



# Joint Actions 2010

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# EAHC and SANCO

## **Distinct Responsibilities**

Commission (DG SANCO)

- Set priorities in annual Public Health Work Plans
- Liaise with Member States

EAHC

- Launch calls for proposals and tenders
- Monitor projects
- Improve efficiency of management and dissemination

## **but close collaboration**

- Steering committee meetings
- Regular « liaison meetings »
- Regular meetings at technical level
- Participation in evaluation committee



# Health Programme

2008-2013

*Together for Health*





# Second Health Programme

- The 2<sup>nd</sup> HP 2008-2013 came into force on 1 January 2008.
- It follows the 1st PHP 2003-2008 which financed over 300 projects and other actions.
- It is intended to complement, support and add value to the policies of the MS and contribute to increased solidarity and prosperity in the European Union by protecting and promoting human health and safety and by improving public health.
- participation and consultation with stakeholders are promoted
- The financial envelope for the programme is:  
EUR: 321 500 000
- It is implemented by means of annual work plans setting out priority areas and funding criteria.



# Second Health Programme

Joint financing of a public body or non governmental organisation by the Community and one or more Member States

- Termed « Joint Action » in the 2010 work plan and Call for proposals



# Second Health Programme

Financial contributions by the Community may, where appropriate given the nature of the objective to be achieved, include *joint financing by the Community and one or more Member States or by the Community and the competent authorities of other participating countries.*



## Financing mechanisms – other than JA

**The aim is to ensure full stakeholder participation in the Programme by organisations taking forward the health agenda**

- Cofinancing an action (public or private body or NGO) intended to achieve a Programme objective. Financial contributions by the Community will cover up to 60% of project costs (80% if exceptional utility).
- Cofinancing the operational costs of a non-government organisation or a specialised experts network. Operational grants may be given to NGOs of up to 60% of costs (80% if exceptional utility).
- Cofinancing of a conference – up to 50% of the conference cost, maximum 100 000€ (exception: conferences under the EU Presidencies)



# Joint actions

- Specific actions may be financed by the Community and one or more participating countries.
- May be offered to a public body or non-government organisation, which is not-for-profit, and independent of industry, commercial and business or other conflicting interests.
- Community contributions may be up to 50% (or 70% if judged to meet exceptional utility criteria).
- Participating countries will be invited to present proposals for joint actions.
- Selection and award criteria are detailed in annex IV of the draft work plan.



# Work plan 2010

- indicative amount is estimated up to EUR 10 600 000 for JA (around 22.6 % of the operating budget)
- Community contributions may only be awarded to a public body or a non-profit-making body, designated through a transparent procedure by the Member State or the competent authority concerned and agreed by the Commission.



# Exceptional utility for JA

- 70 % in case of exceptional utility, this means :
- consisting of the participation of bodies from at least 10 participating countries or in the participation of bodies from 3 participating countries, where the action is proposed by a body from a Member State which has acceded to the European Union since 1 May 2004 or by a candidate country.
- And meeting the following criteria...



# Exceptional utility criteria

## 3.1 - 2010 Workplan

Contribution to:

- improving the health of European citizens, as measured where possible by appropriate indicators, including the healthy life years indicator,
- reducing health inequalities in and between EU Member States and regions,
- building capacity for the development and implementation of effective public health policies particularly in areas of high need;
- Involvement of new (non-traditional) actors for health in sustained, cooperative and ethically sound actions, both at regional or local level and across participating countries. This includes the public sector, the private sector and stakeholders among wider civil society whose primary aims are not limited to public health (for example among the youth, ethnic groups and other public interest spheres such as environment and sport).
- Proposals should also demonstrate the evidence base and ability to provide measurable results where possible.



# Exceptional utility for JA

## Specific criteria mentioned in WP 2010

- At least 60% of the total budget of the action must be used to fund staff. This criterion is intended to promote capacity building for development and implementation of effective public health policies.
- At least 25% of the budget of the proposed action must be allocated to Member States with a GDP per capita (as published by EUROSTAT in its latest statistical report) in the lower quartile of all EU Member States. This criterion is intended to contribute to the reduction of health inequalities among EU Member States.



# Exceptional utility for JA

## Specific criteria mentioned in WP 2010

- A score of at least 5 out of 8 marks must be achieved for all the award criteria of the policy relevance block mentioned in Annex IV. This criterion aims to promote the improvement of the health of European citizens, in the sense of enhancing policy relevance.
- At least 10% of the budget must be allocated to organisations that have not received any funding under the Health Programme of the European Community in the past 5 years. This criterion is intended to promote the involvement of new actors for health.



# Independence for JA

## Specific criteria mentioned in WP 2010

- Legal independence:
- Two legal entities shall be regarded as independent of each other where neither is under the direct or indirect control of the other or under the same direct or indirect control of a third entity as the other.
- Control may in particular take either of the following forms:
  - The direct or indirect holding of more than 50% of the nominal value of the issued share capital in the legal entity concerned, or of a majority of the voting rights of the shareholders or associates of that entity;
  - The direct or indirect holding of decision-making powers, in fact or in law, in the legal entity concerned.
- However, the following relationships between legal entities shall not in themselves be deemed to constitute controlling relationships:
  - The direct or indirect holding of more than 50% of the nominal value of the issued share capital of the applicant organisation or a majority of voting rights of the shareholders or associates of the legal entities is held by the same public body;
  - The legal entities concerned are owned or supervised by the same public body.



# Independence for JA

## Specific criteria mentioned in WP 2010

- Financial independence:
- As a general rule, applicant organisations receiving more than 20% of their funding from the private sector<sup>[1]</sup> or other conflicting interest for their functioning (core funding) shall be considered as financially dependent.
- <sup>[1]</sup> The term ‘private sector’ covers ‘for-profit’ companies/enterprises/corporations, business organisations or other entities irrespective of their legal nature (registered/not registered), ownership (wholly or partially privately owned/state owned) or size (large/small), if they are not controlled by the public.



# Independence for JA

## Specific criteria mentioned in WP 2010

- Transparency of the applicant's activities and funding: All activities should be published in the applicant's annual report.<sup>[1]</sup>
- <sup>[1]</sup> Collaborators in a position that could lead to a conflict of interest (Article 52 of the Financial Regulation and Article 34 of the Implementing Rules) shall be listed.



# Key documents

- **Application form for joint action (Adobe Reader 8.1.3 is required)**
- Decision establishing the **second programme** of Community action in the field of health 2008-13
- Annual work plan 2010 and its annexes
- **Call for proposals for joint actions**
- **Guide for applicants**
- **Model grant agreement**
- **Financial Regulation and its implementing rules**  
(<http://ec.europa.eu/eahc/management/legislation.html>)
- All documents will be on the EAHC web-site and in the InfoPack once the call is published.



# EAHC Website

<http://ec.europa.eu/eahc>



# InfoPack on Joint Actions





# Application form

- To be filled in by the main partner
- Associated partner to review and comment
- Information on staff competences from all partners to be provided in the form
- All partners have to fill in the Declaration of Honour (fax copies ok)



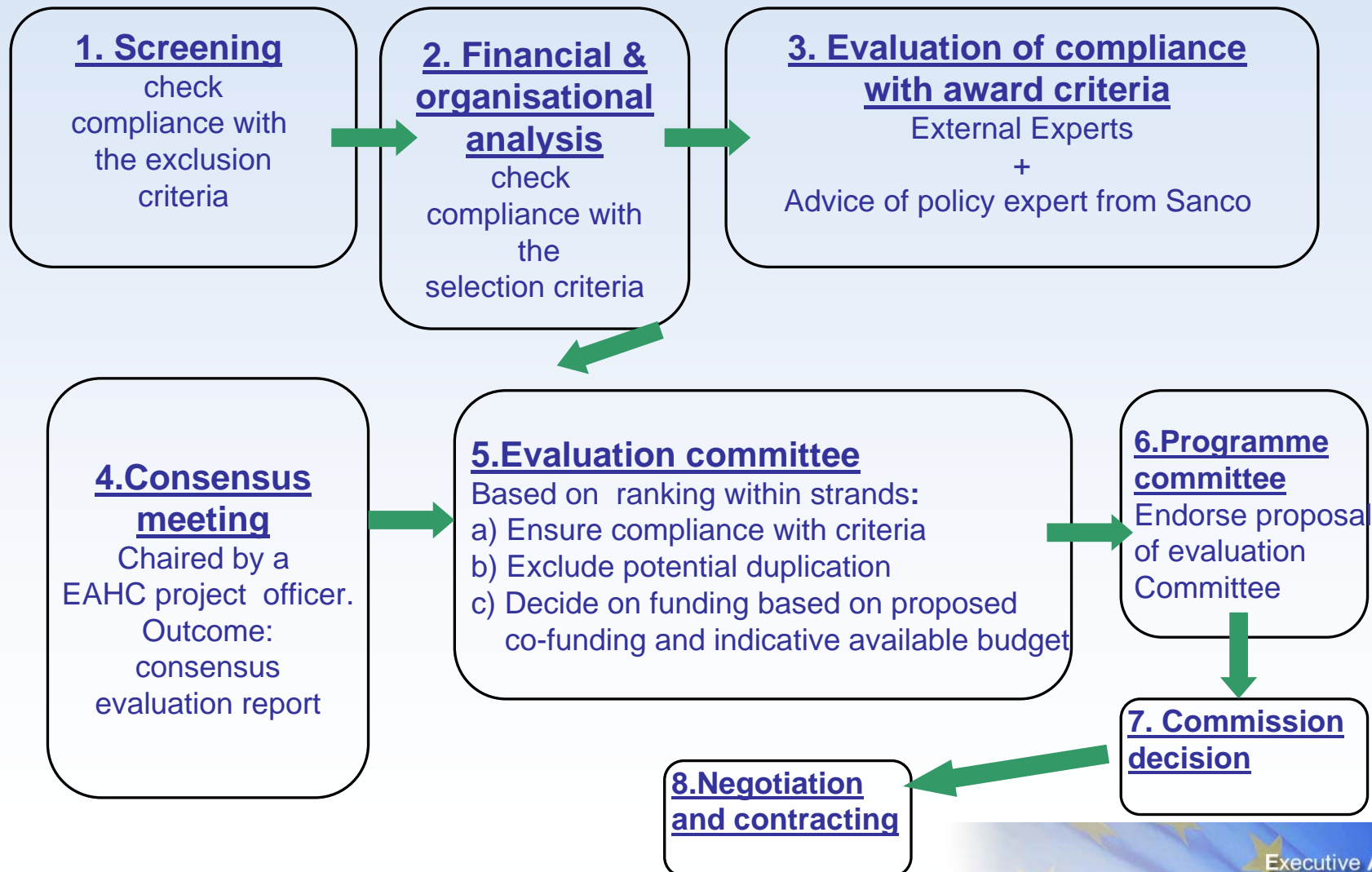
The deadline for the submission  
of the application will probably  
be early March 2010 !



What will happen after the  
deadline?



# Evaluation of proposals





## **Award Criteria: set out in the Work Plan 2010**

- Always has to address 3 sets of elements:
  - Relationship with Community policies
  - Technical quality
  - Management quality



# Policy and context relevance 1

- Contribution to the Health Programme and Annual Work Plan.
- Strategic relevance to existing knowledge and health status.
- Adequacy of the project with the social, cultural and political context in which it is implemented.



## Policy and context relevance 2

- Added value at European level
  - impact on target groups, long term effect and potential multiplier effects such as replicable, transferable and sustainable activities
  - contribution to, complementing, synergy and compatibility with EU relevant policies



## Policy and context relevance 3

- Pertinence of geographical coverage
  - In respect of the project's objectives, the role of eligible countries as partners and the relevance of project resources or target populations they represent.
  - National, sub-national or regional dimension proposals will be rejected.



# Technical quality 1

- Evidence base
  - description of the problem analysis, the factors, the impact, the effectiveness and applicability of measures proposed
- Content specification
  - aims and objectives, target groups including relevant geographical factors, methods, anticipated effects and outcomes
- Innovative nature, technically complementing
  - avoidance of duplication of other existing actions at EU level



# Technical quality 2

- Evaluation strategy
  - kind and adequacy of methods proposed and indicators chosen
- Dissemination strategy
  - adequacy of envisaged strategy and methodology proposed to ensure transferability of results and sustainability of the dissemination



# Management quality & budget 1

- **Planning and organisation of the project**
  - description of activities, timetable and milestones, deliverables, nature and distribution of tasks, analysis of risk
- **Organisational capacity**
  - description of the management structure, staff competencies, responsibilities, internal communication, decision making, monitoring and supervision



# Management quality & budget 2

- Quality of partnership
  - extensiveness, roles and responsibilities, relationships among the different partners, synergy and complementing project partners and network structure
- Communication strategy
  - planning, target groups, adequacy of channels used, visibility of EU co-funding



## Management quality & budget 3

- Overall and detailed budget
  - it must be relevant, appropriate, balanced and consistent in itself, between partners and with the specific objectives of the project
  - it should be distributed within partners at a minimum reasonable level, avoiding excessive fragmentation
- Financial management
  - description of the financial circuits, responsibilities, reporting procedures and controls



# Info day for Joint Actions

15 December 2009

Luxembourg:

- Administrative and budgetary rules for joint actions
- Technical discussion of individual joint actions planned in 2010



# Reminder: EAHC Website

<http://ec.europa.eu/eahc>

You can find there:

Project Database

Partner Search Tool

All information on the Call

FAQ



Thank you for your attention –  
any questions?