

ANNEX I TO AAP 2011

ACTION FICHE 1 NON STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT PART NSA – OBJECTIVE 1

1. IDENTIFICATION

Title/Number	Non-state actors (NSA) – Actions in partner countries (in-country and multi-country interventions)		
Total cost	EU contribution: EUR 159 554 500 (Budget Line 21.03.01) TOTAL EUR 159 554 500		
Method/ Management mode	Project approach - Calls for Proposals managed by European Commission Headquarters and European Union Delegations <i>Centralised (direct management)</i>		
DAC-code, if applicable	15150	Sector	Strengthening of civil society

2. RATIONALE

The thematic programme "Non State Actors and Local Authorities in Development" aims at co-financing initiatives proposed and carried out by civil society organisations and local authorities originating from the EU and partner countries in the area of development. The programme's added value lies in its nature of being an "actor oriented" programme, giving Civil Society Organisations and Local Authorities the opportunity (=right of initiative) to propose actions, which are then selected and co-financed by the European Commission.

The European Consensus on Development and the recent EU Green Paper on Development recognise the key role of civil society in promoting development. Hence poverty alleviation per se and the capacity building of civil society are central objectives of Europe's development agenda.

The Annual Action Programme NSA-LA 2011 is part of the new multiannual Strategy for the period 2011-2013, which was drawn up to ensure a large degree of continuity with the previous programming period 2007-2010, while introducing ameliorations proposed by the Mid-Term Review¹.

This Action Fiche refers to the objective 1 of the Non State Actors component of the programme: **to support operations aiming at promoting an inclusive and**

¹ Final report available : http://ec.europa.eu/development/icenter/files/europa_only/consultation_non_state_actors_main_report.pdf

empowered society in partner countries in order to (i) benefit populations out of reach of mainstream services and resources and excluded from policy making processes; (ii) strengthen the capacity of civil society organisations in partner countries, with a view to facilitating their participation in defining and implementing poverty reduction and sustainable development strategies; and (iii) facilitate interaction between State and non-State actors in different contexts.

Objective 1, which covers the 82% of the overall NSA budget of the programme, is divided in two different types of interventions: in-country interventions managed by European Union Delegations (objective 1a) and multi-country interventions managed by European Commission Headquarters and European Union Delegations (objective 1b).

In 2011, the in-country interventions (Obj. 1a) cover around the 90% of the overall available budget dedicated to objective 1 and the remaining 10% are dedicated to the multi-country part (Obj. 1b).

This is in line with the Mid-Term Review (MTR) which recommended for the period 2011-2013 to reduce the multi-country part of the programme while increasing the in-country interventions, as indicated in the new multi-annual Strategy Paper 2011-2013. This re-equilibration is based on i) the increasing demand for the in-country interventions (where subsidiarity and complementarity can in fact be better ensured), ii) the conclusion of the MTR highlighting the limited added value of multi-regional Multi-country interventions.

Regarding the **in-country interventions**, following the lessons learned from the previous period, EU Delegations will use their allocation to target the actions to the local needs in terms of organisations (type and origin), themes, sectors, priorities and specific areas. This will allow Delegations to assure complementarity and subsidiarity with other initiatives present in the country as well as to respond better to the needs of the local Non State Actors, always in the respect of the right of initiative of the actors.

Multi-country interventions managed by Headquarters and EU Delegations will focus on actions tackling issues of global concern, whose actions need to be taken at global level and dealing with issues of public goods. Under this component of objective 1, structuring networks and campaigning on the global level will also be supported. Additionally South-South cooperation will be encouraged.

3. DESCRIPTION OF THE CALL FOR PROPOSAL(S)

3.1. Basic act and Financing source

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) and in particular Art. 14 thereof constitute the legal basis of this programme.

This Action will be financed by budget line 21 03 01 "Non State Actors in Development".

3.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

(a) *In-country interventions (Objective 1a):*

The multi-annual Strategy Paper 2011-2013 foresees that in-country interventions to be supported under this component of the programme will be around 90% of the overall budget of the objective 1. These actions should be subsidiary to country strategy papers (CSP) and complement other thematic programmes, including the European Instrument for Democracy and Human Rights (EIDHR).

These interventions shall comprise small-scale local development activities. The overarching objective shall be the strengthening of civil society in partner countries as a pre-condition for a more equitable, open and democratic society. Principles of human rights, good governance and gender equality must apply to all activities.

Considering that there is a clear need to strengthen the capacity of local organisations in developing countries, to inform citizens about their rights, to increase their capacity to understand government policies and their implications on poverty and inequality, as well as the internal and international context in which decisions affecting their daily life are being taken, all actions to be supported under this component of the programme should include an element of capacity building of local actors.

Cross cutting issues of children's and older people's rights, rights of persons with disabilities, the environment and climate change, as well as the fight against HIV/AIDS, will be ensured. All projects will need to show, whenever relevant, how these issues are taken into account in the design, implementation and monitoring of their activities. Principles such as empowerment, participation, non-discrimination of vulnerable groups and accountability will be adequately taken into account.

Non state actors which propose an action must be able to demonstrate to have regularly carried out activities in the field of development of the kind covered by this programme during at least the last three years for non-state actors from a member state of the European Union and two years for non-state actors from the targeted country. Non state actors which propose an action must be able to demonstrate their capacity to implement the proposed action. Non-state actors originating from the European Union which propose an action must also demonstrate i) an established and effective partnership with non-state actors (or local authorities) in the country, where the action is taking place, and ii) that the proposed action is based on an initiative of local partners and ensure their involvement during all the stages of the implementation of the action.

Stable partnerships between NSA and LA are encouraged, provided that the partnership demonstrates in the application its added value for the implementation of the action

In line with Paris Declaration for aid effectiveness and the Accra Agenda for Action, subsidiarity and complementarity with other geographic or thematic interventions will be assured by Delegations.

A particular attention will be given to the participation of the NSA from the partner countries and this in order to better strengthening the capacity of the local actors of a

given country. In this sense, and according with the local conditions, each Delegation will have the possibility to define specific criteria in order to respond to defined local needs.

The list of the **76 targeted countries** and respective country envelopes is presented below under point 3.3.

Expected results: considering the nature of the programme, which encompasses a wide range of activities, sectors and actors, and the different priorities and sectors/actors being targeted by the country programmes, the results to be expected from these interventions are very diverse. Those results could consist, among others, in:

- i) increased capacity of non state actors to strengthen their participation in poverty reduction and sustainable development strategies,
- ii) changes in government policy and practice towards NSA and associations of NSAs in favour of their involvement in the development process,
- iii) increased participation of the poorest sections of the population in the policy making processes, including particularly marginalized and vulnerable groups that do not have access to social services,
- iv) creating quality partnerships to promote the networking et alliances between large numbers of different actors from the EU and partner countries (South-South and North-South),
- (v) increased interaction between state and non-state actors in different contexts, including non state actor involvement in policy dialogue with government and capacity to play an oversight role,
- vi) increased capacity of organisations representing employees and employers to engage in social dialogue and to promote social responsibility of companies,
- vii) strengthened capacity of citizens to launch actions, defend their rights and to participate in the political dialogue on a regional, national and international level,
- viii) progressive transfer of capacities of Non-State Actors to actors on different levels (local, regional, national).

(b) Multi-country interventions (Objective 1b):

The new multi-annual Strategy Paper 2011-2013 foresees that under Objective 1 b part of the programme's financial resources (around 10%) will be allocated to support multi-country and/or multi-regional interventions.

Priority will be given to:

- (i) actions targeting issues common to different regions (desertification, migration, water management, participative development,...). Actions submitted under this priority must focus on issues of global concern dealt with by networks of NSA at multiregional or global level. In this context, South-South cooperation will be encouraged;

(ii) actions aiming at structuring networks of civil society organisations on the global, interregional and regional level. Actions submitted under this priority must focus on strengthening the regional, interregional or global aspect of the network's structure.

Overarching principles of human rights, good governance and gender equality must apply to all activities. Cross cutting issues of children's and older people's rights, rights of persons with disabilities, the environment and climate change, as well as the fight against HIV/AIDS, will be ensured. All projects will need to show, whenever relevant, how these issues are taken into account in the design, implementation and monitoring of their activities. Principles such as empowerment, participation, non-discrimination of vulnerable groups and accountability will be adequately taken into account.

Non state actors which propose an action must be able to demonstrate to have regularly carried out activities in the field of development of the kind covered by this programme during at least the last five years.

Expected results

Considering the nature of the programme, which encompasses a wide range of activities, sectors and actors, and the different priorities and sectors/actors targeted, the results to be expected from these interventions are very diverse. As regards global/multi-country interventions, those results could consist, among others, in:

- an increased number of trans-national activities, exchanges, confidence building, networking and coordination activities between non-state actors and local authorities (North-South or South-South),
- an increase in local civil society's support for and involvement in global and regional development processes and dialogues and/or
- dissemination of best practices at global or regional level and replication at national or local level.

Initiatives and projects with a supranational dimension may lead to an added value vis-à-vis the country programmes and to increased support of local populations and their involvement in regional integration processes.

3.3. Eligibility conditions

(a) *In-country interventions (Objective 1a):*

European Union support to the above-mentioned actions will, as a rule, take the form of grants. Awarding of grants under this component of the programme will comply with the standard procedure of calls for proposals. Considering the high number of proposals expected, the system of restricted calls for proposals (concept note + complete proposals) will in principle be used.

Under Objective 1a in-country actions, the country-based programmes will be implemented at local level by EU Delegations. As stated above, and in line with the principles of subsidiarity and complementarity as defined in the DCI Regulation

(Art. 11), each country call for proposals will define respective country priorities and eligible applicants. In defining the priorities for the specific Call for Proposal, Delegations have to follow i) the objectives established by the DCI Instrument and in particular Art. 14 thereof, ii) the objectives as set out by the Strategy 2011-2013, and iii) the present AAP. However, in order to ensure complementarity with and subsidiarity to the ongoing and planned geographic programme and the aid effort of Member States, Delegations are encouraged to focus the priorities on particular sectors, actors, beneficiaries or regions.

For the Delegations which have launched a Call for Proposals for the 2010 budget and where the possibility to combine 2010 and 2011 funds has been foreseen in the guidelines, no specific calls for proposals will be published for the 2011 budget.

Exceptionally, for those targeted countries where there is no possibility of publishing a local call, grants for in-country interventions will be awarded via call(s) for proposals to be launched and managed by headquarters in Brussels. In such cases, the call for proposals will clearly indicate each financial envelope by country.

Eligibility: As applicants, all types of non-state actors², whose headquarters are in the concerned targeted countries (indicated in the list below) where the action is taking place or in a Member State of the European Union, can apply under this component of the programme. In addition, all non state actors must be officially registered as such, according to the legislation in force in their respective country during at least the last three years for non-state actors from a member state of the European Union and two years for non-state actors from the targeted country.

As partners, non state actors and local authorities from partner countries³ and from Member States of the European Union can apply. Non state actors must satisfy the same eligibility criteria as applicants. For the eligibility criteria of local authorities please refer to Action Fiche 4.

The **list of 76 targeted countries** and respective allocations for non-state actors foreseen for 2011 is provided hereafter: Countries that have no amount stated in the right column are foreseen to receive an allocation in 2012 or 2013.

African, Caribbean and Pacific countries:

	Budget Line 21 03 01 (EUR)
Angola *	3 000 000

² According to article 24.2 of Regulation (EC) n°1905/2006, the non-State, non-profit making actors eligible for financial support include "NGOs, organisations representing indigenous peoples, organisations representing national and/or ethnic minorities, local traders' associations and citizens' groups, cooperatives, trade unions, organisations representing economic and social interests, organisations fighting corruption and fraud and promoting good governance, civil rights organisations and organisations combating discrimination, local organisations (including networks) involved in decentralised regional cooperation and integration, consumer organisations, women's and youth organisations, teaching, cultural, research and scientific organisations, universities, churches and religious associations and communities, the media and any non governmental associations and independent foundations, including independent political foundations, likely to contribute to the implementation of the objectives of this Regulation".

³ Eligible partner countries are established in article 1(1) of the Regulation (EC) n°1905/2006

Benin*	1 300 000
Burundi*	
Cameroun	3 000 000
Cape Vert	
Chad*	1 500 000
Comoros*	
Congo Brazzaville	3 800 000
Djibouti*	300 000
Dominican Rep.	3 000 000
East Timor*	
Equatorial Guinea*	
Eritrea*	250 000
Gabon	1 750 000
Gambia*	
Ghana	
Guinea Bissau*	3 500 000
Guyana	300 000
Haiti*	3 650 000
Ivory Coast	
Kenya	5 000 000
Lesotho*	300 000
Liberia *	
Madagascar*	1 500 000
Mauritania*	
Mauritius	
Mozambique*	5 000 000
Namibia	
Niger*	
PNG	
RCA*	3 000 000
RDC*	5 000 000
Rwanda*	2 500 000
Sao Tomé and Príncipe*	1 300 000
Senegal *	1 000 000
Sierra Leone*	3 500 000
Solomon Islands*	450 000
Somalia*	2 500 000
Sudan*	5 000 000
Swaziland	2 500 000

Togo*	
Tonga	
Uganda*	2 700 000
Zambia*	3 000 000
Zimbabwe	2 200 000
TOTAL	71 800 000

Central and South American countries

	Budget Line 21 03 01 (EUR)
Argentina	700 000
Bolivia	2 200 000
Brazil	5 000 000
Chile	1 200 000
Colombia	2 000 000
Cuba	1 300 000
El Salvador	1 700 000
Guatemala	2 000 000
Honduras	1 500 000
Mexico	2 000 000
Nicaragua	2 500 000
Paraguay	1 000 000
Peru	2 500 000
Venezuela	2 300 000
TOTAL	27 900 000

Asian countries

	Budget Line 21 03 01 (EUR)
Afghanistan*	2 000 000
Bangladesh*	3 800 000
Burma / Myanmar*	2 350 000
Cambodia*	2 000 000
China	2 300 000
India	
Indonesia	850 000
Laos*	2 000 000
Mongolia	850 000
Nepal*	2 000 000
North Korea	500 000

Pakistan	2 300 000
Philippines	2 300 000
Sri Lanka	1 000 000
Thailand	2 800 000
Vietnam	1 200 000
TOTAL	28 250 000

Central Asian countries

	Budget Line 21 03 01 (EUR)
Kazakhstan	300 000
Kyrgyzstan	450 000
Tajikistan	350 000
TOTAL	1 100 000

Middle East countries

	Budget Line 21 03 01 (EUR)
Iran	1 500 000
Yemen*	1 050 000
TOTAL	2 550 000

ENPI countries**

	Budget Line 21 03 01 (EUR)
Algeria	400 000
Armenia	400 000
Azerbaijan	
Belarus	2 150 000
Egypt	1 000 000
Georgia	400 000
Jordan	200 000
Moldova	250 000
Morocco	750 000
Russia	2 000 000
Syria	250 000
Tunisia	1 200 000
Ukraine	650 000
West Bank and Gaza Strip	2 400 000
TOTAL	12 050 000

TOTAL all regions	143 650 000
--------------------------	--------------------

(*) Least Developed Countries (OECD/DAC list)

** The percentage dedicated to ENPI region globally exceed the 6% foreseen in the Strategy 2011-2013 given the current political situation in some of the countries.

As foreseen in the Strategy 2011-2013, the above list of countries was established further to i) Delegations having consulted local civil society organisations, in order to identify the needs, ii) Delegations submitting their substantiated requests for an allocation to European Commission Headquarters (identified need, identified priorities, complementarity with and subsidiarity to geographic programme, management capacity of Delegation, estimated required financial resources), and iii) an interservice committee making the necessary arbitrations between all received requests using the following two criteria and the past absorptive capacity of the concerned country:

(1) Country situations not conducive to ensuring non state actors and local authorities' involvement in the development process, including difficult partnerships⁴, unstable situations, conflict, poor governance etc.

(2) Country where specific needs of parts of the population are not addressed in its development strategy and groups are out of reach of mainstream services and resources, excluded from the policy-making process

(c) *Multi-country interventions (Objective 1b):*

European Union support to the above-mentioned actions will, as a rule, take the form of grants.

Awarding of grants under this component of the programme will comply with the standard procedure of calls for proposals. A single Call for Proposals for Non-State Actors will be published by Headquarters in Brussels. Considering the high number of proposals expected, the system of restricted calls for proposals (concept notes + full proposals) will in principle be used.

Grants awarded following the global calls for proposals are selected by the Commission Headquarters, in cooperation with EU Delegations, and are managed either by headquarter or, whenever possible, by EU Delegations.

Eligibility: As applicants, all types of non-state actors⁵, whose headquarters are in one of the partner countries⁶ or in a Member State of the European Union can apply

⁴ Situations of difficult partnership are defined as those where for one of the following reasons the usual cooperation instruments cannot be fully used to support initiatives undertaken by stakeholders other than central governments: (1) countries where cooperation has been suspended, (2) countries where the authorities are not committed to objectives of poverty reduction and to other basic principles of development policy, including good governance and participation of civil society and decentralized authorities, (3) countries where the dialogue on participatory approaches to development is very limited.

⁵ According to article 24.2 of Regulation (EC) n°1905/2006, the non-State, non-profit making actors eligible for financial support include "NGOs, organisations representing indigenous peoples, organisations representing national and/or ethnic minorities, local traders' associations and citizens' groups, cooperatives, trade unions, organisations representing economic and social interests, organisations fighting corruption and fraud and promoting good governance, civil rights organisations

under this component of the programme. In addition, all non state actors must be officially registered as such, according to the legislation in force in their respective country during at least the last five years.

As partners, non-state actors and local authorities from partner countries⁷ and from Member States of the European Union can apply. Non state actors must satisfy the same eligibility criteria as applicants, with the exception that they must be officially registered during at least the last three years. For the eligibility criteria of local authorities please refer to Action Fiche 4.

Geographical focus

Actions supported under this component of the programme must cover issues concerning several countries or several regions proposing actions in at least 3 partner countries eligible to this programme according to the DCI Regulation. Priority will be given to actions targeting issues of global concern.

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Financial Regulation and its Implementing Modalities, in the Practical Guide to Contract Procedures for EU external actions as well as in the Guidelines of the concerned call for proposals.

As a general rule, the maximum possible rate of EU co-financing for actions to be funded and the maximum and minimum duration of actions are as follows:

(a) *In-country interventions (Objective 1a):*

- Grants must fall between a minimum of EUR20 000 and a maximum of EUR1 000 000.
- For European NSAs, the maximum possible rate of EU co-financing is 75% of the total costs of the action, while the rate of co-financing can also be below 75%.
- For NSAs from partner countries, the maximum possible rate of EU co-financing is 90% of the total costs of the action, while the rate of co-financing can also be below 90%.
- In duly substantiated exceptional cases, the Commission can also decide to allocate a maximum possible rate of EC co-financing of 100% of the total costs of the action in line with the provisions of article 169 of the Financial Regulation and articles 168.2 and 253 of the implementing modalities.
- Duration of the action: the initially foreseen duration of an action may not be lower than 24 months nor exceed 60 months. Under their respective calls for

and organisations combating discrimination, local organisations (including networks) involved in decentralised regional cooperation and integration, consumer organisations, women's and youth organisations, teaching, cultural, research and scientific organisations, universities, churches and religious associations and communities, the media and any non governmental associations and independent foundations, including independent political foundations, likely to contribute to the implementation of the objectives of this Regulation".

⁶ and ⁷ Eligible partner countries are established in article 1(1) of the Regulation (EC) n°1905/2006

proposals each Delegation may determine the minimum and maximum durations, provided these stay within the above-mentioned limits. In duly justified cases, Delegations can finance actions of 12 months.

(d) Multi-country interventions (Objective 1b):

- Grants must fall between a minimum of EUR1 000 000 and a maximum of EUR 4 000 000.
- Maximum possible rate of EU co-financing: 75% of the total costs of the action for European NSAs and 90% for partner countries NSAs.
- Duration of the action: the initially foreseen duration of an action may not be lower than 24 months nor exceed 60 months.

Microfinance activities (for in-country and multi-county interventions)

As regards microfinance activities, additional conditions will be applied in order to ensure more professional and sustainable delivery of microfinance interventions. Details of these conditions will be contained in the guidelines for calls for proposals, in line with the EU Guidelines for Support to Microfinance: they aim at achieving professionalization and sustainability through introduction of minimum requirements as regards the applicant's expertise (i.e. the capacity to provide financial services according to agreed international standards of good practice) and experience (track record in the microfinance field), application of selection criteria on the type and design of the actions, and inclusion of performance measuring and monitoring system concerning the actions

3.5. Schedule of calls for proposals

Call for proposals are expected to be published as from the second quarter of 2011. All calls for proposals will be published on the EuropeAid Development and Co-operation central website. Call for proposals concerning in-country interventions are additionally to be published on the concerned Delegation website.

3.6. Indicative amount of call for proposals

The indicative financial envelope for 2011 for this component of the thematic programme amounts to EUR 159 554 500, under budget line 21 03 01 "Non State Actors in Development", of which EUR 143 650 000 for in-country interventions (90%) and EUR 15 904 500 for multi-country interventions (10%).

Regarding the Multi-Country Call for proposal, in 2011 a Call for Proposal for the combined funds of 2011 and 2012 could be launched. In this case, the projects financed from the AAP 2011 shall be contracted in 2011 and 2012. Subject to the approval of the 2012 final budget by the Budgetary Authority and confirmation in the 2012 AAP of the possibility of combining two budget years in a combined call for proposals, an additional amount could be allocated to the combined Call for Proposal from the 2012 AAP and the projects financed from the AAP 2012 shall be contracted in 2012 and 2013.

In some specific cases, in accordance with the Paris Declaration for aid effectiveness and the Accra Agenda for Action, the opportunity could be considered, when relevant, to pool EU financial contributions with similar funds from other donors as defined in article 18 of the Financial Regulation. Indirect centralised management could be also used where the respective conditions of the Financial Regulation are met.

4. SUPPORT MEASURES

Applicants will be invited to foresee systematically evaluations under each project's budget. Monitoring and follow up missions by the staff or external consultants of the Commission will be conducted regularly. Close information links will therefore be maintained between European Commission headquarters and European Union Delegations.

For in-country interventions, EU Delegations will be able to spend up to 5% of the respective annual operational country allocation for support measures accompanying the implementation of this component of objective 1 of the thematic programme. Those accompanying support measures must cover activities of direct benefit to the organisations/partners targeted by the country programme, such as consultation sessions, information sessions on Calls for Proposals, support for PADOR registration⁷, local mapping studies, training seminars and workshops related to the programme, support to the structuring of country representative organisations (platforms) and/or other activities focusing the strengthening of actors. Support measures do not cover activities or expenditure aimed at helping the delegation to manage the programme, such as technical assistance for the evaluation of proposals or follow-up of the programme.

All support measures must be implemented in accordance with the European Union's standard procedures for the implementation of external actions.

⁷ The "Potential Applicant Data Online Registration – PADOR" is a database managed by DEVCO containing information about organisations applying for grants of the European Commission in the field of external assistance.

Action Fiche 2
NON-STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT

PART NSA – OBJECTIVE 2

1. Identification

Title/Number	Non-state actors (NSA) – ED (Development Education)		
Total cost	EU contribution: EUR (Budget Line 21.03.01) TOTAL EUR 27 121 500		
Method/ Management mode	Project approach - Call for Proposals managed by European Commission Headquarters <i>Centralised (direct management)</i>		
DAC-code, if applicable	99820	Sector	Promotion of development awareness

2. Rationale

The thematic programme "Non-state actors and Local Authorities in Development" aims at co-financing initiatives proposed and/or carried out by civil society organisations and local authorities originating from the EU and partner countries in the area of development, with a general objective of poverty reduction in the context of sustainable development, including pursuit of the Millennium Development Goals (MDG) and other internationally agreed targets. It is based on the Article 14 of the Development Cooperation Instrument (DCI)¹ and it is detailed in the Multi-annual Strategy Paper for the Thematic Programme 2011-2013².

This Action Fiche refers to the NSA component of the programme's objective 2, which is dedicated to raising public awareness of development issues and promoting education for development in the EU and acceding countries in order to anchor awareness and understanding of global development in European societies, to mobilise greater public support for action against poverty and fairer relations between developed and developing countries, and to change attitudes towards the issues and difficulties facing developing countries and their peoples.

The Annual Action Programme NSA-LA 2011 is part of the new multiannual Strategy for the period 2011-2013, which was drawn up to ensure a large degree of continuity with the previous programming period 2007-2010, while introducing

¹ Regulation (EU) No 1905/2006 of the European Parliament and the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ 378, 27.12.2006)

² To be adopted in March – April 2011

ameliorations proposed by the Mid-Term Review³ and the DEAR study⁴, a strategy and consensus building process in the field of Development Education and Awareness Raising (DEAR).

The DEAR Study ("Study on the Experience and Actions of the Main European Actors Active in the Field of Development Education and Awareness Raising") process - launched by the European Commission and undertaken by a team of Development Education experts in 2010 - has provided the basis for a stronger EU involvement in the field of Development Education and Awareness Raising, as well as options for new orientations in the programme.

The AAP incorporates the practical applications of the most important findings and recommendations of the study. It puts emphasis on the European approach, which is a major added value of the European Commission in the field of DEAR.

The study highlighted the need for the European Commission to develop its role and create added value to initiatives of Member States and other key actors. It recommends that the EU play a stronger role in facilitating dialogue among DEAR policy-makers and practitioners. The study also emphasizes that the added value of the work of the European Commission in DEAR, and the main asset of the EU programme, lies in its European dimension.

The study proposes a number of core recommendations to increase the efficiency of the EU's intervention in DEAR, and the coherence of this intervention with the actions of other DEAR actors, particularly those of Member States. Many of these recommendations will be translated into practical aspects of Objective 2.

Hence, a clarified framework, in line with those adopted by many Member States and DEAR practitioners, provides the basis for EU DEAR support. Likewise, following recommendations from the study, a stronger emphasis will be put on complementarity and coordination with Member States for interventions in the field of Formal Education. The EU will also strive to support dialogue among DEAR policy-makers and stakeholders, as well as ensure capitalization from ongoing and previous experiences.

3. Description of the call for proposal(s)

3.1. Basic act and Financing source

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) constitutes the legal basis of this programme.

³ Final report available:
http://ec.europa.eu/development/icenter/files/europa_only/consultation_non_state_actors_main_report.pdf

⁴ Information available under: <https://webgate.ec.europa.eu/fpfis/mwikis/aidco/index.php/DEAR>

This Action will be financed by budget line 21 03 01 "Non State Actors in Development".

3.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

According to the multi-annual Strategy Paper 2011-2013, and in order to maximise the impact and effectiveness of development education actions, under thematic programme's objective 2, priority should be given to actions covering the following themes:

- Public support for the MDG agenda, with a particular focus on sub-Saharan Africa;
- Coherence for development, with a particular focus on areas of public interest where common goals with development are important such as migration, trade (especially Fair Trade), microcredit, security, human rights, social dimension of globalisation and decent work;

These priorities have to be seen within the current international debate on aid effectiveness and aid architecture reform (Paris Declaration, Accra Agenda of Action).

The programme will particularly support initiatives which aim:

(a) to develop European citizens' awareness and critical understanding of the interdependent world and of their role, responsibility and lifestyles in relation to a globalised society; and

(b) to support their active engagement in local and global attempts to eradicate poverty, and promote justice, human rights, and sustainable ways of living.

Recognizing that the comparative advantage of European Commission interventions lies in its European dimension, all supported actions will be of a multi-country and multi-actor nature. While these actions are carried out in Europe, partnership with actors from developing countries will be strongly encouraged.

Interventions supported under this programme will generally follow one of the two following main approaches:

(a) The "Global Learning" approach, which aims at enhancing the competences of the groups of learners in addressing issues of global development. It uses learner-centred, participatory, dialogue-oriented and experiential methodologies to develop such competences

(b) The "Campaigning/Advocacy" approach, which aims at concrete changes in behaviour at individual and collective levels, or in institutional/corporate policies. It uses results-oriented strategies. It facilitates and supports informed citizen engagement and advocacy for more just and sustainable policies, political/economic structures and individual practices.

Specific requirements are laid out for each of the following types of interventions:

- Global Learning interventions within the formal education sector:

Close attention will be paid to ensuring that such interventions are integrated with development and education policies at both national and European levels.

Civil Society Organisations wishing to develop interventions within the formal education sector will be required to provide evidence that the planned intervention is approved and supported by the competent educational authorities at the appropriate level.

Intervention in this sector will also respect the multi-country approach. A minimum of two countries of interventions will be required.

Partnerships between actors operating in EU 12 and EU 15 countries will be prioritized.

- Global Learning interventions outside of the formal education system, and Campaigning/Advocacy interventions:

A multi-country European approach will be mandatory for actors wishing to intervene in this domain. A minimum of three countries of interventions will be required.

Partnerships between actors operating in EU 12 and EU 15 countries will be prioritized.

The European Commission will take into consideration the specificity of actor and will favour a balance in the types of Non State Actors carrying out actions.

- For all types of Actions:

20% of the funds available Objective 2 of this programme will be allocated preferably to development education and awareness raising actions in the twelve new Member States (EU12), and proposed by organisations originating from these countries.

All actions should be based on an initiative of an organisation from the country/countries where the action is taking place, and their involvement should be ensured during all stages of implementation.

Non state actors which propose an action must be able to demonstrate to have regularly carried out activities in the field of development of the kind covered by this programme during at least the last three years (two years for organisations from the twelve new Member States).

Expected results are: i) increased public awareness on global interdependencies between the EU and developing countries; ii) changing attitudes and improved public understanding of the issues and difficulties facing developing countries and their peoples; iii) better integration of development issues into formal and non-formal education systems in Member States and acceding countries; iv) level of engagement to promote coherence of development, v) support of European citizens for structural long term development assistance, and vi) greater mobilisation in new Member States and acceding countries, including through networking, campaign work, exchange of experience and best practices.

3.3. Eligibility conditions

For this component of the programme all types of non profit making non-state actors whose headquarters are in a Member State of the European Union or in an acceding country are eligible, while local authorities and associations of local authorities can participate as partners.

Besides, to have access to funding under this component of the thematic programme, all non state actors must be officially registered as such, according to the legislation in force in their respective country. They must have been registered for at least three years at the time of the submission of an application.

As far as organisations from the twelve new Member States are concerned this legal existence requirement can be reduced to two years.

Geographical focus:

Global Learning interventions within the formal education sector must include interventions in a minimum of two Member States of the European Union or acceding countries.

Global Learning interventions outside of the formal education system, as well as Campaigning/Advocacy interventions must include interventions in a minimum of three Member States of the European Union or acceding countries.

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EC external actions, as well as in the Guidelines of the concerned Call for Proposals.

Maximum rate of EU co-financing for grants is 75% of the total costs of the action, or a lower percentage. However, exclusively for actions proposed by organisations from the 12 new EU Member States (EU12), the maximum rate of EU co-financing can correspond to 90% of the total costs of the action.

Grants awarded must fall between EUR 100 000 and EUR 1 000 000.

The duration of an action may not be lower than 12 months nor exceed 36 months.

3.5. Schedule of calls for proposals

Call for proposals are expected to be published during the year 2011.

All calls for proposals will be published on the DG DEVCO Development and Co-operation central website.

3.6. Indicative amount of call for proposals

The indicative financial envelope for 2011 for this component of the thematic programme amounts to EUR 27 121 500, under budget line 21 03 01 "Non State Actors in Development".

In 2011 a Call for Proposal for the combined funds of 2011 and 2012 could be launched. In this case, the projects financed from the AAP 2011 shall be contracted in 2011 and 2012. Subject to the approval of the 2012 final budget by the Budgetary Authority and confirmation in the 2012 AAP of the possibility of combining two budget years in a combined call for proposals, an additional amount could be allocated to the combined Call for Proposal from the 2012 AAP and the projects financed from the AAP 2012 shall be contracted in 2012 and 2013.

4. Support measures

Support measures will be used to promote both capacity building and capitalization of results and experiences of EC-funded DEAR projects.

- An annual initial conference will be organized for all new grant beneficiaries. This meeting will allow us to foster a better understanding of project management and EU procedures, as well as stronger coherence between projects funded by the EC. Networking and experience-sharing will also be strongly encouraged. The conference will also allow the development of better relations between the EU and Beneficiaries.
- An annual conference of stakeholders will be organized by the EU. Its main purpose will be to support dialogue among DEAR policy-makers and practitioners, to foster capacity-building, and to encourage the sharing of experiences and networking among DEAR actors. Additionally the conference of stakeholders will strengthen capitalization of results from EU-funded projects.
- The EU CISocH website will be enriched with DEAR-specific pages. The site will provide current information concerning the EU DEAR action, and will showcase good practices in the field of DEAR.

ANNEX III TO AAP 2011

ACTION FICHE 3 NON STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT PART NSA – OBJECTIVE 3

1. Identification

Title/Number	Non-state actors (NSA) – Coordination, cooperation and networking activities		
Total cost	EU contribution: EUR 3 174 500 (Budget Line 21.03.01) TOTAL EUR 3 174 500		
Method/ Management mode	Project approach – Centralised Call for Proposals managed by European Commission Headquarters <i>Centralised (direct management)</i>		
DAC-code, if applicable	15150	Sector	Strengthening of civil society

2. Rationale

Within the thematic programme "Non-State Actors and Local Authorities in Development", this Action Fiche refers, as stated in DCI Regulation (article 14), to the programme's objective 3 which aims at **“achieving more efficient cooperation, foster synergies and facilitate a structured dialogue between civil society networks, within their organisations and with EU institutions”**.

Between 2007 and 2010, this programme allowed the financing of actions submitted by Non-State Actors through three calls for proposals launched respectively in 2007, 2008 and 2010. More particularly, objective 3 of the programme contributed to the strengthening of networks and coalitions of organisations active in development cooperation. In line with the 2011-2013 strategy, this Annual Action Plan will focus on two interrelated specific objectives: capacity-building/structuration of networks and institutional dialogue.

3. Description of the call for proposal(s)

3.1. Basic act and Financing sources

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) constitutes the legal basis of this programme.

This Action will be financed by budget line 21 03 01 "Non-State Actors in Development".

3.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

According to the multi-annual Strategy Paper 2011-2013 and to support institutional dialogue, priority will be given to: (1) institutional coordination between Non-State Actors' representative platforms and European institutions through the reinforcement of multi-actor dialogue; (2) capacity building of representative platforms organised at European level.

Through this Annual Action Plan, support will be provided essentially to organisations and their representative's bodies active in development cooperation organised either (i) by type of actors or (ii) by sector of cooperation. In that sense, it is of a crucial importance that applicants (or/and their partners & members) demonstrate to have regularly carried out activities in partner countries. Applicants with extensive working relationships with Partner countries' organisations will be privileged.

Expected results could be among others: i) increased capacity of NSA platforms in playing an active role in their countries and on the EU level, notably regarding EU development policies, (ii) more effective structured dialogue with European Institutions, in particular with the European Commission, (iii) enhanced advocacy-skills at the EU level, iv) strengthening of Non-State Actor's networks and platforms, including their capacity to build transnational alliances established in relation to European and/or global agenda and issues; v) increased number of partnerships comprising multiple actors established and consolidated exchanges between networks representing different types of actors.

3.3. Eligibility conditions

European Union support to the above-mentioned actions will, as a rule, take form of grants.

DEVCO will publish a restricted call for proposals (concept notes + complete proposals). The guidelines of the call will give more information on the eligibility and award criteria.

Eligibility

Actors: For this component of the programme all types of non profit making non-state actors¹ whose headquarters are in a Member State of the European Union or in an acceding country are eligible, while Non-state actors from partner countries as well as local authorities and associations of local authorities can participate as partners. Besides, to have access to funding under this component of the thematic programme, all Non-state actors must be officially registered as such, according to the legislation in force in their respective country. They must have been registered for at least three years at the time of the submission of an application.

However for the purpose of this objective, Non-state actors already organised within a network, platform, confederation, coalition etc. will be more particularly taken into consideration. Similarly, representative bodies already organised at the European and

¹ See article 24.2 of the DCI Regulation (n° 1905/2006).

level will be privileged. In that sense, members and/or partners of representative bodies should come from the significant number of EU Member-States.

Actions : Actions financed should aim at (i) strengthening the capacity and the structuration of NSAs (organisation of seminars, workshops, training sessions, support to information and communication tools, other capacity building and networking activities etc.) and (ii) increasing interactions between NSA platforms and EU institutions (launching of advocacy campaigns, regular meeting with EU institutions, participation in official European and global events, etc.).

Grants awarded must fall between EUR 100 000 and EUR 600 000.

The foreseen duration of an action may not be lower than 12 months nor exceed 36 months.

Geographical focus: Actions should be designed and implemented taking into consideration the EU dimension. Activities must take place in several EU Member States or in acceding countries. When and if relevant, specific components of the project can be organised outside of EU, in Partners countries.

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EU external actions. Criteria will be further refined in the call for proposals guidelines.

The maximum possible rate of EU co-financing for grants is 75%. Full financing may only be applied in line with the provisions of article 169 of the Financial Regulation and Articles 168.2 and 253 of the Implementing Rules of the Financial Regulations, where financing in full is essential to carry out the action in question.

Exceptionally, and exclusively for actions proposed by organisations from the 12 new Member States (EU 12), the rate of EU co-financing can correspond to 90% of the action total costs of the action.

3.5. Schedule of calls for proposals

Call for proposals are expected to be published as of mid-2011.

All calls for proposals will be published on the EuropeAid Development and Co-operation central website.

3.6. Indicative amount of call for proposals

The indicative financial envelope for 2011 for this component of the thematic programme amounts to **EUR 3 174 500** under budget line 21 03 01 "Non State Actors in Development".

In 2011 a Call for Proposal for the combined funds of 2011 and 2012 could be launched. In this case, the projects financed from the AAP 2011 shall be contracted in 2011 and 2012. Subject to the approval of the 2012 final budget by the Budgetary Authority and confirmation in the 2012 AAP of the possibility of combining two budget years in a combined call for proposals, an additional amount could be

allocated to the combined Call for Proposal from the 2012 AAP and the projects financed from the AAP 2012 shall be contracted in 2012 and 2013.

In some specific cases, in accordance with the Paris Declaration for aid effectiveness and the Accra Agenda for Action, the opportunity could be considered, when relevant, to pool EU financial contributions with similar funds from other donors as defined in article 18 of the Financial Regulation. Indirect centralised management could be also used where the respective conditions of the Financial Regulation are met.

4. Support measures

Applicants will be invited to foresee systematically evaluations under each project's budget. Training, monitoring and follow up missions by the staff or external consultants of the Commission will be conducted regularly.

To contribute to the programme's objective of increased dialogue and coordination with European institutions, applicants should include regular meetings with EU services within their project's proposal. Similarly, they should be in a capacity to actively participate in forthcoming EU consultations exercises related to development cooperation (as for instance of 2010-2011 Structured Dialogue for an effective partnership in development).

All support measures must be implemented in accordance with the European Commission's standard procedures for the implementation of external actions.

ANNEX IV TO AAP 2011

ACTION FICHE 4

NON STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT

PART LA – OBJECTIVE 1

1. IDENTIFICATION

Title/Number	Local Authorities (LA) – Actions in partner countries (In-country and multi-country interventions)		
Total cost	EU contribution: EUR 31 272 500 (Budget Line 21.03.02) TOTAL EUR 31 272 500		
Method/ Management mode	Project approach - Calls for Proposals managed by European Commission Headquarters and European Union Delegations <i>Centralised (direct management)</i>		
DAC-code, if applicable	15112	Sector	Decentralisation and support to subnational government

2. RATIONALE

The thematic programme "Non State Actors and Local Authorities in Development" aims at co-financing initiatives proposed and carried out by civil society organisations and local authorities originating from the EU and partner countries in the area of development. The programme's added value lies in its nature of being an "actor oriented" programme, giving Civil Society Organisations and Local Authorities the opportunity (=right of initiative) to propose actions, which are then selected and co-financed by the European Commission.

The European Consensus on Development and the EC Communication "Local Authorities: Actors for Development"¹ recognise the key role of Local Authorities in promoting development. Hence poverty alleviation per se and the capacity building of Local Authorities are central objectives of Europe's development agenda.

The Annual Action Programme NSA-LA 2011 is part of the new multiannual Strategy for the period 2011-2013, which was drawn up to ensure a large degree of continuity with the previous programming period 2007-2010, while introducing

¹ EC Communication of 8/10/2008 COM(2008) 626 final <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=COM:2008:0626:FIN:EN:PDF>

ameliorations proposed by the Mid-Term Review². A maximum 15 % of the funding foreseen under this thematic programme shall be allocated to Local Authorities.

This Action Fiche refers to the objective 1 of the Local authorities component of the programme: **to support operations aiming at promoting an inclusive and empowered society in partner countries in order to (i) benefit populations out of reach of mainstream services and resources and excluded from policy making processes; (ii) strengthen the capacity of local authorities in partner countries, with a view to facilitating their participation in defining and implementing poverty reduction and sustainable development strategies; and (iii) support an increased role for local authorities in decentralisation processes**

Objective 1, which covers the 82% of the overall LA budget of the programme, is divided in two different types of interventions: in-country interventions managed by European Union Delegations (objective 1a) and multi-country interventions managed by European Commission Headquarters and European Union Delegations (objective 1b).

In 2011 the in-country interventions (Obj. 1a) cover around the 90% of the overall available budget dedicated to objective 1 and the remaining 10% are dedicated to the multi-country part (Obj. 1b).

This is in line with the Mid-Term Review (MTR) which recommended for the period 2011-2013 to reduce the multi-country part of the programme while increasing the in-country interventions, as indicated in the new multi-annual Strategy Paper 2011-2013. This re-equilibration is based on i) the increasing demand for the in-country interventions (where subsidiarity and complementary can in fact be better ensured), ii) the conclusion of the MTR highlighting the limited added value of multi-regional Multi-country interventions.

Regarding the **in-country interventions**, following the lessons learned from the previous period, more room for manoeuvre is given to EU Delegations in order to better target the actions to the local needs in terms of organisations (type and origin), themes, sectors, priorities and specific areas. This will allow Delegations to assure complementarity and subsidiarity with other initiatives presents in the country as well as to respond better to the needs of the consulted Local Authorities and Non State Actors, always in the respect of the right of initiative of the actors.

Multi-country interventions managed by Headquarters will be more focused on actions tackling issues common to different regions and encouraging South-South cooperation, as well as structuring networks on the global level.

² Final report available:
http://ec.europa.eu/development/icenter/files/europa_only/consultation_non_state_actors_main_report.pdf

3. DESCRIPTION OF THE CALL FOR PROPOSAL(S)

3.1. Basic act and Financing source

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) and in particular Art. 14 thereof constitute the legal basis of this programme.

This Action will be financed by budget line 21 03 02 "Local Authorities in Development".

3.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

a) In-country interventions (Objective 1a):

The multi-annual Strategy Paper 2011-2013 foresees that in-country interventions to be supported under this component of the programme will be around 90% of the overall budget of the objective 1. These actions should be subsidiary to country strategy papers (CSP) and complement other thematic programmes, including the European Instrument for Democracy and Human Rights.

These interventions shall comprise small-scale local development activities in a wide range of sectors and themes, including economic development, health, education, and institutional support, rural development, waste management, water facilities and other areas, in which local authorities intervention has a strong added value. The **overarching objective** shall be the strengthening of local authorities in partner countries as a pre-condition for a more equitable, open and democratic society, as well as strengthening their capacity to deliver services to the citizens, and in particular the most disadvantaged groups of population. Principles of Human Rights, Good governance and Gender equality must apply to all activities.

Considering that there is a clear need to strengthen the capacity of local organisations in developing countries, to inform citizens about their rights, to increase their capacity to understand government policies and their implications on poverty and inequality, as well as the internal and international context in which decisions affecting their daily life are being taken, all actions to be supported under this component of the programme should include an element of capacity building of local actors.

Cross cutting issues of children's and older people's rights, rights of persons with disabilities, the environment and climate change, as well as the fight against HIV/AIDS, will be ensured. All projects will need to show, whenever relevant, how these issues are taken into account in the design, implementation and monitoring of their activities. Principles such as empowerment, participation, non-discrimination of vulnerable groups and accountability will be adequately taken into account.

In all actions the execution of operations must remain under the responsibility and supervision of the LA and associations of LA, and mechanisms must be put in place to ensure sustainability of the actions after the EU-cofinanced project ends. Furthermore, steps should be taken under every project to ensure coherence with

relevant sectoral policies, fair conditions of access of the most vulnerable parts of the population, quality of services rendered.

Stable partnerships between NSA and LA are encouraged, provided that the partnership demonstrates in the application its added value for the implementation of the action.

In line with Paris Declaration for aid effectiveness and the Accra Agenda for Action, this subsidiarity and complementarity with other geographic or thematic interventions will be assured by Delegations

The list of the **40 targeted countries** and respective country envelopes is presented below under point 3.3.

Expected results could consist, among others, in:

- i) increased capacity of local authorities to strengthen their participation in poverty reduction and sustainable development strategies,
- ii) changes in government policy and practice towards local authorities in favour of their involvement in the development process,
- iii) increased participation of the poorest sections of the population in the policy making processes, including particularly marginalized and vulnerable groups that do not have access to social services,
- iv) fostering quality partnerships to promote the networking et alliances between large numbers of different actors from the EU and partner countries (South-South and North-South),
- v) increased interaction between state and non-state actors in different contexts, including non state actor involvement in policy dialogue with government and capacity to play an oversight role,
- vi) strengthened capacity of citizens to launch actions, defend their rights and to participate in the political dialogue on a regional, national and international level.

b) Objective 1 (Multi-country actions):

The new multi-annual Strategy Paper 2011-2013 foresees that under Objective 1 b part of the programme's financial resources (around 10%) will be allocated to support multi-country and/or multi-regional interventions.

Priority under this component of the programme for LA will be given to **global, multi-country actions** comprising activities aiming at:

- (i) actions targeting issues common to different regions (for example water management, participative development, or other issues, common to different regions, that traditionally fall within the remit, statutory responsibilities, competence and expertise of LA). Actions submitted under this priority must focus on issues of **global concern** dealt by networks of LAs at multiregional or global level.

(ii) actions aiming at **structuring networks of local authorities** on the global, interregional and regional level. Actions submitted under this priority must focus on strengthening the regional, interregional or global aspect of the networks structure.

Those actions mentioned above to promote operations and initiatives should be undertaken within the framework of decentralised cooperation that focus on poverty reduction and sustainable development, by taking a more participatory approach to development, responsive to the needs and initiatives of the populations in the partner countries; contributing to the diversification and reinforcement of civil society and democracy in the countries concerned.

Overarching principles of human rights, good governance and gender equality must apply to all activities. Cross cutting issues of children's and older people's rights, rights of persons with disabilities, the environment and climate change, as well as the fight against HIV/AIDS, will be ensured. All projects will need to show, whenever relevant, how these issues are taken into account in the design, implementation and monitoring of their activities. Principles such as empowerment, participation, non-discrimination of vulnerable groups and accountability will be adequately taken into account.

Expected results

Considering the nature of the programme, which encompasses a wide range of activities, sectors and actors, and the different priorities and sectors/actors targeted, the results to be expected from these interventions are very diverse. As regards global/multi-country interventions, those results could consist, among others, in:

(i) increased number of trans-national activities, exchanges, confidence building, networking and coordination activities between non-state actors and local authorities (North-South or South-South),

(ii) an increase in local authorities' support for and involvement in global and regional development processes and dialogues and/or

(iii) dissemination of best practices at global or regional level and replication at national or local level.

Initiatives and projects with a supranational dimension may lead to an added value vis-à-vis the country programmes and to increased support of local populations and their involvement in regional integration processes.

All actions in the framework of Objective 1 (in-country and multi-country) should be based on an initiative of local partners and should ensure their involvement during all the stages of the action implementation. Actions proposed by local authorities originating from the European Union must demonstrate to **have an established and effective partnership** with local authorities and associations in the partner countries where the actions are taking place.

3.3. Eligibility conditions

a) In-country interventions (Objective 1a):

European Union support to the above-mentioned actions will, as a rule, take the form of grants. Awarding of grants under this component of the programme will comply with the standard procedure of calls for proposals. Considering the high number of proposals expected, the system of restricted calls for proposals (concept note + complete proposals) will in principle be used. Under *Objective 1 - in-country actions*, the country-based programmes will be implemented at local level by EU Delegations. As stated above, and in line with the principles of subsidiarity and complementarity as defined in the DCI Regulation (article 11), each country call for proposals will define the respective country priorities and eligible applicants.. In defining the priorities for the specific Call for Proposal, Delegations have to follow i) the objectives established by the DCI Instrument and in particular Art. 14 thereof, ii) the objectives as set out by the Strategy 2011-2013, and iii) the present AAP. However, in order to ensure complementarity with and subsidiarity to the ongoing and planned geographic programme and the aid effort of Member States, Delegations are encouraged to focus the priorities on particular sectors, actors, beneficiaries or regions.

For the Delegations which have launched a Call for Proposals for the 2010 budget and where the possibility to combine 2010 and 2011 funds has been foreseen in the guidelines, no specific calls for proposals will be published for the 2011 budget.

Eligibility: As applicants, all types of local authorities, legally established, from the concerned targeted countries (indicated in the list below) where the action is taking place or from a Member State of the European Union, can apply under this component of the programme. In addition, all local authorities must be officially registered as such, according to the legislation in force in their respective country. Local authorities from a Member State of the European Union can only be considered eligible when the action is based on an initiative of local authorities' partners and ensure their involvement during all the stages of the action implementation. Local authorities originating from EU which propose an action must demonstrate an established and effective partnership with local authorities in the partner countries, where the actions are taking place.

As partners, non-state actors and local authorities from partner countries³ and from Member States of the European Union can apply. A minimum of one local authority or association of local authorities from the targeted countries shall apply as partner.

The **list of 40 targeted countries** and respective allocations for non-state actors foreseen for 2011 is provided hereafter. Countries that have no amount stated in the right column are foreseen to receive an allocation in 2012 or 2013.

African, Caribbean and Pacific countries

³ Eligible partner countries are established in article 1(1) of the Regulation (EC) n°1905/2006

	Budget Line 21 03 02 (EUR)
Cameroun	1 000 000
Chad*	300 000
Comoros*	
Congo Brazzaville	
Dominican Rep.	1 250 000
Gabon	800 000
Ghana	
Guinea Bissau*	1 250 000
Guinea Conakry*	
Guyana	225 000
Haiti*	1 200 000
Ivory Coast	
Madagascar*	1 000 000
Mali*	
Mauritania*	
Mozambique *	200 000
Namibia	
Niger*	
RCA*	450 000
Rwanda*	600 000
Sao Tomé and Príncipe*	600 000
Senegal*	750 000
Sierra Leone*	1 000 000
Togo*	
Zambia*	800 000
Zimbabwe	1 000 000
TOTAL	12 425 000

Central and South American countries

	Budget Line 21 03 02 (EUR)
Argentina	300 000
Bolivia	300 000
Brazil	1 300 000
Chile	300 000
Colombia	500 000
Cuba	500 000

Ecuador	2 000 000
El Salvador	500 000
Guatemala	500 000
Honduras	250 000
Nicaragua	500 000
Paraguay	300 000
Peru	300 000
Venezuela	300 000
TOTAL	7 850 000

Asian countries

	Budget Line 21 03 02 (EUR)
Cambodia*	900 000
India	4 000 000
Laos*	300 000
Nepal*	
Vietnam	
TOTAL	5 200 000

Central Asian countries

	Budget Line 21 03 02 (EUR)
Kazakhstan	200 000
TOTAL	200 000

Middle East countries

	Budget Line 21 03 02 (EUR)
Yemen*	450 000
TOTAL	450 000

ENPI countries**

	Budget Line 21 03 02 (EUR)
Armenia	250 000
Belarus	250 000
Georgia	
Israel	
Jordan	

Lebanon	350 000
Moldova	200 000
Ukraine	300 000
TOTAL	1 350 000

TOTAL all regions	27 475 000
--------------------------	-------------------

****** The percentage dedicated to ENPI region globally exceed the 6% foreseen in the Strategy 2011-2013 given the current political situation in some of the countries.

As foreseen in the Strategy 2011-2013, the above list of countries was established further to i) Delegations having consulted local civil society organisations and local authorities, in order to identify the needs, ii) Delegations submitting their substantiated requests for an allocation to European Commission Headquarters (identified need, identified priorities, complementarity with and subsidiarity to geographic programme, management capacity of Delegation, estimated required financial resources), and iii) an interservice committee making the necessary arbitrations between all received requests using the following two criteria and the past absorptive capacity of the concerned country:

(1) Country situations not conducive to ensuring non state actors and local authorities' involvement in the development process, including difficult partnerships⁴, unstable situations, conflict, poor governance etc.

(2) Country where specific needs of parts of the population are not addressed in its development strategy and groups are out of reach of mainstream services and resources, excluded from the policy-making process

(*) Least Developed Countries (OECD/DAC list)

b) Multi-country interventions (Objective 1b):

European Union support to the above-mentioned actions will, as a rule, take the form of grants.

Awarding of grants under this component of the programme will comply with the standard procedure of calls for proposals. A single Call for Proposals for Local Authorities will be published by Headquarters in Brussels. Considering the high number of proposals expected, the system of restricted calls for proposals (concept notes + full proposals) will in principle be used.

⁴ Situations of difficult partnership are defined as those where for one of the following reasons the usual cooperation instruments cannot be fully used to support initiatives undertaken by stakeholders other than central governments: (1) countries where cooperation has been suspended, (2) countries where the authorities are not committed to objectives of poverty reduction and to other basic principles of development policy, including good governance and participation of civil society and decentralized authorities, (3) countries where the dialogue on participatory approaches to development is very limited.

Grants awarded following the global calls for proposals are selected by the Commission Headquarters, in cooperation with EU Delegations, and are managed either by headquarter or, whenever possible, by EU Delegations.

Eligibility:

As applicants, all types of local authorities or associations of local authorities legally established, from the concerned targeted countries (indicated in the list below) where the action is taking place or from a Member State of the European Union are eligible under this component of the programme.

As partners, non-state actors and local authorities from partner countries⁵ and from Member States of the European Union can apply.

Geographical focus

Actions supported under this component of the programme must take place in at least 2 partner countries eligible to this programme according to the DCI Regulation.

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Financial Regulation and its Implementing Modalities, in the Practical Guide to Contract Procedures for EC external actions as well as in the Guidelines of the concerned call for proposals

As a general rule, the maximum possible rate of EC co-financing for actions to be funded and the maximum and minimum duration of actions are as follows:

a) Objective 1 (in-country actions):

- Grants must fall between a minimum of EUR 20000 and a maximum of EUR1 000 000.

- For European LAs, the maximum possible rate of EU co-financing is 75% of the total costs of the action, while the rate of co-financing can also be below 75%.

- For LAs from partner countries, the maximum possible rate of EU co-financing is 90% of the total costs of the action, while the rate of co-financing can also be below 90%.

- In duly substantiated exceptional cases, the Commission can also decide to allocate a maximum possible rate of EU co-financing of 100% of the total costs of the action, in line with the provisions of article 169 of the Financial Regulation and articles 168.2 and 253 of the implementing modalities.

- Duration of the action: the initially foreseen duration of an action may not be lower than 24 months nor exceed 60 months. Under their respective calls for proposals

⁵ Eligible partner countries are established in article 1(1) of the Regulation (EC) n°1905/2006

each Delegation may determine the minimum and maximum durations, provided these stay within the above-mentioned limits. In duly justified cases, Delegations can finance actions of 12 months.

b) Objective 1 (multi-country actions):

- Grants must fall between a minimum of EUR 500000 and a maximum of EUR 1 500 000.
- Maximum possible rate of EC co-financing: 75% of the total costs of the action for European LAs and 90% for partner countries' LAs.
- Duration of the action: the initially foreseen duration of an action may not be lower than 24 months nor exceed 60 months.

Microfinance activities (for in-country and multi-county interventions)

As regards microfinance activities, additional conditions will be applied in order to ensure more professional and sustainable delivery of microfinance interventions. Details of these conditions will be contained in the guidelines for calls for proposals, in line with the EU Guidelines for Support to Microfinance: they aim at achieving professionalization and sustainability through introduction of minimum requirements as regards the applicant's expertise (i.e. the capacity to provide financial services according to agreed international standards of good practice) and experience (track record in the microfinance field), application of selection criteria on the type and design of the actions, and inclusion of performance measuring and monitoring system concerning the actions

3.5. Schedule of calls for proposals

Calls for proposals are expected to be published as of the second quarter of 2011. Publication dates might vary according to the different objectives of the programme.

All calls for proposals will be published on the EuropeAid Development and Co-operation central website. Calls for proposals concerning Objective 1 (in-country actions) of the programme are additionally to be published in the concerned Delegation website.

3.6. Indicative amount of call for proposals

The indicative financial envelope for 2011 for "Local Authorities in Development" amounts to EUR 31 272 500 under 21 03 02 "Local Authorities in Development", of which EUR 27 475 000 for Objective 1 (in-country actions), EUR 3 797 500 for Objective 1 (multi-country actions).

Regarding the Multi-country Call for proposal, in 2011 a Call for Proposal for the combined funds of 2011 and 2012 could be launched. In this case, the projects financed from the AAP 2011 shall be contracted in 2011 and 2012. Subject to the approval of the 2012 final budget by the Budgetary Authority and confirmation in the 2012 AAP of the possibility of combining two budget years in a combined call for proposals, an additional amount could be allocated to the combined Call for Proposal from the 2012 AAP and the projects financed from the AAP 2012 shall be contracted in 2012 and 2013.

In some specific cases, in accordance with the Paris Declaration for aid effectiveness and the Accra Agenda for Action, the opportunity could be considered, when relevant, to pool EU financial contributions with similar funds from other donors as defined in article 18 of the Financial Regulation. Indirect centralised management could be also used where the respective conditions of the Financial Regulation are met

4. SUPPORT MEASURES

Applicants will be invited to foresee systematically evaluations under each project's budget. Training, monitoring and follow up missions by the Commission staff or external consultants of the Commission will be conducted regularly. Close information links will therefore be maintained between European Commission headquarters and European Union Delegations.

For in-country interventions, EU Delegations will be able to spend up to 5% of the respective annual operational country allocation for support measures accompanying the implementation of this component of objective 1 of the thematic programme. Those accompanying support measures must cover activities of direct benefit to the organisations/partners targeted by the country programme, such as consultation sessions, information sessions on Calls for Proposals, support for PADOR registration, local mapping studies, training seminars and workshops related to the programme, support to the structuring of country representative organisations (platforms) and/or other activities focusing the strengthening of actors. Support measures do not cover activities or expenditure aimed at helping the delegation to manage the programme, such as technical assistance for the evaluation of proposals or follow-up of the programme.

All support measures must be implemented in accordance with the European Commission's standard procedures for the implementation of external actions

ANNEX V TO AAP 2011

ACTION FICHE 5 NON STATE ACTOR AND LOCAL AUTHORITIES IN DEVELOPMENT

PART LA - OBJECTIVE 2

1. IDENTIFICATION

Title	Local Authorities (LA) – ED (Development Education)			
Total cost	EU contribution: EUR 5 212 000 (Budget Line 21 03 02) TOTAL EUR 5 212 000			
Method/Management mode	Project approach - Centralised Call for proposals managed by European Commission Headquarters <i>Centralised (direct management)</i>			
DAC-code, applicable	if	99820	Sector	Promotion of development awareness

2. RATIONALE

This Action Fiche refers to the programme's objective 2 for the LA component, which is dedicated to raising public awareness of development issues and promoting education for development in the EU and acceding countries to anchor development policy in European societies, to mobilise greater public support for action against poverty and fairer relations between developed and developing countries, and to change attitudes to the issues and difficulties facing developing countries and their peoples.

The Annual Action Programme NSA-LA 2011 is part of the new multiannual Strategy for the period 2011-2013, which was drawn up to ensure a large degree of continuity with the previous programming period 2007-2010, while introducing ameliorations proposed by the Mid-Term Review¹ and the DEAR study², a strategy and consensus building process in the field of Development Education and Awareness Raising (DEAR).

The DEAR Study ("Study on the Experience and Actions of the Main European Actors Active in the Field of Development Education and Awareness Raising") process - launched by the European Commission and undertaken by a team of

¹ Final report available:
[http://ec.europa.eu/development/icenter/files/europa_only/consultation_non_state_actors_main_report.p
df](http://ec.europa.eu/development/icenter/files/europa_only/consultation_non_state_actors_main_report.pdf)

² Information available under: <https://webgate.ec.europa.eu/fpfis/mwikis/aidco/index.php/DEAR>

Development Education experts in 2010 - has provided the basis for a stronger EU involvement in the field of Development Education and Awareness Raising, as well as options for new orientations in the programme.

The AAP incorporates the practical applications of the most important findings and recommendations of the study. It puts emphasis on the European approach, which is a major value added of the European Commission in the field of DEAR.

The study highlighted the need for the European Commission to develop its role and create added value to initiatives of Member States and other key actors. It recommends that the EU play a stronger role in facilitating dialogue among DEAR policy-makers and practitioners. The study also emphasizes that the added value of the work of the European Commission in DEAR, and the main asset of the EU programme lies in its European dimension.

The study proposes a number of core recommendations to increase the efficiency of the EU's intervention in DEAR, and the coherence of this intervention with the actions of other DEAR actors, particularly those of Member States. Many of these recommendations will be translated into practical aspects of Objective 2.

Hence, a clarified framework, in line with those adopted by many Member States and DEAR practitioners, provides the basis for EU DEAR support. Likewise, following recommendations from the study, a stronger emphasis will be put on complementarity and coordination with Member States particularly for interventions in the field of Formal Education. The EU will also strive to support dialogue among DEAR policy-makers and stakeholders, as well as ensure capitalization from ongoing and previous experiences.

3. DESCRIPTION OF THE CALLS FOR PROPOSAL(S)

3.1. Basic acts and Financing sources

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) constitutes the legal basis of this programme.

This Action will be financed by budget line 21 03 02 "Local Authorities in Development".

3.2. Objectives of the programme, fields of intervention/priorities of the year.

According to the multi-annual Strategy Paper 20011-2013, and in order to maximise the impact and effectiveness of development education actions, under the thematic programme's objective 2, priority should be given to actions covering the following themes:

- Public support for the MDG agenda, with a particular focus on sub-Saharan Africa;
- Coherence for development, with a particular focus on areas of public interest where common goals with development are important such as migration, trade

(especially Fair Trade), microcredit, security, human rights, social dimension of globalisation and decent work;

These priorities have to be seen within the current international debate on aid effectiveness and aid architecture reform (Paris Declaration, Accra Agenda of Action).

The programme will particularly support initiatives which aim:

(a) to develop European citizens' awareness and critical understanding of the interdependent world and of their role, responsibility and lifestyles in relation to a globalised society; and

(b) to support their active engagement in local and global attempts to eradicate poverty, and promote justice, human rights, and sustainable ways of living.

Recognizing that the comparative advantage of European Commission interventions lies in its European dimension, all supported actions will be of a multi-country and multi-actor nature. While these actions are carried out in Europe, partnership with Local Authorities or Association of LAs from developing countries will be strongly encouraged.

Interventions supported under this programme will generally follow one of the two following main approaches:

(a) The "Global Learning" approach, which aims at enhancing the competences of the groups of learners in addressing issues of global development. It uses learner-centred, participatory, dialogue-oriented and experiential methodologies to develop such competences

(b) The "Campaigning/Advocacy" approach, which aims at concrete changes in behaviour at individual and collective levels, or in institutional/corporate policies. It uses results-oriented strategies. It facilitates and supports informed citizen engagement and advocacy for more just and sustainable policies, political/economic structures and individual practices.

Specific requirements are laid out for each of the following types of interventions:

- Global Learning interventions within the formal education sector:

Close attention will be paid to ensuring that such interventions are integrated with development and education policies at both national and European levels.

Intervention in this sector will also respect the multi-country approach. A minimum of two countries of interventions will be required.

Partnerships between actors operating in EU 12 and EU 15 countries will be prioritized.

- Global Learning interventions outside of the formal education system, and Campaigning/Advocacy interventions:

A multi-country European approach will be mandatory for actors wishing to intervene in this domain. A minimum of three countries of interventions will be required.

Partnerships between actors operating in EU 12 and EU 15 countries will be prioritized.

- For all types of Actions:

20% of the funds available Objective 2 of this programme will be allocated preferably to development education and awareness raising actions in the EU12, and proposed by Local Authorities or Association of LAs originating from these countries.

All actions should be based on an initiative of Local Authorities or Associations of LAs from the country/countries where the action is taking place, and their involvement should be ensured during all stages of implementation.

Expected results are: i) increased public awareness on global interdependencies between the EU and developing countries; ii) changing attitudes and improved public understanding of the issues and difficulties facing developing countries and their peoples; iii) better integration of development issues into formal and non-formal education systems in Member States and acceding countries; iv) level of engagement to promote coherence of development, v) support of European citizens for structural long term development assistance, and vi) greater mobilisation in new Member States and acceding countries, including through networking, campaign work, exchange of experience and best practices.

3.3. Eligibility conditions

For this component of the programme all Local Authorities or Association of LAs from a Member State of the European Union or in an acceding country are eligible, while non state actors and associations of non state actors can participate as partners.

Besides, to have access to funding under this component of the thematic programme, Local Authorities must be officially registered as such, according to the legislation in force in their respective country.

Geographical focus:

Global Learning interventions within the formal education sector must include interventions in a minimum of two Member States of the European Union or acceding countries.

Global Learning interventions outside of the formal education system, as well as Campaigning/Advocacy interventions must include interventions in a minimum of three Member States of the European Union or acceding countries.

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Financial Regulation and its Implementing Modalities, the Practical Guide to Contract Procedures for EU external actions, as well as in the Guidelines of the concerned Call for proposals.

Maximum rate of EU co-financing for grants is 75% of the total costs of the action, or a lower percentage. However, exclusively for actions proposed by organisations from the 12 new EU Member States (EU12), the maximum rate of EU co-financing can correspond to 90% of the total costs of the action.

Grants awarded must fall between EUR 100 000 and EUR 1 000 000.

The duration of an action may not be lower than 12 months nor exceed 36 months.

3.5. Schedule of implementation

Call for proposals are expected to be published during the second semester 2011 and might be launched merging the budget of 2011 and 2012.

All calls for proposals will be published on the DG DEVCO central website.

3.6. Indicative amount

The indicative financial envelope for 2011 for this component of the thematic programme is of EUR **5 212 000** under budget line 21 03 02 "Local Authorities in Development".

In 2011 a Call for Proposal for the combined funds of 2011 and 2012 could be launched. In this case, the projects financed from the AAP 2011 shall be contracted in 2011 and 2012. Subject to the approval of the 2012 final budget by the Budgetary Authority and confirmation in the 2012 AAP of the possibility of combining two budget years in a combined call for proposals, an additional amount could be allocated to the combined Call for Proposal from the 2012 AAP and the projects financed from the AAP 2012 shall be contracted in 2012 and 2013.

4. SUPPORT MEASURES

Support measures will be used to promote both capacity building and capitalization of results and experiences of EU-funded DEAR projects.

- An annual initial conference will be organized for all new grant beneficiaries. This meeting will allow us to foster a better understanding of project management and EU procedures, as well as stronger coherence between projects funded by the EC. Networking and experience-sharing will also be strongly encouraged. The conference will also allow the development of better relations between the EU and Beneficiaries.
- An annual conference of stakeholders will be organized by the EU. Its main purpose will be to support dialogue among DEAR policy-makers and

practitioners, to foster capacity-building, and to encourage the sharing of experiences and networking among DEAR actors. Additionally the conference of stakeholders will strengthen capitalization of results from EU-funded projects.

The EU CISocH website will be enriched with DEAR-specific pages. The site will provide current information concerning the EC DEAR action, and will showcase good practices in the field of DEAR.

ANNEX VI TO AAP 2011

ACTION FICHE 6 **NON STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT** **TARGETED PROJECTS - OBJECTIVES 2 AND 3**

1. IDENTIFICATION

Title	Non state actors - Targeted projects		
Total cost	EU contribution - EUR 1 200 000 (Budget Line: 21.03.01) TOTAL: EUR 1 200 000		
Method/Management mode	Project approach – Direct award of 3 grants - <i>Centralised management (direct)</i>		
DAC-code, if applicable	15150	Sector	Strengthening of civil society

2. RATIONALE

This Action Fiche concerns the direct award of 3 grants to NGO platforms active in development whose activities correspond to objectives 2 and 3 of the programme.

2.1. Lessons learnt

The European Union has for a long time supported actions aiming at reinforcing the cooperation and coordination among European NGO and between European NGO and EU Institutions.

This support has essentially taken the form of an annual operating grant to CONCORD, the European NGO Confederation for Relief and Development, which comprises national platforms of 25 Member States and 18 networks of humanitarian and development NGOs. A financial audit and an evaluation of the effectiveness of operating grants awarded to CONCORD between 2003 and 2008 have recently been finalised. Conclusions of both audit and evaluation are encouraging.

In addition, support has been provided to development NGO platforms in the context of the rotating presidencies of the Council of the EU. Grants have been awarded to national NGO platforms so as to (i) raise the public awareness of development issues in respective Member States, (ii) strengthen the cooperation among national and European development NGOs and (iii) strengthen the cooperation between development NGOs and Member-States/European authorities & institutions. Despite the evolving role of rotating presidencies in line with the Lisbon treaty, this long term support to national NGO platforms has been positively assessed. It is then envisaged to pursue this support in 2011 while considering potential adaptations in a near future.

2.2. Complementary actions

Complementarities will be sought with other actions implemented in the framework of EU presidencies, as well as other types of support to national or European platforms mainly in the framework of the call for proposals launched under objective 3 of the NSA/LA thematic programme.

Furthermore, complementarities will also be sought with development education actions (objective 2 of the thematic programme), which take also place in Europe and are very often closely associated with activities pursued in the 3 actions presented below.

3. DESCRIPTION

3.1. Objectives

According to the multi-annual Strategy Paper 2011-2013, priorities for objective 2 and 3 of the NSA&LA programme are the following:

Objective 2: (i) Public support for the MDG agenda, with a particular focus on sub-Saharan Africa; (ii) Coherence for development, with a particular focus on areas of public interest where common goals such as migration, trade, security, human rights, social dimension of globalisation and decent work, environment, and HIV/AIDS in relation with development are important; (iii) Media and development.

Objective 3: (1) coordination between Non-State Actors' representative platforms and European institutions; (2) capacity building of representative platforms organised at European level.

The 3 actions presented below comply with these 2 objectives. Considering that the nature and structure of the actions proposed correspond to a situation of *de facto* monopoly, within the meaning of the Financial Regulation and its Implementing Rules¹, those grants are awarded directly.

Action 1

Operating grant to CONCORD (European Confederation of Relief and Development Non Governmental Organisation) / Duration: 12 months / EU maximum contribution: EUR 700 000 (58% of the total 2011 budget of the organisation)²

CONCORD action plan for 2009-2015 has the following objectives:

1. To influence the EU's policies and practices so that the Union and its member states enhance social justice, equality and human rights throughout the world.

¹ Article 168.1.c of the implementing rules of the financial regulation: "Grants may be awarded without a call for proposals only in the following cases: [...] (c) to bodies with a *de jure* or *de facto* monopoly, duly substantiated in the award decision".

² Both requested amount and co-financing rate are in line with Article 113 of the financial regulations: "Unless otherwise specified in the basic act with regard to bodies pursuing an objective of general European interest, when operating grants are renewed, they shall be gradually decreased."

2. To promote the rights and responsibilities of citizens, development NGOs and, where relevant to Concord's influencing agenda, civil society as a whole to act in solidarity with those living in poverty and to influence their representatives in governments and EU institutions.

The action's expected results are the following: (i) CONCORD will be a recognised interlocutor of the European Union, with values and positions which are respected by the leading development and humanitarian aid decision-makers; (ii) will contribute to the capacity building of its members to make them more effective in their advocacy and political role at national and European level; (iii) will develop dynamic working methods to enable national networks and platforms to work in synergy, to increase their respective value added and to build their capacity so that they can influence European policies from national level.

Actions 2 & 3: EU Presidency Projects

EU Presidency Projects are designed to take advantage of the momentum created by the EU Presidency in 2 Member-States per year. Implemented by national NGDO platforms members of CONCORD, these projects aim at (i) increasing the coordination between national & European Development NGOs, (ii) enhancing the cooperation between national NGOs and national authorities in charge of development cooperation and (iii) increasing the awareness raising of general public and media on issues related to development cooperation.

Presidency Projects last one year starting three or four months prior the official beginning of the Presidency. Successive projects are intertwined as the various platforms are cooperating through the so-called "Trio of Presidencies" and through their involvement in CONCORD. In 2011, the main themes addressed will be Democracy & Development and the Aid effectiveness Agenda.

Polish EU Presidency Project 2011: "Democracy and development. Enhancing involvement of Polish CSOs in European development policy dialogue and cooperation practice" / Direct Award to Grupa Zagranica / Duration: 12 months / EU maximum contribution: EUR 250 000 (90% of total project's cost)

This project will be submitted by Grupa Zagranica, the Polish Development NGO platform, in March 2011. The project overall objective will be to strengthen the policies and practices of development cooperation in Poland, thereby contributing to more effective development cooperation in the European Union.

In terms of specific objectives, two main components will be addressed: (1) to increase the institutional capacity of Polish development CSOs with focus on cooperation and networking internally and within the EU (*capacity building*), (2) to strengthen the dialogue between Polish development CSOs and Polish/EU decision makers (*advocacy*) Discussions to refine the project proposal are currently on-going between Grupa Zagranica and the European Commission. . The project will be launched in April 2011.

Danish EU Presidency Project 2012 / Direct award to CONCORD Denmark / Duration: 12 months / EU maximum contribution: EUR 250 000 (75% of total project's cost).

This project will be submitted by CONCORD Denmark, the Danish Development NGO platform, by mid-2011. The project will aim to contribute towards effective EU development cooperation, to engage civil society organisations in implementation of effective aid and to mobilize public and political support towards fulfilment of national and EU commitments for effective aid.

Discussions are currently on-going between CONCORD Denmark and the European Commission with the objective to finalize the project proposal by-mid 2011. The Action is planned to start in September/October 2011.

3.2. Stakeholders

Target beneficiary are mainly Non-State Actors from the EU and acceding countries.

3.3. Crosscutting Issues

Crosscutting issues like democracy and human rights, gender, child protection, empowerment and support to disabled persons, age related issues, environment, and climate change are supposed to be covered by these activities.

4. IMPLEMENTATION ISSUES

4.1. Implementation method

As mentioned above, these 3 grants are directly awarded since the 3 actions correspond to a situation of *de facto* monopoly, within the meaning of the Financial Regulation (Art 110) and its Implementing Rules (Art 168c).

4.2. Procurement and grant award procedures

These actions are implemented in accordance with the procedures and standard documents laid down and published by the Commission for the implementation of external operations, in force at the time of the launch of the procedure in question.

4.3. Budget

The funds available to fund these actions, under budget line 21 03 01 budget for 2010, amount to a total of EUR 1 200 000

4.4. Performance monitoring

The actions will be monitored according to standard procedures. Project monitoring and evaluation will be based on periodic assessment of progress on delivery of specified project results and towards achievement of project objectives.

4.5. Evaluation and audit

Evaluations and audits will respect standard procedures.

4.6. Communication and visibility

Visibility of EU funded actions will be ensured according to the EU Visibility Guidelines.

ANNEX VII TO AAP 2011

ACTION FICHE 7 NON STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT

1. IDENTIFICATION

Title	Accompanying measures		
Total cost	EU contribution: EUR 4 261 786 From: Budget Line 21.03.01: EUR 3 517 286 Budget Line 21.03.02: EUR 744 500		
Method/Management mode	Direct centralised management		
DAC-code, if applicable	15150	Sector	Strengthening of civil society

2. DESCRIPTION

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) (OJ L 378 of 27 December 2006, pp. 41-71), in particular the article 26, constitute the legal basis of this action.

Accompanying measures are foreseen to support actions directly necessary for the implementation of the programme and the achievement of its objectives. Such measures, not exceeding EUR 200 000 each, are for the exclusive benefit of the programme's beneficiaries and relate to activities connected to the preparation, monitoring, controls and auditing, and evaluation directly necessary for the implementation of the programme, including studies, meetings and information campaigns and might be implemented in the framework of any of the objectives of the programme.

All unspent funds will be added to the budget allocations for the calls for proposals covered by this Annual Action Programme.

3. IMPLEMENTATION ISSUES

The method of implementation foreseen is centralised direct management, and procedures will be followed as laid down in DEVCO's "Practical guide to contract procedures for EU external actions".

Procurement and grant award procedures

The procurement procedures established in the "Practical guide to contract procedures for EU external actions" will apply.