

## Annex II: section on risk-based practices of the 2007 JRC survey addressed to EU DGS

1. Please choose from the list all data available to your DGS about the DGS members (more than one answer is possible). Please include all data available, even those not used in the estimation of your DGS's premium.

- Total amount of deposits
- Total amount of eligible deposits
- Total amount of covered deposits
- Capital Adequacy Ratio
- Risk-weighted assets
- Financial Statement data (specify)
- Other (specify)

Please specify if the available data are directly supplied by the DGS members or are provided by another authority (e.g. the Supervisory Authority, the Central Bank, etc.):

2. Does your DGS implement any method to measure the risk of your members?

YES       NO

If YES, please describe how this method is used by your DGS (e.g. early warning system, DGS classification, premium calculation, etc.):

3. Does your premium account for risk-based information?

YES       NO

If NO, could you please specify if there exist any reasons for this choice?

If NO, is your DGS currently considering the introduction of risk-based contributions?

If NO, could you identify any problem in introducing risk-based contribution for your DGS?

4. If your DGS include a risk-based correction for the premium, please specify when the risk-based adjustment was introduced, if there is any specific reason for this choice, and give a reference to the Statute/Law/Bylaw:

5. If your DGS applies risk-based contributions, please specify which indicators are used (more than one answer is possible).

- Solvency
- Maturity/duration
- Economic performance
- Capital Adequacy Ratio
- Risk-weighted assets

## Annex II – Report on DGS risk-based contributions

- Financial Statement
- Exposure measure (specify)
- Other (specify)

6. Does your DGS apply a premium adjustment by considering the bank dimension (apart from proportionate the premium to the amount of relevant deposits)?

YES       NO

If YES please specify how and which data are used (e.g. own funds, amount of deposits, balance sheet):

7. Please detail all data needed in order to compute premium corrections:

Please specify if these data are directly supplied by the DGS members or are provided by another authority (e.g. the Supervisory Authority, the Central Bank, etc.):

8. Does your DGS apply risk-based corrections also to other relevant variables such as the target size of the DGS' fund or the aggregated total amount of contributions to be collected among members?

YES       NO

If YES, please specify:

9. Could you please detail how your DGS compute the contributions (ex-ante and/or ex-post)? Could you also provide us with an example? Finally, could you please enclose documents/internal reports describing your methodology?
  
10. Please add any additional information/comment about risk-based contributions for DGS: