



Horizon 2020

INFO DAY

Call H2020-ICT-2014-1

European Commission

DG CONNECT

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Unit G.3 - Data Value Chain



EC presentations

- **Overall presentation of H2020**
 - focusing on practicalities important for proposers
 - highlighting differences between H2020 and FP7/CIP
- **Thematic presentations**
 - to present the scope of each of the Specific Challenges: ICT 15, ICT 16, ICT 17, ICT 22.a
 - to help you position your proposal idea in the right context (also in view of networking and proposal clinics)

DISCLAIMER

- The only authoritative source of information is the relevant call page in the Participant Portal:
- <http://ec.europa.eu/research/participants/portal/desktop/en/home.html>

In case of discrepancy with information presented today and the Participant Portal, the latter prevails.



H2020 Forms of funding, types of actions and general rules

Types of actions (=projects)

- **Research and innovation actions**
 - comparable to FP7 STREPs & IPs
- **Innovation actions**
 - comparable to CIP Pilot B projects (+ "some research")
- **Coordination and Support Actions**
 - similar to FP7 CSA, but no more distinction between CA and SA

Research and innovation actions

- Actions primarily consisting of activities aiming to establish **new knowledge** and/or to explore the feasibility of a new or improved technology, product, process, service or solution.
- For this purpose they may include basic and applied research, technology development and integration, testing and validation on a small-scale prototype in a laboratory or simulated environment.

Innovation actions

- Actions primarily consisting of activities directly aiming at producing plans and arrangements or designs for **new, altered or improved products, processes or services**.
- For this purpose they may include prototyping, testing, demonstrating, piloting, large-scale product validation and market replication.

Coordination and support actions

Actions consisting primarily of **accompanying measures** such as standardisation, dissemination, awareness-raising and communication, networking, coordination or support services, policy dialogues and mutual learning exercises and studies, including design studies for new infrastructure and may also include complementary activities of networking and coordination between programmes in different countries.

Eligibility conditions

- **Research and Innovation actions**
 - At least 3 mutually independent legal entities, each established in different MS/AC
- **Innovation actions**
 - At least 3 mutually independent legal entities, each established in different MS/AC
- **Coordination and Support Actions**
 - One legal entity established in a Member State or associated country

Entities eligible for funding

- Entities established in MS or associated countries
- International European interest organisations
- Entities established in certain low-income countries (see General Annex A of WP)
- Entities in other countries, and international organizations may receive funding if :
 - **participation is essential or**
 - **funding foreseen in bilateral arrangement between the Union and third country/international organisation**

Annexes to the Work Programme

- **Table of Contents** (excerpt)
- A. List of countries, and applicable rules for funding
- B. Standard admissibility conditions for grant proposals, and related requirements
- C. Standard eligibility criteria
- D. Types of action: specific provisions and funding rates
- ...
- H. Evaluation
- ...
- K. Financial support to third parties



H2020

Model Grant Agreement

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Grant Agreement - novelties

- Coherence within H2020 and over all EU programs and Financial Regulation
- No more Annex II "General conditions" – integrated with Core GA
- Some new terminology

"Project" becomes "Action"

"Final payment" becomes "Payment of the balance"

No more paper signatures or submissions

Electronic signature

- Of the Grant Agreement
- Of the Amendments
- Financial Statements and Technical reports

Communication between the Commission and the beneficiaries

- Through the Participant Portal
- Electronic-only submission of reports as a general rule

MODEL GRANT AGREEMENT

CORE TEXT

Annex 1: **Description of the action**

Annex 2: **Estimated budget**

Annex 3: **Accession Forms**

Annex 4: **Financial statements**

Annex 5: **Certificate on the financial statements**

Annex 6: **Certificate on the methodology**

H2020 Grant Agreement

Chapter 1: General

- Single article: subject of the agreement

Chapter 2: Action

- Action, duration and budget

Chapter 3: Grant

- Amount, rates, eligible costs

Chapter 4: Rights and obligations of the parties

- To implement the action: resources, in-kind contributions, subcontracts
- Grant administration: reporting, payments, audits
- Background and results: access rights, protection of results, exploitation, dissemination
- Others: gender equality, ethics, confidentiality

Ch 5: Division of beneficiaries' roles and responsibilities

- Roles and responsibilities, internal arrangements

Ch 6: Rejection, reduction, penalties, termination...

- Rejection, reduction, recovery and penalties
- Suspension and termination of the action

Chapter 7: Final provisions

- Accession, entry into force, amendments, applicable law

CHAPTER 3 - GRANT

One reimbursement rate by action (same rate for all all activities):

- Up to 100% for Research and Innovation actions (and CSA)
- Up to 70% for innovation (non-profit entities up to 100%) and programme co-fund actions.
- WP may specify a different reimbursement rate

One method for calculation of indirect costs:

- Flat rate of 25% of total direct costs, excluding subcontracting, costs of third parties and financial support to third parties
- If provided in WP, lump sum or unit costs

No-profit rule (as in FP7)

CHAPTER 3 - GRANT

General eligibility conditions for:

- (a) actual costs
- (b) unit costs
- (c) flat-rate costs
- (d) lump sum costs

Specific eligibility conditions for **budget categories**:

- A. Personnel costs
- B. Direct costs of subcontracting
- C. Direct costs of providing financial support to third parties
- D. Other direct costs
- E. Indirect costs
- F. Specific categories of costs

CHAPTER 4 – RIGHTS AND OBLIGATIONS OF THE PARTIES

SECTION 3 – RESULTS

- **Ownership**
 - Beneficiary generating the results
 - Joint-ownership in specific circumstances
- **Protection**
 - If results capable of commercial/industrial exploitation
 - If no protected, EU may assume ownership
- **Exploitation**
 - Best efforts obligation; WP may foresee additional obligations
- **Transfer and exclusive licences** to a third country
 - EC may object (competitiveness, ethical principles, security)
- **Dissemination**
 - Open access to scientific publications and **under certain conditions to research data**



CHAPTER 5 – DIVISION OF BENEFICIARIES' ROLES AND RESPONSIBILITIES

- **Towards the Commission**
(The beneficiaries are jointly and severally liable for the technical implementation)
- **Internal division**
(Coordinator and each beneficiary)
- **Consortium agreement**
(Mandatory unless the work programme specifies otherwise)
- **Collaboration agreement** – if applicable
- **Coordination agreement** – if applicable



Proposal submission and evaluation



This info day is about:

Call H2020-ICT-2014-1



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On this site you can find and secure **funding** for research & innovation projects under the following EU programmes:

- **2014-2020** Horizon 2020 - research and innovation framework programme
- **2007-2013** 7th research framework programme (FP7) and Competitiveness & Innovation Programme (CIP)

Non-registered users

- search for funding
- read the funding guide & download the legal documents
- check if an organisation is already registered
- contact our support services or check our FAQs

Registered users

- submit your proposal
- sign the grant
- manage your project throughout its lifecycle



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Other Funding Opportunities

Horizon 2020

Excellent Science

- European Research Council
- Future and Emerging Technologies
- Marie Skłodowska-Curie actions
- Research infrastructures

Industrial Leadership

- Leadership in enabling and industrial technologies (LEIT)
- Access to risk finance

Type

- Proposal
- Tender

Status

- Open
- Closed
- Forthcoming

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Call Id



Publication Date



Deadline Date

Societal Challenges

MOBILITY for GROWTH 2014-2015
H2020-MG-2015_TwoStages

Pub.Date: 11/12/2013 Deadline: 31/03/2015

Societal Challenges

MOBILITY for GROWTH 2014-2015
H2020-MG-2015-Singlestage-B

Pub.Date: 11/12/2013 Deadline: 27/08/2015

Societal Challenges

MOBILITY for GROWTH 2014-2015
H2020-MG-2015_SingleStage-A

Pub.Date: 11/12/2013 Deadline: 31/03/2015

Societal Challenges

MOBILITY for GROWTH 2014-2015
H2020-MG-2014_TwoStages

Pub.Date: 11/12/2013 Deadline: 18/03/2014

Societal Challenges

MOBILITY for GROWTH 2014-2015
H2020-MG-2014_SingleStage_B

Pub.Date: 11/12/2013 Deadline: 28/08/2014

Societal Challenges

MOBILITY for GROWTH 2014-2015
H2020-MG-2014_SingleStage_A

Pub.Date: 11/12/2013 Deadline: 27/03/2014

Societal Challenges

GREEN VEHICLES 2015
H2020-GV-2015

Pub.Date: 11/12/2013 Deadline: 27/08/2015

Societal Challenges

GREEN VEHICLES 2014
H2020-GV-2014

Pub.Date: 11/12/2013 Deadline: 28/08/2014

Industrial Leadership

BIOTECHNOLOGY
H2020-LEIT-BIO-2015-1

Pub.Date: 11/12/2013 Deadline: 24/02/2015



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0 Results

Keyword Search:

SEARCH

If you don't find your topic, you can also use the [free text search](#).

Status

- Open
- Closed
- Forthcoming

Sort by

- Title
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In addition, [see all the open calls](#) of [Marie Skłodowska-Curie actions \(MSCA\)](#) and [European Research Council \(ERC\)](#) grants for individual researchers of any nationality and research teams. The MSCA grants include opportunities for companies and SMEs. **The MSCA and ERC grants are not restricted to specific topics.**

Quick finder for topics linked to H2020 cross-cutting priorities:

[Cross-cutting Key-Enabling Technologies \(KETs\)](#)

[ERA-NET](#)

[Gender](#)

[Contractual Public-Private Partnerships \(cPPPs\)](#)

[International cooperation](#)

[SME Instrument](#)

[Socio-economic sciences and humanities](#)



Electronic Submission

Electronic Submission System accessed from the call page

- *Prepare proposal,*
 - **On-line for structured part - Part A**
 - **Upload non-structured part – Part B - pdf files**
- *Remember to click the **Submit** button!*
- *Validation checks and warnings offer guidance*
- *Please submit early – do not wait until the last moment!*
- *Late submission is not possible (system closes down)*

Call H2020-ICT-2014-1 closes 23 April 2014 at 17.00

Part B – 2 pdf files: Sections 1-3

Based around evaluation criteria:

- Excellence
 - Eg. Objectives, concept, progress beyond state-of-art...
- Impact
 - Eg. Potential impact (*incl. with reference to WP*);
measures to maximise impact (*dissemination,
communication, exploitation*)
- Implementation
 - Including **work package descriptions**
 - Information on third parties and subcontractors

Part B – 2 pdf files: Sections 4-5

- Section 5: Ethics and Security
- Section 4: Members of the consortium

- Template supplied by the submission system (.rtf)

Submission in H2020

- Self check for SME status, financial viability
- Observe page limits for Part B Sections 1-3!
Excess pages will not be evaluated!
 - 70 Pages for RIA and IA
 - 50 Pages for CSA

- Call Submission
- Call Evaluation

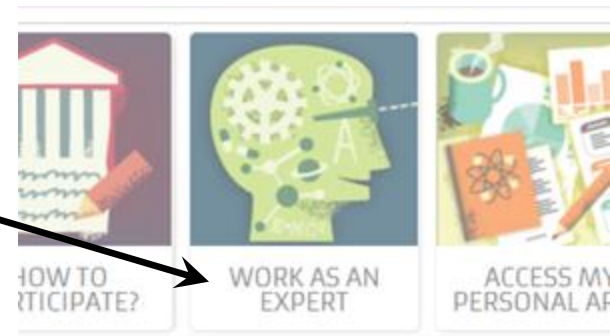
Scoring/weights/thresholds

- As in FP7: each criterion scored out of 5; individual threshold of 3; overall threshold of 10
- **For Innovation Actions**
 - *Impact* criterion weighted by factor of 1.5
- **For Research and Innovation Actions:**
 - No weighting of evaluation criteria

Experts

Appropriately qualified individuals may apply to work as experts in H2020 evaluations

- *Application via Participant Portal*



Selection per call to ensure broad ranging and expert group; avoiding conflicts of interest

Call for experts opened



European
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Questions?