mailto:javier.arias@gruppbvva.com">javier.arias@gruppbvva.com</a>                           |
| BLASCHKE, Wilfried    | <b>Commerzbank AG Frankfurt</b>   | +49.69.1364.6035<br><a href="mailto:Wilfried.Blaschke@commerzbank.com">Wilfried.Blaschke@commerzbank.com</a>            |
| CUNNINGHAM, James     | <b>Bank of New York Mellon</b>  | +32.2.545.8825<br><a href="mailto:james.cunningham1@bnymellon.com">james.cunningham1@bnymellon.com</a>                  |
| DE FOURNOUX, Emmanuel | <b>AMAFI - Association française des marchés financiers</b>                     | +33.1.538.30078<br><a href="mailto:edefournoux@amafi.fr">edefournoux@amafi.fr</a>                                       |
| DE GAY DE NEXON, Eric | <b>Société Générale</b>   | +33.1.56.37.89.28<br><a href="mailto:eric.de-gay-de-nexon@socgen.com">eric.de-gay-de-nexon@socgen.com</a>               |
| DE VIDTS, Godfried    | <b>ICAP</b>   | +44.20.7000.5803<br><a href="mailto:godfried.devidts@icap.com">godfried.devidts@icap.com</a>                            |
| DEROBERT, Eric        | <b>European Association of Cooperative Banks / CACEIS</b>                       | +33.1.57780596<br><a href="mailto:eric.derobert@caceis.com">eric.derobert@caceis.com</a>                                |
| FISHER, Stephen       | <b>European Banking Federation/ECSA</b>   | +32.2.508.37.45<br><a href="mailto:s.fisher@ebf-fbe.eu">s.fisher@ebf-fbe.eu</a>   |
| FONTAN, Florence      | <b>BNP Paribas</b>  | +33 (1) 42.98.39.63<br><a href="mailto:florence.fontan@bnpparibas.com">florence.fontan@bnpparibas.com</a>               |
| GADENZ, Rudy          | <b>State Street Corporation / Association of Global Custodians</b>              | +011.617.985.6960<br><a href="mailto:rtgadenz@statestreet.com">rtgadenz@statestreet.com</a>                             |
| KROHN, Christian      | <b>Securities Industry and Financial Markets Association (SIFMA)</b>            | +44.20.7743.9303<br><a href="mailto:ckrohn@sifma.org">ckrohn@sifma.org</a>  |
| LANGE, Diedrich       | <b>European Association of Cooperative Banks</b>                                | +49.3020211610<br><a href="mailto:dr.lange@bvr.de">dr.lange@bvr.de</a>  |
| MERRIMAN, Alexander   | <b>The British Bankers' Association and the European Banking Federation</b>     | +44.20.7216.8901<br><a href="mailto:alex.merriman@bba.org.uk">alex.merriman@bba.org.uk</a>                              |
| PASSAMONTI, Francesca | <b>Intesa SanPaolo</b>  | +32.2.640.00.80<br><a href="mailto:francesca.passamonti@intesasanpaolo.com">francesca.passamonti@intesasanpaolo.com</a> |
| ROUSSEAU, Jean-Paul   | <b>ING - Operations Wholesale Banking</b>                                       | +32 2 738 82 78<br><a href="mailto:jean-paul.rousseau@ing.be">jean-paul.rousseau@ing.be</a>                             |
| SAAF, Michelle        | <b>Dutch Advisory Committee Securities Infrastructure (DACSI)/<br/>ABN AMRO</b> | +31.20.383.03.39<br><a href="mailto:michelle.saaf@nl.abnamro.com">michelle.saaf@nl.abnamro.com</a>                      |
| SAUVAGE, Benoît       | <b>ABBL</b>   | +352.46.36.601<br><a href="mailto:sauvage@abbl.lu">sauvage@abbl.lu</a>  |

| <i>Name</i>           | <i>Representing</i>                                   | <i>Telephone<br/>e-mail</i>  |
|-----------------------|---|--|
| SCHWARZ, Alessandro   | <b>European Association of<br/>Co-operative Banks</b> | + 32 2 289 68 52<br><a href="mailto:a.schwarz@eurocoopbanks.coop">a.schwarz@eurocoopbanks.coop</a>   |
| SEROCOLD, John        | <b>London Investment Banking Association</b>          | +44.20.7796.3606<br><a href="mailto:liba@liba.org.uk">liba@liba.org.uk</a>                           |
| TRUCHET, Marc         | <b>Eurofi</b>   | +33.686 56 52 45<br><a href="mailto:marc.truchet@icme.com">marc.truchet@icme.com</a>                 |
| WESTERBARKEY, Natalie | <b>Citi</b>   | +44.20.7500.0965<br><a href="mailto:natalie.westerbarkey@citi.com">natalie.westerbarkey@citi.com</a> |
| ZINGEL, Frank         | <b>European Association of Public Banks</b>           | +49 (30) 8192.250<br><a href="mailto:frank.zingel@voeb.de">frank.zingel@voeb.de</a>                  |

### INFRASTRUCTURES

| <i>Name</i>        | <i>Representing</i>                              | <i>Telephone<br/>e-mail</i>  |
|--------------------|--|--|
| BEZEMER, Peter     | <b>European Multilateral Clearing Facility</b>   | +31.65373.4242<br><a href="mailto:p.a.bezemer@wxs.nl">p.a.bezemer@wxs.nl</a>                                 |
| CHAN, Diana        | <b>European Central Counterparty Ltd. / EACH</b> | +44.(0)20.7650.1460<br><a href="mailto:dchan@euroccp.co.uk">dchan@euroccp.co.uk</a>                          |
| CUNNINGHAM, Rory   | <b>LCH.Clearnet / EACH</b>                       | +44.20.7426.7093<br><a href="mailto:rory.cunningham@lchclearnet.com">rory.cunningham@lchclearnet.com</a>     |
| GREBORN, Bo        | <b>NCSD Group / ECSDA</b>                        | +46 (8) 402.91.94<br><a href="mailto:bo.greborn@ncsd.eu">bo.greborn@ncsd.eu</a>                              |
| HARDT, Judith      | <b>FESE</b>                                      | +32.2.551.01.80<br><a href="mailto:hardt@fese.eu">hardt@fese.eu</a>  |
| PAPENFUSS, Mathias | <b>Clearstream Banking / ECSDA</b>               | +49.69.211.15977<br><a href="mailto:mathias.papenfuss@clearstream.com">mathias.papenfuss@clearstream.com</a> |
| PEETERS, Ilse      | <b>Euroclear SA/NV / ECSDA</b>                   | +32 2.326.2524<br><a href="mailto:ilse.peeters@euroclear.com">ilse.peeters@euroclear.com</a>                 |
| ROBERTS, Tracey    | <b>FESE</b>                                      | <a href="mailto:Roberts@fese.eu">Roberts@fese.eu</a>   |
| ROEGIERS, Damien   | <b>NYSE Euronext / FESE</b>                      | +32 2 509 12 03<br><a href="mailto:droegiers@nyx.com">droegiers@nyx.com</a>                                  |
| VAN STAPPEN, Willy | <b>Equiduct Systems / FESE</b>                   | <a href="mailto:willy.vanstappen@equiduct-systems.com">willy.vanstappen@equiduct-systems.com</a>             |
| ZICKWOLFF, Marcus  | <b>Eurex Clearing AG / EACH</b>                  | +49.69.211.15602<br><a href="mailto:Marcus.Zickwolff@eurexchange.com">Marcus.Zickwolff@eurexchange.com</a>   |