



**EUROPEAN COMMISSION**

Internal Market and Services DG

FINANCIAL SERVICES POLICY AND FINANCIAL MARKETS  
**Financial markets infrastructure**

Brussels, 30 August 2005  
MARKT/G2 D(2005)

## **EU Clearing and Settlement**

### **Legal Certainty Group**

#### **Agenda for meeting 13 September 2005**

Venue: Conference Centre Albert Borschette, room ...  
Rue Froissart 36, 1000 Brussels

Time: 10.00 a.m. to 5.00 p.m.

#### **1. APPROVAL OF AGENDA**

Documents: Agenda

Kauppi report

#### **2. SECRETARIAT REPORT ON PROGRESS**

##### **2.1 ANSWERS TO QUESTIONNAIRE**

Section I; Sections III and IV; Section II; Section V

Non-EU jurisdictions

Compendium of answers

Documents: Compendium of answers as at 5 9 5

##### **2.2 LEGAL RESEARCH SUB-GROUP**

Its meetings; members; research notes as requested

Documents: Research notes on dematerialisation, company law, finality, omnibus accounts.

**3. PROPOSAL FOR THE STRUCTURE OF THE GROUP'S FIRST REPORT AND STRANDS OF ACTIVITY THE GROUP NEEDS TO UNDERTAKE IN ORDER TO PREPARE IT**

Document: Secretariat note

**4. DISCUSSION ON THE MAIN ISSUES THAT HAVE ARISEN OUT OF THE ANSWERS TO PART I OF THE QUESTIONNAIRE**

**4.1 HORIZONTAL ANALYSES**

**4.2 DECIDING UPON CORE ISSUES UPON WHICH FURTHER STUDY SHOULD CONCENTRATE**

Documents: Horizontal analysis working notes prepared by Legal Research Sub-Group

Tentative list of core issues upon which further study should concentrate submitted by Legal Research Sub-Group

**5. UNIDROIT COMMITTEE OF GOVERNMENTAL EXPERTS – REPORT ON DEVELOPMENTS**

Documents: Revised draft UNIDROIT Convention on harmonised substantive rules regarding securities held with an intermediary, dated June 2005

Update note on the new version of the draft Convention

**6. ANY OTHER BUSINESS; FUTURE MEETINGS**