

ANNEX I TO AAP 2010

ACTION FICHE 1 **NON-STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT** **OBJECTIVE 1**

1. IDENTIFICATION

| | | | |
|-------------------------|--|--------|--------------------------------|
| Title | Non-state actors – In-country interventions | | |
| Total cost | EU contribution: €134,650,000 (Budget Line 21.03.01) TOTAL €134,650,000 | | |
| Method/Management mode | Project approach - Calls for Proposals managed by European Union Delegations in third countries (or exceptionally by European Commission headquarters in Brussels) <i>Centralised (direct management)</i> | | |
| DAC-code, if applicable | 15150 | Sector | Strengthening of civil society |

2. RATIONALE

This Action Fiche refers to the programme's objective 1 (actions taking place in a single partner country): **to support operations aiming at promoting an inclusive and empowered society in partner countries in order to (i) benefit populations out of reach of mainstream services and resources and excluded from policy making processes; (ii) strengthen the capacity of civil society organisations in partner countries, with a view to facilitating their participation in defining and implementing poverty reduction and sustainable development strategies; and (iii) facilitate interaction between State and non-State actors in different contexts.**

3. DESCRIPTION OF THE IMPLEMENTATION SCENARIOS

3.1. Basic act and Financing source

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) constitutes the legal basis of this programme.

This Action will be financed by budget line 21 03 01 "Non State Actors in Development".

3.2. Objectives of the programme, fields of intervention/priorities of the year, expected results

In line with the provisions of the DCI Regulation (in particular its article 11), the multi-annual Strategy Paper 2007-2010 foresees that in-country interventions to be

supported under this component of the programme should be subsidiary to country strategy papers (CSP) and complement other thematic programmes, including the European Instrument for Democracy and Human Rights.

These interventions shall comprise small-scale local development activities in a wide range of sectors and themes, including economic development, health, education, capacity building and institutional support or rural development. The overarching objective shall be the strengthening of civil society in partner countries as a pre-condition for a more equitable, open and democratic society.

Whenever possible, mainstreaming of human rights, gender equality, children's and older people's rights, rights of persons with disabilities, the environment and climate change, as well as the fight against HIV/AIDS, will be ensured. All projects will need to show, whenever relevant, how these issues are taken into account in the design, implementation and monitoring of their activities. Principles such as empowerment, participation, non-discrimination of vulnerable groups and accountability will be adequately taken into account.

Non state actors which propose an action must be able to demonstrate to have regularly carried out activities in the field of development of the kind covered by this programme during at least the last three years for non-state actors from a member state of the European Union and two years for non-state actors from the targeted country. Non-state actors originating from the European Union which propose an action must also demonstrate i) an established and effective partnership with non-state actors (or local authorities) in the country, where the action is taking place, and ii) that the proposed action is based on an initiative of local partners and ensure their involvement during all the stages of the implementation of the action.

The list of the 87 targeted countries and respective country envelopes is presented below under paragraph 3.

Expected results

Considering the nature of the programme, which encompasses a wide range of activities and sectors, and the different priorities and sectors/actors being targeted by the country programmes, the results to be expected from these interventions are very diverse. Those results could consist, among others, in: (i) increased capacity of non state actors to strengthen their participation in poverty reduction and sustainable development strategies; (ii) changes in government policy and practice towards NSA in favour of their involvement in the development process; (iii) better access of the poorest sections of the population to social services and increased participation of those groups in the policy making processes, including particularly marginalized and vulnerable groups; (iv) increased interaction between state and non-state actors in different contexts, including non state actor involvement in policy dialogue with government and capacity to play an oversight role.

3.3. Implementation scenario and eligibility conditions

Country-based programmes: country approach, with support schemes managed as a general rule at country level by the relevant European Union Delegations.

European Union support to the above-mentioned actions will, as a rule, take the form of grants. Awarding of grants under this component of the programme will comply

with the standard procedure of calls for proposals. Considering the high number of proposals expected, the system of restricted calls for proposals (concept note + complete proposals) will in principle be used. For the Delegations which have launched a Call for Proposals for the 2009 budget and where the possibility to combine 2009 and 2010 funds has been foreseen in the guidelines, no specific calls for proposals will be published for the 2010 budget.

Exceptionally, for those targeted countries, where there is no possibility of publishing a local call, grants for in-country interventions will be awarded via call(s) for proposals to be launched and managed by headquarters in Brussels. In such cases, the call for proposals will clearly indicate each financial envelope by country.

In some specific cases, in accordance with the Paris Declaration for aid effectiveness and the Accra Agenda for Action, the opportunity could be considered, when relevant, to pool EU financial contributions with similar funds from other donors or civil society organisations.

Eligibility: As applicants, all types of non-state actors¹, whose headquarters are in the targeted countries indicated in the list below where the action is taking place or in a Member State of the European Union, can apply under this component of the programme. In addition, all non state actors must be officially registered as such, according to the legislation in force in their respective country during at least the last three years for non-state actors from a member state of the European Union and two years for non-state actors from the targeted country.

As partners, non state actors and local authorities from targeted countries, where the action is taking place, and from Member States of the European Union can apply. Non state actors must satisfy the same eligibility criteria as applicants. For the eligibility criteria of local authorities please refer to Action Fiche 5.

Geographical focus:

The list of **87 targeted countries** and respective allocations for non-state actors foreseen for **2010** is provided hereafter.

African, Caribbean and Pacific countries

| | Criterion (1) | Criterion (2) | Budget Line 21 03 01 (€) |
|--|----------------------|----------------------|---------------------------------|
|--|----------------------|----------------------|---------------------------------|

¹ According to article 24.2 of Regulation (EC) n°1905/2006, the non-State, non-profit making actors eligible for financial support include "NGOs, organisations representing indigenous peoples, organisations representing national and/or ethnic minorities, local traders' associations and citizens' groups, cooperatives, trade unions, organisations representing economic and social interests, organisations fighting corruption and fraud and promoting good governance, civil rights organisations and organisations combating discrimination, local organisations (including networks) involved in decentralised regional cooperation and integration, consumer organisations, women's and youth organisations, teaching, cultural, research and scientific organisations, universities, churches and religious associations and communities, the media and any non governmental associations and independent foundations, including independent political foundations, likely to contribute to the implementation of the objectives of this Regulation".

| | | | |
|--------------------------|---|---|-----------|
| Angola (*) | P | P | 2,500,000 |
| Burundi (*) | | P | 500,000 |
| Cameroun | | P | 2,000,000 |
| Cape Vert | | P | 650,000 |
| Chad (*) | P | P | 1,450,000 |
| Congo Brazzaville (*) | P | P | 1,000,000 |
| Cuba | P | P | 3,000,000 |
| Djibouti (*) | | P | 300,000 |
| Dominican Republic | | P | 1,200,000 |
| DRC (*) | P | P | 2,500,000 |
| East Timor (*) | | P | 1,500,000 |
| Eritrea (*) | P | P | 250,000 |
| Ghana | P | | 2,000,000 |
| Guinea Conakry (*) | P | P | 1,000,000 |
| Guyana | | P | 900,000 |
| Haiti (*) | P | P | 2,750,000 |
| Ivory Coast | P | | 2,000,000 |
| Kenya | P | P | 3,500,000 |
| Lesotho (*) | | P | 300,000 |
| Liberia (*) | P | P | 2,000,000 |
| Madagascar (*) | P | P | 1,500,000 |
| Mauritania (*) | P | P | 1,500,000 |
| Mauritius | | P | 500,000 |
| Mozambique | | P | 3,000,000 |

| | | | |
|------------------------|---|---|-------------------|
| (*) | | | |
| PNG | | P | 1,000,000 |
| Namibia | | P | 500,000 |
| Niger (*) | P | P | 1,250,000 |
| RCA | P | P | 2,150,000 |
| Rwanda (*) | P | P | 1,800,000 |
| Samoa (*) | | P | 250,000 |
| Seychelles | | P | 250,000 |
| Sierra Leone (*) | P | P | 2,550,000 |
| Solomon Islands (*) | | P | 450,000 |
| Somalia (*) | P | P | 2,500,000 |
| Sudan (*) ² | P | P | 5,000,000 |
| Swaziland | | P | 750,000 |
| Togo (*) | P | P | 450,000 |
| Uganda (*) | P | P | 2,500,000 |
| Vanuatu (*) | | P | 250,000 |
| Zambia (*) | | P | 2,250,000 |
| Zimbabwe | P | P | 1,900,000 |
| TOTAL | | | 63,600,000 |

Sudan did not ratify the revised Cotonou Agreement, Cotonou II, but remains party to Cotonou 2000 (Cotonou I). The Commission notes that the current situation in Sudan is largely due to under-development in some parts of the country, hence the achievement of structural stability depends on the implementation of the Comprehensive Peace Agreement (CPA). In this particular context, the Non-state Actors and Local Authorities programme can potentially play an important role in promoting an inclusive and empowered society in Sudan.

² Sudan is covered by the Article 23 of the DCI

Taking the above into consideration, an envelope of €5 million is included for NSAs in Sudan as "special measures" (Art. 23 of the DCI regulation (1905/2006)).

Central and South American countries

| | Criterion (1) | Criterion (2) | Budget Line 21 03 01 (€) |
|--------------|---------------|---------------|--------------------------|
| Argentina | | P | 700,000 |
| Bolivia | | P | 2,500,000 |
| Brazil | | P | 4,000,000 |
| Chile | | P | 800,000 |
| Colombia | P | P | 2,050,000 |
| Ecuador | | P | 2,000,000 |
| El Salvador | | P | 1,700,000 |
| Guatemala | | P | 2,000,000 |
| Honduras | | P | 1,500,000 |
| Nicaragua | P | P | 2,500,000 |
| Paraguay | P | P | 800,000 |
| Peru | | P | 1,750,000 |
| Uruguay | | P | 1,000,000 |
| Venezuela | P | P | 2,000,000 |
| TOTAL | | | 25,300,000 |

Asian countries

| | Criterion (1) | Criterion (2) | Budget Line 21 03 01 (€) |
|-----------------------|---------------|---------------|--------------------------|
| Afghanistan (*) | P | P | 2,000,000 |
| Bangladesh (*) | | P | 4,000,000 |
| Burma/ Myanmar (*) | P | P | 2,500,000 |

| | | | |
|------------------|---|---|-------------------|
| Cambodia (*) | P | P | 2,000,000 |
| China (**) | P | P | 2,500,000 |
| India | | P | 4,000,000 |
| Indonesia | | P | 850,000 |
| Kazakhstan | P | P | 450,000 |
| Kyrgyzstan | P | P | 750,000 |
| Laos (*) | P | P | 2,050,000 |
| Mongolia (**) | | P | 1,000,000 |
| Nepal (*) | P | P | 2,000,000 |
| North Korea (**) | P | P | 500,000 |
| Pakistan | | P | 2,550,000 |
| Philippines | | P | 2,500,000 |
| Sri Lanka | P | P | 1,000,000 |
| Tajikistan | P | P | 750,000 |
| Thailand | P | P | 3,000,000 |
| Vietnam | P | P | 1,200,000 |
| TOTAL | | | 35,600,000 |

Eastern Europe, Southern Caucasus and Mediterranean (ENPI) + Iran / Yemen

| | Criterion (1) | Criterion (2) | Budget Line 21 03 01 (€) |
|-----------------|----------------------|----------------------|---------------------------------|
| Algeria | | P | 350,000 |
| Armenia | | P | 450,000 |
| Azerbaijan (**) | | P | 700,000 |
| Belarus | P | P | 750,000 |
| Egypte | P | P | 1,500,000 |
| Georgia | P | P | 500,000 |

| | | | |
|-----------------------------|---|---|-------------------|
| Jordan | P | P | 200,000 |
| Moldova | | P | 400,000 |
| Morocco | | P | 600,000 |
| Syria | P | P | 250,000 |
| West Bank and Gaza Strip | P | P | 2,400,000 |
| Iran (**) | P | P | 1,500,000 |
| Yemen (*) | | P | 1,050,000 |
| TOTAL | | | 10,150,000 |

**TOTAL for
all regions**

134,650,000

Criteria as spelled out in the multi-annual strategy document:

- (1) Country situations not conducive to ensuring non state actors and local authorities' involvement in the development process, including difficult partnerships³, unstable situations, conflict, poor governance etc.
- (2) Country where specific needs of parts of the population are not addressed in its development strategy and groups are out of reach of mainstream services and resources, excluded from the policy-making process

(*) Least Developed Countries (OECD/DAC list)

() Countries whose respective in-country programme may have to be managed by European Commission headquarters**

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Financial Regulation and its Implementing Modalities, the Practical Guide to Contract Procedures for EC external actions, as well as in the Guidelines of the concerned Call for proposals.

³ Situations of difficult partnership are defined as those where for one of the following reasons the usual cooperation instruments cannot be fully used to support initiatives undertaken by stakeholders other than central governments: (1) countries where cooperation has been suspended, (2) countries where the authorities are not committed to objectives of poverty reduction and to other basic principles of development policy, including good governance and participation of civil society and decentralized authorities, (3) countries where the dialogue on participatory approaches to development is very limited.

The maximum possible rate of EU co-financing of the action total eligible costs for actions to be funded under this component of objective 1 are the following:

- | | |
|---------------------------------------|--------------|
| - Partner countries Non State Actors: | maximum 90 % |
| - European Non State Actors | maximum 75 % |

The initial foreseen duration of an action may not be lower than 12 months nor exceed 60 months. Under their respective calls for proposals each Delegation may determine the minimum and maximum durations, provided these stay within the above-mentioned limits.

Grants awarded under this component of the programme must fall between a minimum of €20.000 and a maximum of €1.000.000. Under their respective calls for proposals each Delegation may determine the minimum and maximum amounts of grants, provided these amounts stay within the abovementioned limits.

Microfinance activities

As regards microfinance activities, additional conditions will be applied in order to ensure more professional and sustainable delivery of microfinance interventions. Details of these conditions will be contained in the guidelines for calls for proposals, in line with the EC Guidelines for Support to Microfinance: they aim at achieving professionalization and sustainability through introduction of minimum requirements as regards the applicant's expertise (i.e. the capacity to provide financial services according to agreed international standards of good practice) and experience (track record in the microfinance field), application of selection criteria on the type and design of the actions, and inclusion of performance measuring and monitoring system concerning the actions.

3.5. Schedule of implementation

Call for proposals are expected to be published towards the first semester of 2010. All calls for proposals will be published on the EuropeAid central website and additionally in the concerned Delegation website.

3.6. Indicative amount

The indicative financial envelope for 2010 for this component of the thematic programme amounts to €134,650,000, under budget line 21 03 01 "Non State Actors in Development".

4. SUPPORT MEASURES

Applicants will be invited to foresee systematically evaluations under each project's budget. Monitoring and follow up missions by the staff or external consultants of the Commission will be conducted regularly. Close information links will therefore be maintained between European Commission headquarters and European Union Delegations.

European Commission Delegations will be able to spend up to 3% of the respective annual operational country allocation for support measures accompanying the

implementation of this component of objective 1 of the thematic programme. Those accompanying support measures must cover activities, which are of direct benefit to the organisations/partners targeted by the country programme, such as training seminars and workshops, information sessions or special events to publicise the programme. Support measures do not cover activities or expenditure aimed at helping the delegation to manage the programme, such as technical assistance for the evaluation of proposals or follow-up of the programme.

All support measures must be implemented in accordance with the European Commission's standard procedures for the implementation of external actions.

ANNEX II TO AAP 2010

ACTION FICHE 2

NON-STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT

OBJECTIVE 1

1. IDENTIFICATION

| | | | |
|-------------------------|---|--------|--------------------------------|
| Title | Non-state actors – Global, Multi-country/regional initiatives | | |
| Total cost | EU contribution: €17,950,000 (Budget Line 21 03 01) TOTAL €17,950,000 | | |
| Method/Management mode | Project approach - Centralised Call for proposals managed by European Commission Headquarters <i>Centralised (direct management)</i> | | |
| DAC-code, if applicable | 15150 | Sector | Strengthening of civil society |

2. RATIONALE

This Action Fiche refers to the programme's objective 1 (initiatives to be carried out in partner countries with a global, multi-country or multi-regional dimension): **to support operations aiming at promoting an inclusive and empowered society in partner countries in order to (i) benefit populations out of reach of mainstream services and resources and excluded from policy making processes; (ii) strengthen the capacity of civil society organisations in partner countries, with a view to facilitating their participation in defining and implementing poverty reduction and sustainable development strategies; and (iii) facilitate interaction between State and non-State actors in different contexts.**

3. DESCRIPTION OF THE IMPLEMENTATION SCENARIOS

3.1. Basic acts and Financing sources

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) constitutes the legal basis of this programme.

This Action will be financed by budget line 21 03 01 "Non State Actors in Development".

3.2. Objectives of the programme, fields of intervention/priorities of the year, expected results

According to the programme's multi-annual Strategy Paper 2007-2010, under Objective 1 a substantial part of the programme's financial resources will be allocated to support multi-country and/or multi-regional interventions, networking and

coordination activities ensured more efficiently through the thematic programme than through geographic programmes.

Priority will be given to global, multi-country actions with a multi-actor approach comprising activities aiming at: (i) improving the capacity of non-state actors from those partner countries to engage in local, national and international decision making processes; (ii) improving international linkages and networking between non-state actors from the European Union and from the partner countries; (iii) providing innovative service delivery and service delivery in difficult/out of reach environments; (iv) strengthening strategic partnerships, networks and cooperation between non-state actors from the partner countries; (v) facilitating interaction between state and non-state actors in different contexts.

Considering that there is a clear need to strengthen the capacity of local organisations in developing countries, to inform citizens about their rights, to increase their capacity to understand government policies and their implications on poverty and inequality, as well as the internal and international context in which decisions affecting their daily life are being taken, all actions to be supported under this component of the programme must include an element of capacity building.

Whenever possible, mainstreaming of human rights, gender equality, children's and older people's rights, rights of persons with disabilities, the environment and climate change, as well as the fight against HIV/AIDS, will be ensured. All projects will need to show, whenever relevant, how these issues are taken into account in the design, implementation and monitoring of their activities. Principles such as empowerment, participation, non-discrimination of vulnerable groups and accountability will be adequately taken into account.

Non state actors which propose an action must be able to demonstrate to have regularly carried out activities in the field of development of the kind covered by this programme during at least the last five years. Non-state actors originating from the European Union which propose an action must also demonstrate i) an established and effective partnership with non-state actors (or local authorities) in the country, where the action is taking place, and ii) that the proposed action is based on an initiative of local partners and ensure their involvement during all the stages of the implementation of the action.

Expected results

Considering the nature of the programme, which encompasses a wide range of activities and sectors, and the different priorities and sectors/actors targeted, the results to be expected from these interventions are very diverse.

As regards global/multi-country interventions, those results could consist, among others, in an increased number of trans-national exchanges, confidence building, networking and coordination activities between non state actors (North-South or South-South), an increase in local civil society and local authorities' support for and involvement in global and regional development processes and dialogues and/or dissemination of best practices at global or regional level and replication at national or local level. Initiatives and projects with a supranational dimension may lead to an added value vis-à-vis the country programmes and to increased support of local populations and their involvement in regional integration processes.

3.3. Implementation scenario and eligibility conditions

European Union support to the above-mentioned actions will, as a rule, take the form of grants.

Awarding of grants under this component of the programme will comply with the standard procedure of calls for proposals. A single Call for Proposals for Non-State Actors will be published by Headquarters in Brussels. Considering the high number of proposals expected, the system of restricted calls for proposals (concept notes + full proposals) will in principle be used.

Grants awarded following the global calls for proposals are selected by the Commission Headquarters, in cooperation with EU Delegations, and are managed either by headquarter or, whenever possible, by EU Delegations.

Eligibility: As applicants, all types of non-state actors⁴, whose headquarters are in one of the partner countries where the action is taking place or in a Member State of the European Union can apply under this component of the programme. In addition, all non state actors must be officially registered as such, according to the legislation in force in their respective country during at least the last five years.

As partners, non-state actors and local authorities from targeted countries, where the action is taking place, and from Member States of the European Union can apply. Non state actors must satisfy the same eligibility criteria as applicants, with the exception that they must be officially registered during at least the last three years. For the eligibility criteria of local authorities please refer to Action Fiche 5.

Geographical focus

Actions supported under this component of the programme must take place in at least 2 partner countries eligible to this programme according to the DCI Regulation. Priority will be given to actions targeting Least Developed Countries, in particular those not included in the list of targeted countries for in-country interventions (see Action Fiche 1).

An indicative financial envelope of €17,950,000, to be financed by budget line 21 03 01 "Non State Actors in Development", is reserved for this component of the thematic programme.

⁴ According to article 24.2 of Regulation (EC) n°1905/2006, the non-State, non-profit making actors eligible for financial support include "NGOs, organisations representing indigenous peoples, organisations representing national and/or ethnic minorities, local traders' associations and citizens' groups, cooperatives, trade unions, organisations representing economic and social interests, organisations fighting corruption and fraud and promoting good governance, civil rights organisations and organisations combating discrimination, local organisations (including networks) involved in decentralised regional cooperation and integration, consumer organisations, women's and youth organisations, teaching, cultural, research and scientific organisations, universities, churches and religious associations and communities, the media and any non governmental associations and independent foundations, including independent political foundations, likely to contribute to the implementation of the objectives of this Regulation".

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Financial Regulation and its Implementing Modalities, the Practical Guide to Contract Procedures for EC external actions, as well as in the Guidelines of the concerned Call for proposals.

The maximum possible rate of EU co-financing for actions to be funded under this component of objective 1 of the thematic programme "Non-State Actors and Local Authorities in Development" are the following:

- Partner countries Non State Actors: Maximum 90%
- European Non State Actors: Maximum 75%

Grants awarded must fall between €500,000 and €4,000,000.

The initial planned duration of an action may not be lower than 24 months nor exceed 60 months.

Microfinance activities

As regards microfinance activities, additional conditions will be applied in order to ensure professional and sustainable delivery of microfinance interventions. Details of these conditions will be contained in the guidelines for calls for proposals, in line with the EC Guidelines for Support to Microfinance: they aim at achieving professionalization and sustainability through introduction of minimum requirements as regards the applicant's expertise (i.e. the capacity to provide financial services according to agreed international standards of good practice) and experience (track record in the microfinance field), application of selection criteria on the type and design of the actions, and inclusion of performance measuring and monitoring system concerning the actions.

3.5. Schedule of implementation

Call for proposals are expected to be published towards the first semester 2010.

All calls for proposals will be published on the EuropeAid central website.

3.6. Indicative amount

The indicative financial envelope for 2010 for this component of the thematic programme is of €17,950,000 under budget line 21 03 01 "Non State Actors in Development".

4. SUPPORT MEASURES

Applicants will be invited to foresee systematically evaluations under each project's budget. Training, monitoring and follow up missions by the staff or external consultants of the Commission will be conducted regularly. Close information links will therefore need to be maintained between European Commission headquarters and EU Delegations.

All support measures must be implemented in accordance with the European Commission's standard procedures for the implementation of external actions.

ANNEX III TO AAP 2010

ACTION FICHE 3

NON-STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT

OBJECTIVE 2

1. IDENTIFICATION

| | | | |
|-------------------------|---|--------|------------------------------------|
| Title | Non-state Actors – ED (Development Education) | | |
| Total cost | EU contribution: €25,000,000 (Budget Line 21 03 01) TOTAL €25,000,000 | | |
| Method/Management mode | Project approach - Centralised Call for proposals managed by European Commission Headquarters - <i>Centralised (direct)</i> | | |
| DAC-code, if applicable | 99820 | Sector | Promotion of development awareness |

2. RATIONALE

This Action Fiche refers to the programme's objective 2: **to support actions aiming at raising public awareness of development issues and promote education for development in the EU and acceding countries to anchor development policy in European societies, to mobilise greater public support for action against poverty and fairer relations between developed and developing countries, and to change attitudes to the issues and difficulties facing developing countries and their peoples.**

3. DESCRIPTION OF THE IMPLEMENTATION SCENARIOS

3.1. Basic acts and Financing sources

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) constitutes the legal basis of this programme.

This Action will be financed by budget line 21 03 01 "Non State Actors in Development".

3.2. Objectives of the programme, fields of intervention/priorities of the year, expected results

According to the multi-annual Strategy Paper 2007-2010, and in order to maximise the impact and effectiveness of development education actions, under the thematic programme's objective 2 priority should be given to actions covering the following themes:

- Public support for the MDG agenda, with a particular focus on sub-Saharan Africa;

- Coherence for development, with a particular focus on areas of public interest where common goals with development are important such as migration, trade (including Fair Trade), security, human rights, social dimension of globalisation and decent work, environment, and HIV/AIDS;
- Media and development.

These priorities have to be seen within the current international debate on aid effectiveness and aid architecture reform (Paris Declaration, Accra Agenda of Action).

These activities will be supported through formal and/or informal channels supporting education for development. Attention will be paid to ensuring that these interventions are integrated with development and education policies at both national and European levels. Preference will be given to cross-border and multi-actor initiatives. While these actions are carried out in Europe, partners in developing countries should play an active part where appropriate.

20% of the funds available for this objective of the programme will be allocated preferably to awareness raising/development education actions in the twelve new Member States and proposed by organisations originating from these countries.

Those actions consist mainly in campaigns, publications, information events and conferences, workshops or seminars, where development themes are openly discussed. The targeted groups are not only the population in general but also policy makers at international and national level.

Whenever possible, mainstreaming of gender equality, children's and older people's rights, rights of persons with disabilities, the environment and climate change will be ensured. All projects will need to show, whenever relevant, how these issues are taken into account in the design, implementation and monitoring of their activities. Principles such as empowerment, participation, non-discrimination of vulnerable groups and accountability will be adequately taken into account.

All actions should be based on an initiative of an organisation from the country/countries where the action is taking place, and their involvement should be ensured during all stages of implementation.

Non state actors which propose an action must be able to demonstrate to have regularly carried out activities in the field of development of the kind covered by this programme during at least the last three years (two years for organisations from the twelve new Member States).

Expected results could be among others, increased public awareness on global interdependencies between the EU and developing countries and support for action against poverty and more equitable North-South relations, changing attitudes and improved public understanding of the issues and difficulties facing developing countries and their peoples, better integration of development issues into formal and non-formal education systems in Member States and acceding countries, better integration of development cooperation objectives in all EU policies with a likely impact on developing countries, and greater mobilisation in new Member States and acceding countries, including through networking, campaign work, exchange of experience and best practices.

3.3. Implementation scenario and eligibility conditions

European Union support to the above-mentioned actions will, as a rule, take the form of grants.

Awarding of grants under this component of the programme will comply with the standard procedure of calls for proposals. A single Call for Proposals for Non-State Actors will be published by Headquarters in Brussels. Considering the high number of proposals expected, the system of restricted calls for proposals (concept note + complete proposals) will in principle be used.

Eligibility: For this component of the programme all types of non profit making non-state actors⁵ whose headquarters are in a Member State of the European Union or in an acceding country are eligible, while local authorities and associations of local authorities can participate as partners. Besides, to have access to funding under this component of the thematic programme, all non state actors must be officially registered as such, according to the legislation in force in their respective country. They must have been registered for at least three years at the time of the submission of an application. As far as organisations from the twelve new Member States are concerned this legal existence requirement can be reduced to two years. For the eligibility criteria of local authorities please refer to Action Fiche 5.

Geographical focus:

These actions must take place in one or more Member States of the European Union or in acceding countries.

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Financial Regulation and its Implementing Modalities, the Practical Guide to Contract Procedures for EC external actions, as well as in the Guidelines of the concerned Call for proposals.

As a general rule, the maximum possible rate of EU co-financing for actions to be funded under this objective 2 of the programme is 75% of the action total eligible costs.

Grants awarded must fall between €100,000 and €1,000,000.

The initial foreseen duration of an action may not be lower than 12 months nor exceed 36 months.

Exceptionally, and exclusively for actions proposed by organisations from the 12 newer Member States, the rate of EU co-financing can correspond to 90% of the action total eligible costs and the grant awarded must fall between €25,000 and €1,000,000.

3.5. Schedule of implementation

Call for proposals are expected to be published towards the first semester 2010.

⁵ See article 24.2 of the DCI Regulation (n° 1905/2006).

All calls for proposals will be published on the EuropeAid central website.

3.6. Indicative amount

The indicative financial envelope for 2010 for this component of the thematic programme is of €25,000,000 under budget line 21 03 01 "Non State Actors in Development".

4. SUPPORT MEASURES

Applicants will be invited to foresee systematically evaluations under each project's budget. A general evaluation of the awareness raising/development education activities was completed at the end of 2008, and its conclusions and recommendations of this evaluation will be duly taken into account in the mid-term review of this thematic programme started by the end of 2009.

Applicants will be invited to foresee systematically evaluations under each project's budget. Training, monitoring and follow up missions by the staff or external consultants of the Commission will be conducted regularly.

All support measures must be implemented in accordance with the European Commission's standard procedures for the implementation of external actions.

ANNEX IV TO AAP 2010

ACTION FICHE 4

NON-STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT

OBJECTIVE 3

1. IDENTIFICATION

| | | | |
|-------------------------|---|--------|--------------------------------|
| Title | Non-state Actors – coordination, cooperation and networking activities | | |
| Total cost | EU contribution: €3,026,000 (Budget Line 21.03.01) TOTAL €3,026,000 | | |
| Method/Management mode | Project approach - Centralised Call for proposals managed by European Commission Headquarters - <i>Centralised (direct)</i> | | |
| DAC-code, if applicable | 15150 | Sector | Strengthening of civil society |

2. RATIONALE

Within the thematic programme "Non-State Actors & Local Authorities in Development", this Action Fiche refers to the programme's objective 3: **to support actions aiming at achieving more efficient cooperation, foster synergies and facilitate a structured dialogue between civil society networks from the EU and acceding countries, within their organisations and with EU institutions.**

3. DESCRIPTION OF THE IMPLEMENTATION SCENARIOS

3.1. Basic acts and Financing sources

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) constitutes the legal basis of this programme.

This Action will be financed by budget line 21 03 01 "Non State Actors in Development".

3.2. Objectives of the programme, fields of intervention/priorities of the year, expected results

According to the multi-annual Strategy Paper 2007-2010, in order to support the development and consolidation of dialogue between North-North stakeholder organisations and between these organisations and EU institutions, priority will be given to: (i) information networks and exchange of best practices within and among their organisations; (ii) dialogue and exchange of best practice between different types of stakeholders, including between non state actors and local authorities; (iii) interaction of such organisations or networks with the EU institutions.

These actions will cover mainly seminars, workshops, training sessions, conferences and other capacity building and networking activities targeting the above-mentioned organisations.

Whenever possible, mainstreaming of human rights, gender equality, children's and older people's rights, rights of persons with disabilities, the environment and climate change, as well as the fight against HIV/AIDS, will be ensured. All projects will need to show, whenever relevant, how these issues are taken into account in the design, implementation and monitoring of their activities. Principles such as empowerment, participation, non-discrimination of vulnerable groups and accountability will be adequately taken into account.

Non state actors which propose an action must be able to demonstrate to have regularly carried out activities in the field of development of the kind covered by this programme during at least the last three years.

Expected results could be among others, strengthening of non state actors networks and platforms, including their capacity to build trans-national alliances and to disseminate best practices, increasing number of multi-actor partnerships established and consolidated exchanges between different types of non state actors networks, within their organisations and with EU institutions, strengthening of the capacity of non state actor in new Member States and acceding countries to play an active role in their countries and at the EU level, including ensuring smooth integration of EU development policies.

3.3. Implementation scenario and eligibility conditions

European Union support to the above-mentioned actions will, as a rule, take form of grants.

Awarding of grants under this component of the programme will comply with the standard procedure of calls for proposals. A single call for proposals will be published by Commission headquarters in Brussels. Considering the number of proposals expected, EuropeAid plans to publish a restricted call for proposals (concept notes + complete proposals).

Eligibility: For this component of the programme all types of non profit making non-state actors⁶ whose headquarters are in a Member State of the European Union or in an acceding country are eligible, while Non-state actors from partner countries can participate as partner, as well as local authorities and associations of local authorities can participate as partners. Besides, to have access to funding under this component of the thematic programme, all non-state actors must be officially registered as such, according to the legislation in force in their respective country. They must have been registered for at least three years at the time of the submission of an application. For the eligibility criteria of local authorities please refer to Action Fiche 5.

⁶ See article 24.2 of the DCI Regulation (n° 1905/2006).

Geographical focus:

These actions must take place in one or more Member States of the European Union or in acceding countries.

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Financial Regulation and its Implementing Modalities, the Practical Guide to Contract Procedures for EC external actions, as well as in the Guidelines of the concerned Call for proposals.

As a general rule, the maximum possible rate of EU co-financing for actions to be funded under this objective 3 of the programme is 75% of the action total eligible costs.

Grants awarded must fall between €100,000 and €1,000,000.

The initial foreseen duration of an action may not be lower than 12 months nor exceed 36 months.

Exceptionally, and exclusively for actions proposed by organisations from the 12 newer Member States, the rate of EU co-financing can correspond to 90% of the action total eligible costs.

3.5. Schedule of implementation

Call for proposals are expected to be published towards the first semester 2010.

All calls for proposals will be published on the EuropeAid central website.

3.6. Indicative amount

The indicative financial envelope for 2010 for this component of the thematic programme amounts to €3,026,000 under budget line 21 03 01 "Non State Actors in Development".

4. SUPPORT MEASURES

Applicants will be invited to foresee systematically evaluations under each project's budget. Training, monitoring and follow up missions by the staff or external consultants of the Commission will be conducted regularly.

All support measures must be implemented in accordance with the European Commission's standard procedures for the implementation of external actions.

ANNEX V TO AAP 2010

ACTION FICHE 5 **NON STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT**

OBJECTIVES 1, 2 AND 3

1. IDENTIFICATION

| | | | |
|-------------------------------|--|--------|---|
| Title | Local authorities in development | | |
| Total cost | EU contribution: €35,437,000 (Budget Line 21.03.02) | | |
| Method/ Management mode | Calls for proposals managed by European Commission Headquarters and European Union Delegations <i>Centralised (direct management)</i> | | |
| DAC-code, if applicable | 15112 | Sector | Decentralisation and support to sub-national government |

2. RATIONALE

Within the thematic programme "Non State Actors and Local Authorities in Development", this Action Fiche includes, in the spirit of a better comprehension of the role of local authorities across all the programme's objectives, and taking into consideration their specific character as development actors, all initiatives of local authorities and associations of local authorities (LA) with respect to the three objectives of the thematic programme (Actions in partner countries in-country and multi-country, Development Education and Coordination & Networking).

3. DESCRIPTION OF THE IMPLEMENTATION SCENARIOS

3.1. Basic act and Financing source

The Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) constitutes the legal basis of this programme.

This Action will be financed by budget line 21 03 02 "Local Authorities in Development", for an amount of €35,437,000.

3.2. Objectives of the programme, fields of intervention/priorities of the year and expected results

a) Objective 1 (In-country actions):

In line with the provisions of the DCI Regulation (in particular its article 11), the multi-annual Strategy Paper 2007-2010 foresees that in-country interventions should

be subsidiary to country strategy papers (CSP) and complement other thematic programmes, including the European Instrument for Democracy and Human Rights.

These interventions shall comprise small-scale local development activities in a wide range of sectors and themes, including economic development, health, education, capacity building and institutional support, rural development, waste management, water facilities and other areas in which local authorities intervention has a strong added value. The overarching objective shall be the strengthening of local authorities in partner countries as a pre-condition for a more equitable, open and democratic society, as well as strengthening their capacity to deliver services to the citizens, and in particular the most disadvantaged groups of population.

The list of the **41 targeted countries** and respective country envelopes is presented below under paragraph 3.

Expected results could consist, among others, in: (i) increased capacity of local authorities to strengthen their participation in poverty reduction and sustainable development strategies; (ii) changes in government policy and practice towards local authorities in favour of their involvement in the development process; (iii) better access of the poorest sections of the population to social services and increased participation of those groups in the policy making processes, including particularly marginalized and vulnerable groups.

b) Objective 1 (Multi-country actions):

According to the programme's multi-annual Strategy Paper 2007-2010, under Objective 1 a substantial part of the programme's financial resources will be allocated to support multi-country and/or multi-regional interventions, networking and coordination activities ensured more efficiently through the thematic programme than through geographic programmes.

Priority under this component of the programme for LA will be given to global, multi-country actions with a multi-actor approach comprising activities aiming at improving living conditions that traditionally fall within the remit, statutory responsibilities, competence and expertise of LA (such as land-use planning, management of metropolitan areas, water and sanitation, management of local finances, etc); actions aimed at strengthening citizens' participation through interaction between LA and the local population/grassroots organisations in the framing and implementation of participatory local development plans/participatory budgets, including measures to develop and build up LA/NSA networks (North-North, North-South and South-South); actions aimed at supporting the exchanges of experiences between LA and/or LA associations in order to strengthen partnerships, associations and networks.

Considering that there is a clear need to strengthen the capacity of local authorities in developing countries, inform citizens about their rights, increase their participation in the fight against poverty and inequality, all actions to be supported under this component of the programme must include an element of capacity building.

Expected results could consist, among others, in: (i) increased number of trans-national exchanges, confidence building, networking and coordination activities between local authorities (North-South or South-South), (ii) an increase in local authorities' support for and involvement in global and regional development

processes and dialogues and/or dissemination of best practices at global or regional level and replication at national or local level. Initiatives and projects with a supranational dimension may lead to an added value vis-à-vis the country programmes and to increased support of local populations and their involvement in regional integration processes.

All actions in the framework of Objective 1 (in-country and multi-country) should be based on an initiative of local partners and should ensure their involvement during all the stages of the action implementation. Actions proposed by local authorities originating from the European Union must demonstrate to have an established and effective partnership with local organisations in the partner countries where the actions are taking place.

c) Objective 2 (Development Education):

According to the multi-annual Strategy Paper 2007-2010, and in order to maximise the impact and effectiveness of development education actions, under the thematic programme's objective 2 priority should be given to actions covering the following themes:

- Public support for the MDG agenda, with a particular focus on sub-Saharan Africa;
- Coherence for development, with a particular focus on areas of public interest where common goals with development are important such as migration, trade (including Fair Trade), security, human rights, social dimension of globalisation and decent work, environment, and HIV/AIDS;
- Media and development.

These priorities should be framed within the current international debate on aid effectiveness and aid architecture reform (Paris Declaration, Accra Agenda of Action).

These activities will be supported through formal and/or informal channels supporting education for development. Attention will be paid to ensuring that these interventions are integrated with development and education policies at local, national and European levels. Preference will be given to cross-border and multi-actor initiatives. While these actions are carried out in Europe, partners in developing countries should play an active part where appropriate.

20% of the funds available for this objective of the programme will be allocated preferably to awareness raising/development education actions in the twelve new Member States and proposed by organisations originating from these countries.

Those actions consist mainly in campaigns, publications, information events and conferences, workshops or seminars, where development themes are openly discussed. The targeted groups are not only the population in general but also policy makers at local, national and international level.

All actions should be based on an initiative of a local authority (or association of local authorities) from the country/countries where the action is taking place, and their involvement should be ensured during all stages of implementation.

Expected results could be among others, increased public awareness on global interdependencies between the EU and developing countries and support for action against poverty and more equitable North-South relations, changing attitudes and improved public understanding of the issues and difficulties facing developing countries and their peoples, better integration of development issues into formal and non-formal education systems in Member States and acceding countries, better integration of development cooperation objectives in all EU policies with a likely impact on developing countries, and greater mobilisation in new Member States and acceding countries, including through networking, campaign work, exchange of experience and best practices.

d) Objective 3 (Coordination and Networking):

According to the multi-annual Strategy Paper 2007-2010, in order to support the development and consolidation of dialogue between North-North local authorities and their associations, and between these and EU institutions, priority will be given to: (i) information networks and exchange of best practices; (ii) dialogue and exchange of best practice between different types of stakeholders, including between non state actors and local authorities; (iii) interaction of local authorities and their associations or networks with the EU institutions.

These actions will cover mainly seminars, workshops, training sessions, conferences and other capacity building and networking activities targeting local authorities and their associations.

Whenever possible, mainstreaming of human rights, gender equality, children's and older people's rights, rights of persons with disabilities, the environment and climate change, as well as the fight against HIV/AIDS, will be ensured in projects under all objectives. All projects will need to show, whenever relevant, how these issues are taken into account in the design, implementation and monitoring of their activities. Principles such as empowerment, participation, non-discrimination of vulnerable groups and accountability will be adequately taken into account.

Expected results could be, among others: the strengthening of local authority networks and platforms, including their capacity to build trans-national alliances and to disseminate best practices, increasing number of multi-actor partnerships established and consolidated exchanges between different types of non state actors and local authorities networks, within their organisations and with EU institutions, strengthening of the capacity of local authority platforms in new Member States and acceding countries to play an active role in their countries and at the EU level, including ensuring smooth integration of EU development policies.

3.3. Implementation scenario and eligibility conditions

European Union support to the above-mentioned actions will, as a rule, take the form of grants. Awarding of grants will comply with the standard procedure of calls for proposals. Considering the high number of proposals expected, the system of restricted calls for proposals (concept notes + complete proposals) will, in principle, be used.

For the calls for proposals launched in 2009 (both by European Commission Union and by European Commission Headquarters) where the possibility to combine 2009 and 2010 funds has been foreseen in the guidelines, no specific calls for proposals will be published for the 2010 budget.

In some specific cases, in accordance with the Paris Declaration for aid effectiveness and the Accra Agenda for Action, the opportunity could be considered, when relevant, to pool EU financial contributions with similar funds from other donors or local authorities.

Under *Objective 1 - in-country actions*, the country-based programmes will be implemented at local level by EU Delegations. As stated above, and in line with the principles of subsidiarity and complementarity as defined in the DCI Regulation (article 11), each country call for proposals will define the respective country priorities and eligible applicants.

Exceptionally, for those targeted countries where there is no possibility of publishing a local call, grants for in-country interventions will be awarded via call(s) for proposals to be launched and managed by headquarters in Brussels. In such cases, the call for proposals will clearly indicate each financial envelope by country.

Concerning Objective 1, local authorities originating from the European Union which propose an action must demonstrate i) an established and effective partnership with with local authorities in the partner countries where the actions are taking place and ii) that the proposed action is based on an initiative of local partners and ensure their involvement during all the stages of the implementation of the action.

As it concerns *Objective 1 – multi-country actions, Objective 2 and Objective 3*, a call for proposals will be published by the European Commission Headquarters in Brussels. The projects will be selected and managed by the European Commission Headquarters. For Objective 1, European Commission Delegations participate in the selection process and, whenever possible, in the management of the awarded contracts.

Eligibility: For Objective 1, all types of local authorities or associations of local authorities from partner countries where the action is taking place are also eligible. Similarly, non-state actors from partner countries where the action is taking place are eligible as partners. Local authorities and non-state actors (these, only as partners) from acceding countries are eligible under objectives 2 and 3 of the programme.

All types of local authorities or associations of local authorities from a Member State of the European Union are eligible under this component of the programme. Non-state actors (a precise definition of NSAs is provided in Action Fiche 1) from a Member State of the European Union are eligible as partners.

With regard to Objective 2, for projects which target formal education, relevant central authorities can also be considered eligible as partners.

Geographical focus:

a) Objective 1 (In-country actions):

The list of **41 targeted countries** and respective allocations for local authorities foreseen for **2010** is provided hereafter.

African, Caribbean and Pacific countries

| | Criterion (1) | Criterion (2) | Budget Line 21 03 02 (€) |
|--------------------|----------------------|----------------------|---------------------------------|
| Burundi (*) | | P | 500,000 |
| Dominican Republic | | P | 500,000 |
| Haiti (*) | P | P | 750,000 |
| Ivory Coast | P | | 950,000 |
| Kenya | P | P | 500,000 |
| Mauritania (*) | P | P | 500,000 |
| Mozambique (*) | | P | 300,000 |
| Namibia | | P | 500,000 |
| Niger (*) | P | P | 250,000 |
| RCA | P | P | 350,000 |
| Rwanda (*) | P | P | 400,000 |
| Senegal | | P | 500,000 |
| Sierra Leone (*) | P | P | 450,000 |
| Togo | P | P | 150,000 |
| Zambia (*) | | P | 750,000 |
| Zimbabwe | P | P | 800,000 |
| TOTAL | | | 8,150,000 |

Central and South American countries

| | Criterion (1) | Criterion (2) | Budget Line 21 03 02 (€) |
|-----------|----------------------|----------------------|---------------------------------|
| Argentina | | P | 300,000 |

| | | | |
|--------------|---|---|------------------|
| Bolivia | | P | 500,000 |
| Chile | | P | 200,000 |
| Colombia | P | P | 450,000 |
| El Salvador | | P | 300,000 |
| Honduras | | P | 250,000 |
| Nicaragua | P | P | 500,000 |
| Paraguay | P | P | 200,000 |
| Peru | | P | 250,000 |
| Venezuela | P | P | 250,000 |
| TOTAL | | | 3,200,000 |

Asian countries

| | Criterion (1) | Criterion (2) | Budget Line 21 03 02 (€) |
|-----------------|---------------|---------------|--------------------------|
| Afghanistan (*) | P | P | 450,000 |
| Cambodia (*) | P | P | 2,000,000 |
| Indonesia | | P | 150,000 |
| Kazakhstan | P | P | 300,000 |
| Laos (*) | P | P | 450,000 |
| Philippines | | P | 500,000 |
| Thailand | P | P | 1,000,000 |
| Vietnam | P | P | 300,000 |
| TOTAL | | | 5,150,000 |

Eastern Europe, Southern Caucasus and Mediterranean (ENPI) + Yemen

| | Criterion (1) | Criterion (2) | Budget Line 21 03 02 (€) |
|---------|---------------|---------------|--------------------------|
| Armenia | | P | 300,000 |
| Belarus | P | P | 250,000 |

| | | | |
|--------------|---|---|------------------|
| Georgia | P | P | 150,000 |
| Jordan | P | P | 300,000 |
| Lebanon | P | P | 250,000 |
| Morocco | | P | 150,000 |
| Yemen | | P | 450,000 |
| TOTAL | | | 1,850,000 |

| | | | |
|------------------------------|--|--|-------------------|
| TOTAL for all regions | | | 18,350,000 |
|------------------------------|--|--|-------------------|

Criteria as spelled out in the multi-annual strategy document:

- (1) Country situations not conducive to ensuring non state actors and local authorities' involvement in the development process, including difficult partnerships⁷, unstable situations, conflict, poor governance etc.
- (2) Country where specific needs of parts of the population are not addressed in its development strategy and groups are out of reach of mainstream services and resources, excluded from the policy-making process

(*) Least Developed Countries (OECD/DAC list)

b) Objective 1 (multi-country actions):

Actions supported under this component of the programme must take place in at least 2 partner countries eligible to this programme according to the DCI Regulation. Priority will be given to actions targeting Least Developed Countries, in particular those not included in the list of targeted countries for in-country interventions.

c) Objectives 2 and 3:

The actions must take place in two or more Member States of the European Union or in acceding countries.

⁷ Situations of difficult partnership are defined as those where for one of the following reasons the usual cooperation instruments cannot be fully used to support initiatives undertaken by stakeholders other than central governments: (1) countries where cooperation has been suspended, (2) countries where the authorities are not committed to objectives of poverty reduction and to other basic principles of development policy, including good governance and participation of civil society and decentralized authorities, (3) countries where the dialogue on participatory approaches to development is very limited.

3.4. Essential selection and award criteria

The essential selection and award criteria for the award of grants are laid down in the Financial Regulation and its Implementing Modalities, in the Practical Guide to Contract Procedures for EC external actions as well as in the Guidelines of the concerned call for proposals

As a general rule, the maximum possible rate of EU co-financing for actions to be funded and the maximum and minimum duration of actions are as follows:

a) Objective 1 (in-country actions):

- Grants must fall between a minimum of €20,000 and a maximum of €1,000,000.
- Maximum possible rate of EU co-financing: 75% of the total eligible costs for European LA and 90% for partner countries LA. Under their respective calls for proposals each Delegation may determine the minimum and maximum amounts of grants, provided these amounts stay within the abovementioned limits.
- Duration of the action: the initially foreseen duration of an action may not be lower than 12 months nor exceed 60 months. Under their respective calls for proposals each Delegation may determine the minimum and maximum durations, provided these stay within the above-mentioned limits.

b) Objective 1 (multi-country actions):

- Grants must fall between a minimum of €500,000 and a maximum of €1,500,000.
- Maximum possible rate of EU co-financing: 75% of the total eligible costs for European LA and 90% for partner countries LA.
- Duration of the action: the initially foreseen duration of an action may not be lower than 24 months nor exceed 60 months.

c) Objective 2:

- Grants must fall between a minimum of €100,000 and a maximum of €1,000,000.
- Maximum possible rate of EU co-financing: 75% of the total eligible costs for European LA and 90% for partner countries LA.
- Duration of the action: the initially foreseen duration of an action may not be lower than 12 months nor exceed 36 months.

d) Objective 3:

- Grants must fall between a minimum of €100,000 and a maximum of €750,000.
- Maximum possible rate of EU co-financing: 75% of the total eligible costs.
- Duration of the action: the initially foreseen duration of an action may not be lower than 24 months nor exceed 60 months.

Concerning objectives 2 and 3, exceptionally, and exclusively for actions proposed by LA from the 12 newer Member States, the rate of EU co-financing can correspond to 90% of the action total eligible costs.

*Microfinance activities*⁸

As regards microfinance activities, additional conditions will be applied in order to ensure more professional and sustainable delivery of microfinance interventions. Details of these conditions will be contained in the guidelines for calls for proposals: they aim at achieving professionalization and sustainability through introduction of minimum requirements as regards the applicant's expertise (i.e. the capacity to provide financial services according to agreed international standards of good practice) and experience (track record in the microfinance field), application of selection criteria on the type and design of the actions, and inclusion of performance measuring and monitoring system concerning the actions.

3.5. Schedule of implementation

Calls for proposals are expected to be published in the first semester of 2010. Publication dates might vary according to the different objectives of the programme.

All calls for proposals will be published on the EuropeAid central website. Calls for proposals concerning Objective 1 (in-country actions) of the programme are additionally to be published in the concerned Delegation website.

3.6. Indicative amount

The indicative financial envelope for 2010 for "Local Authorities in Development" amounts to €35,437,000 under 21 03 02 "Local Authorities in Development", of which €8,350,000 for Objective 1 (in-country actions), €1,391,000 for Objective 1 (multi-country actions), €4,984,000 for Objective 2 and €712,000 for Objective 3.

4. SUPPORT MEASURES

Applicants will be invited to foresee systematically evaluations under each project's budget. Training, monitoring and follow up missions by the Commission staff or external consultants of the Commission will be conducted regularly.

Concerning Objective 1 (in-country actions), European Commission Delegations will be able to spend up to 3% of the respective annual operational country allocation for support measures accompanying the implementation of this component of objective 1 of the thematic programme. Those accompanying support measures must cover activities which are of direct benefit to the organisations/partners targeted by the country programme, such as training seminars and workshops, information sessions or special events to publicise the programme. Support measures do not cover activities or expenditure aimed at helping the delegation to manage the programme, such as technical assistance for the evaluation of proposals or follow-up of the programme.

All support measures must be implemented in accordance with the European Commission's standard procedures for the implementation of external actions.

⁸ Only concerns Objective 1.

ANNEX VI TO AAP 2010

ACTION FICHE 6 **NON STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT**

OBJECTIVES 2 AND 3

1. IDENTIFICATION

| | | | |
|-------------------------|--|--------|--------------------------------|
| Title | Non state actors - Targeted projects | | |
| Total cost | EU contribution - €1.200.000 (Budget Line: 21.03.01) TOTAL: €1.200.000 | | |
| Method/Management mode | Project approach – <u>Direct award of 3 grants</u> - <i>Centralised management (direct)</i> | | |
| DAC-code, if applicable | 15150 | Sector | Strengthening of civil society |

2. RATIONALE

This Action Fiche concerns the direct award of 3 grants to actions proposed by NGO platforms whose activities correspond to objectives 2 and 3 of the programme.

2.1. Lessons learnt

The European Union has for a long time, under former NGO Co-financing Budget Line, supported actions aiming at reinforcing the cooperation and coordination between NGOs from the Member States and between NGOs from the Member States and the EU Institutions.

This support has essentially taken the form of an annual operating grant to CONCORD, the European NGO Confederation for Relief and Development, which comprises the national platforms of 22 Member States, 18 networks and families of humanitarian and development NGOs representing more than 1600 European NGOs.

Besides, support has also been provided under this same budget line to NGOs platforms and umbrella organisations from the EU Member States, in particular through grants to projects implemented by those NGO national platforms aiming at, during each Member-State presidency of the EU, both raising the public awareness of development issues in their respective Member States and strengthening the co-operation among national development NGOs, between the government and its national NGOs, as well as with the European Union and European NGOs in general.

This long term support to EU NGO platforms has in general been positively assessed. It is envisaged now to, under this thematic programme, pursue such support and enlarge it to other types of European and acceding countries non-state actors.

2.2. Complementary actions

Complementarities will be sought with other actions implemented in the framework of EU presidencies, as well as other types of support to national or European platforms or umbrella organizations. Besides, complementarities will also be sought

with development education actions, which take also place in Europe and very often are closely associated with the activities pursued in the 3 actions presented below.

3. DESCRIPTION

3.1. Objectives

According to its multi-annual Strategy Paper 2007-2010 adopted on the 21st June 2007, the priorities for objective 2 and 3 of the programme are the following:

Objective 2: (i) Public support for the MDG agenda, with a particular focus on sub-Saharan Africa; (ii) Coherence for development, with a particular focus on areas of public interest where common goals such as migration, trade, security, human rights, social dimension of globalisation and decent work, environment, and HIV/AIDS in relation with development are important; (iii) Media and development.

These activities will be supported through formal and informal channels promoting education for development. Besides, special attention will be paid to awareness raising and development education in the new member states (EU 12).

Objective 3: (i) Information networks and exchange of best practices within and among their organisations; (ii) Dialogue and exchange of best practice between different types of stakeholders, including between non state actors and local authorities; (iii) Interaction of such organisations or networks with the EU institutions.

The 3 actions presented comply with these priorities. Considering that the nature and structure of the actions proposed correspond to a situation of *de facto* monopoly, within the meaning of the Financial Regulation and its Implementing Rules⁹, those grants are awarded directly.

The actions are the following:

Action 1

Operating grant to CONCORD (European Confederation of Relief and Development Non Governmental Organisation) / Duration: 12 months / EU maximum contribution: €700,000.00 (59% of the total 2010 budget of the organisation)¹⁰

CONCORD action plan for 2009-2015 has the following objectives:

1. To influence the EU's policies and practices so that the Union and its member states enhance social justice, equality and human rights throughout the world.
2. To promote the rights and responsibilities of citizens, development NGOs and, where relevant to Concord's influencing agenda, civil society as a whole to act in

⁹ Article 168.1.c of the implementing rules of the financial regulation: "Grants may be awarded without a call for proposals only in the following cases: [...] (c) to bodies with a *de jure* or *de facto* monopoly, duly substantiated in the award decision".

¹⁰ Both requested amount and co-financing rate are in line with Article 113 of the financial regulations: "Unless otherwise specified in the basic act with regard to bodies pursuing an objective of general European interest, when operating grants are renewed, they shall be gradually decreased."

solidarity with those living in poverty and to influence their representatives in governments and EU institutions.

The action's expected results are the following: (i) CONCORD will be a recognised interlocutor of the European Union, with values and positions which are respected by the leading development and humanitarian aid decision-makers; (ii) will contribute to the capacity building of its members to make them more effective in their advocacy and political role at national and European level; (iii) will develop dynamic working methods to enable national networks and platforms to work in synergy, to increase their respective value added and to build their capacity so that they can influence European policies from national level.

Action 2

"The EU presidency programme of the Belgian Platform of CONCORD" / Duration: 12 months / EU maximum contribution: €250.000 (75% of total project's cost)

This project was submitted for co-financing by the 11.11.11-Koepel van de Vlaamse Noord-Zuidbewening vzw (in short 11.11.11) with CNCD-Opération asbl (in short CNCD) as partner.

Overall objective of the project: Increased public awareness of the MDGs and strengthened EU commitment to make efforts to contribute to the achievement of the MDG through increased quantity and quality of aid and strengthening policy coherence for development.

Specific objective of the project: During the 2010 Belgian EU Presidency, the awareness raising and advocacy activities of Belgian and European CSOs on EU development policy and policy coherence are influencing Belgian and EU policy to a larger extent through intensified networking on a national and European level, and greater awareness within the general public regarding EU policies impacting on development.

Expected results:

1/ To a larger extent, the view of CSOs are taken into account by Belgian and European decision makers, with a special focus on policy transparency, participation and coherence, climate change, food security, decent work, financing and quality of aid effectiveness.

2/ Increased knowledge and intensified advocacy and information activities within and between Belgian CSOs on EU policies impacting on development

3/ Intensified collaboration and strengthened networks regarding advocacy work between Belgian and European CSOs

4/Contribute to an increased public awareness of the MDGs, EU as a development actor and the need to be actively involved European policy and Belgian positions in European policy making

Action 3

EC Project of Development NGOs for Hungarian EU Presidency 2011 / Duration: 12 months / EU maximum contribution: €250,000.00 (90% of total project's cost).

This project was submitted for co-financing by HAND, the Hungarian Development NGO platform. The project aims to contribute towards effective EU development cooperation, to engage civil society organisations in implementation of effective aid and to mobilize public and political support towards fulfilment of national and EU commitments for effective aid.

3.2. Stakeholders

Target beneficiary are mainly Non-State Actors from the EU and acceding countries.

3.3. Crosscutting Issues

Crosscutting issues like democracy and human rights, gender, child protection, empowerment and support to disabled persons, age related issues, environment, and climate change are supposed to be covered by these activities.

4. IMPLEMENTATION ISSUES

4.1. Implementation method

As mentioned above, these 3 grants are directly awarded since the 3 actions correspond to a situation of *de facto* monopoly, within the meaning of the Financial Regulation (Art 110) and its Implementing Rules (Art 168c).

4.2. Procurement and grant award procedures

These actions are implemented in accordance with the procedures and standard documents laid down and published by the Commission for the implementation of external operations, in force at the time of the launch of the procedure in question.

4.3. Budget

The funds available to fund these actions, under budget line 21 03 01 budget for 2010, amount to a total of €1.200.000

4.4. Performance monitoring

The actions will be monitored according to standard procedures. Project monitoring and evaluation will be based on periodic assessment of progress on delivery of specified project results and towards achievement of project objectives.

4.5. Evaluation and audit

Evaluations and audits will respect standard procedures.

4.6. Communication and visibility

Visibility of EU funded actions will be ensured according to the EU Visibility Guidelines.

ANNEX VII TO AAP 2010

ACTION FICHE 7

NON STATE ACTORS & LOCAL AUTHORITIES IN DEVELOPMENT

1. IDENTIFICATION

| | | | |
|-------------------------|---|--------|--------------------------------|
| Title | Support measures | | |
| Total cost | EU contribution: €1,000,951 From: Budget Line 21.03.01: €837,951 Budget Line 21.03.02: €163,000 | | |
| Method/Management mode | Direct centralised management | | |
| DAC-code, if applicable | 15150 | Sector | Strengthening of civil society |

2. DESCRIPTION

Support measures are foreseen for actions eligible under the thematic strategy of the programme NSA-LA, including targeted projects which will be subject to a separate financing decision.

Such measures, not exceeding €200,000 each, are for the exclusive benefit of the programme's beneficiaries (e.g. audits, evaluations, studies, identifications, information sessions, special events, etc.), and might be implemented in the framework of any of the objectives of the programme.

These support measures might not preclude the use, by EU Delegations, of the 3% of the respective annual operational country allocation for support measures accompanying the implementation of the "in-country" component of objective 1 of the thematic programme, as described in Action Fiche I & V.

All unspent funds will be added to the budget allocations for the calls for proposals covered by this Annual Action Programme.

2.1. Method of implementation

The method of implementation foreseen is centralised direct management, and procedures will be followed as laid down in EuropeAid's "Practical guide to contract procedures for EC external actions".

2.2. Procurement and grant award procedures

The procurement procedures established in the "Practical guide to contract procedures for EC external actions" will apply.

3. Basic act and Financing source

Regulation N° 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (DCI) (OJ L 378 of 27 December 2006, pp. 41-71), see articles 11, 14 and 22.