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Internal Market and Services DG

FINANCIAL SERVICES POLICY AND FINANCIAL MARKETS
Financial markets infrastructure

Brussels, 23 February 2007
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LEGAL CERTAINTY GROUP

Agenda

26/27 February 2007

Centre Borschette, room 2/C, Rue Froissart 36, 1000 Brussels

26 February: 14h-18h

27 February: 10h-17h

- 1) Welcome [Pierre Delsaux]
- 2) Adoption of the Agenda [Pierre Delsaux]
- 3) Short report on CESAME and FISCO [Gudrun Mauerhofer]
- 4) Definition of the aims of the Legal Certainty Group and outlook on future work [Pierre Delsaux]
- 5) Subject 3 – Location of securities [Pierre Delsaux]
 - a) Methodology and scope [Ignacio Gomez-Sancha]
 - b) Discussion
- 6) Subject 1 – Legal effects of book entries to securities accounts [Pierre Delsaux]
 - a) Tasks and methodology (cf. document *LCG-2*) [Philipp Paech]
 - b) Scope (cf. document *LCG-5*) [Philipp Paech]
 - c) Monitoring Unidroit (cf. document *LCG-3*) [Philipp Paech]
 - d) Interplay with other EU measures [Konstantinos Tomaras]
 - i) Financial Collateral Arrangements Directive, Settlement Finality Directive
 - ii) MiFID [Ruth Walters]
 - e) Consideration of the draft principles (cf. document *LCG-4*) [Philipp Paech]

- f) Research, earlier and future [Philipp Paech]
- 7) Subject 2 – Corporate action processing [Pierre Delsaux]
 - a) Tasks and methodology [Agata Waclawic]
 - b) Scope [Agata Waclawic]
 - i) General considerations
 - ii) Shareholders voting rights Directive, [Corinna Ullrich, Markt F2]
 - iii) Report on three-party meeting (LCG-FISCO-CESAME) [Salvatore Lo Giudice]
 - c) Discussion [Agata Waclawic]
- 8) Future work [Pierre Delsaux]
- 9) Any other business
- 10) End of the session

**List of Documents
as of 22 February 2007**

Document Number	Date of issue	Title	Remarks
LCG-1	11.08.2006	Legal Certainty Group Advice 2006	
LCG-2	22.08.2007	Introduction/Methodology Subject 1 ("book entry")	
LCG-3	22.08.2007	Monitoring report Unidroit	
LCG-4	22.08.2007	Principles for new legislation	
LCG-5	22.08.2007	Scope – going beyond minimum harm.	
-	22.08.2007	Agenda for the LCG meeting 26/27 February 2007	

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