



EUROPEAN COMMISSION

Internal Market and Services DG

FINANCIAL SERVICES POLICY AND FINANCIAL MARKETS
Financial markets infrastructure

Brussels, 1 July 2008
PP D(2008)

LEGAL CERTAINTY GROUP

Agenda

Centre Borschette, room 4 B, Rue Froissart 36, 1000 Brussels

1 July 2008: 10 a.m. – 6 p.m.

2 July 2008: 10 a.m. – 5 p.m.

Day 1 – 10 a.m..

- (1) Welcome, *Konstantinos Tomaras* (10 min)
- (2) Adoption of the Agenda, *Konstantinos Tomaras* (10 min)
- (3) Address by *Emil Paulis*, Director Financial Services Policy and Financial Markets, European Commission, DG Markt
- (4) Barrier 13 – "book-entry",
consideration of Recommendations 1-11, *Philipp Paech*

Lunch break at 1 p.m.

Adjourn at 6 p.m.

Day 2 – 10 a.m..

- (5) Barrier 3 - "corporate action processing",
consideration of Recommendations 12-14, *Philipp Paech*
- (6) Barrier 9 – "location of securities",
consideration of Recommendation 15-16, *Philipp Paech*
- (7) Future work, *Konstantinos Tomaras/Philipp Paech*
- (8) Any other business, *Konstantinos Tomaras*

Lunch break at 1 p.m.

Closing and end of the session at 5 p.m.

List of Documents as of 1 July 2008

Document Number	Date of issue	Title	Remarks
LCG-1	11.08.2006	Legal Certainty Group Advice 2006	
LCG-2	22.08.2007	Subject 1: Introduction/Methodology	
LCG-3	22.08.2007	Subject 1: Monitoring Unidroit	
LCG-4	22.08.2007	Subject 1: Principles for new legislation	Extract from 2006 Advice
LCG-5	22.08.2007	Subject 1: Scope	
LCG-6	02.05.2007	Subject 1: Scope (v.2)	(replaces LCG-5)
LCG-7	02.05.2007	Subject 1: Monitoring Unidroit (v.2)	(replaces LCG-3)
LCG-8	02.05.2007	Subject 2: Methodology	
LCG-9	02.05.2007	Subject 2: Investor-issuer relationship	
LCG-10	26.04.2007	Minutes of the 6 th meeting	
LCG-11	08.06.2007	Minutes of the 7 th meeting	
LCG-12	03.09.2007	Subject 2: Advice 2007, working draft	(replaces LCG-4)
LCG-13	04.09.2007	Subject 1: Advice 2007, working draft	(replaces LCG-4)
LCG-14	13.11.2007	Minutes of the 8 th meeting	
LCG-15	13.11.2007	Subject 1: extract from report: validity	(updated version)
LCG-16	13.11.2007	Subject 3: working draft Advice	
LCG-17	17.01.2008	Minutes of the 9 th meeting	
LCG-18	20.12.2007	2008 Report, 1 st preliminary draft	
LCG-19	08.02.3008	Minutes of the 10 th meeting	
LCG-20	26.03.2008	2008 Report, 2 nd preliminary draft	
LCG-21	08.04.2008	Minutes of the 11 th meeting	
LCG-22	13.04.2008	2008 Report, 3 rd preliminary draft	
LCG-23	21.05.2008	2008 Report, 4 th preliminary draft	
LCG-24	27.06.2008	2008 Report, 5 th preliminary draft	
LCG-25	11.06.2008	Minutes of the 12 th meeting	

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