

**A P P E N D I X 3**

***Review of Annex IV of the Regulation No. 1907/2006 (REACH)  
Review of proposals for insertion or deletion of substances  
from Annex IV of REACH***



## **REVIEW OF ANNEX IV OF REGULATION (EC) NO. 1907/2006 (REACH)**

### **REVIEW OF PROPOSALS FOR INSERTION OR DELETION OF SUBSTANCES FROM ANNEX IV OF REACH**

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#### **INTRODUCTION**

Annex IV of REACH identifies substances that are exempted from the registration, evaluation and downstream user provisions of REACH *on the basis that sufficient information is known about these substances so that they are considered to cause minimum risk because of their intrinsic properties* (Article 2(7)(a)).

The stakeholders were invited to submit dossiers for additions to, or deletions of substances from, the Annex IV of REACH. The submissions were first sent to either the national competent authority of the home country of the applicant or to one of the following industry organisations: CEFIC, CONCAWE and REACH Alliance with a copy to the Commission. The competent authorities or the industry organisations performed an initial screening of the submissions against the agreed criteria set out in the document ‘Criteria for Inclusion of Substances in Annex IV of Regulation (EC) No. 1907/2006 Concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH)’, hereafter called the Criteria Document.

Following the initial screening a total of 292 proposals for insertion and 2 proposals for deletion were forwarded and evaluated by the contractor. Some of the submissions were not evaluated as they had the form as ‘position papers’ and some of the submissions were lacking a dossier.

The review by the contractor comprised (i) a completeness check of the submissions according to the requirements for information and documentation and (ii) an evaluation of the documentation for compliance with the criteria laid down in the Criteria Document. In order to fulfill the requirements on documentation a complete dossier was required. A complete dossier includes according to section 4 (1) of the Criteria Document:

- An overall conclusion
- A conclusion (descriptive and numerical) per endpoint
- The information specified in section 3 on ‘Information requirements’ and a completed format in accordance with the instructions in Appendix 2 (including completed Tables 1-4, robust study summaries, and descriptions and justifications for adaptations according to column 2 or Annex IX of REACH).

According to the criteria, minimum risk because of intrinsic properties could only be concluded if all the criteria for physicochemical properties, toxicological properties and ecotoxicological properties mentioned in sections 2.1-2.3 in the Criteria Document were met.

The results of the reviews are presented below in the Table entitled ‘Results of Review of Submissions for Annex IV of REACH’, which includes summaries of the individual substance reviews and conclusions on whether the submissions passed or failed the requirements described in the Criteria Document.

## METHODOLOGY

The methodology applied for the review was a stepwise process consisting of the following steps:

- Examination of whether a complete dossier was submitted or not. Submissions without a complete dossier, e.g. proposals which could better be characterised as ‘position papers’, were not evaluated
- Submissions with a complete dossier were reviewed to identify if there were data gaps in the dossier. Such data gaps could be, e.g., missing robust study summaries, or missing justification for applying the adaptations according to column 2 of Annexes VII-X of REACH or according to Annex XI of REACH
- For the complete submissions containing all the required data, the next step was to examine if the ecotoxicological criteria were met. If one criterion was not met, the submission was regarded as failing the criteria, and the data related to other criteria were not considered further (Criteria Document, section 5.1)
- The submissions fulfilling the ecotoxicological criteria were further evaluated to examine if the toxicological and physicochemical criteria were met.

The review of the submissions followed strictly the Criteria Document both in terms of the information and documentation requirements and in relation to compliance with the defined criteria.

Section 3 of the Criteria Document, defining the information requirements, prescribes that:

- Robust study summaries shall be provided for a minimum of one key study per endpoint for physicochemical, toxicological and ecotoxicological properties (section 3 (4))
- The standard information corresponding to the endpoints set out in Annexes VII-X is required with the clarifications specified in section 3 (2), including the possibility to apply the specific rules for adaptation provided in column 2 of the Annexes (this would require that a description and justification is provided in the submission as stated in Appendix 2 of the Criteria Document)
- The general rules for adaptation of the standard testing regime as described in Annex XI, sections 1 and 2, may be applied provided that their use is fully justified (this would require that a description and justification is provided in the submission as stated in Appendix 2 of the Criteria Document).

The approach used to evaluate the information contained in the submissions was to apply the principles described in the REACH Annexes VII-X and XI; these principles and the way they have been used in the review of the submissions are summarised below.

### **Robust study summaries**

According to the definition in REACH (Article 3), a robust study summary means a detailed summary of the objectives, methods, results and conclusions of a full study report providing sufficient information to make an independent assessment of the study minimising the need to consult the full study report.

In the review of the submissions, only the submissions including for key endpoints robust study summaries or study summaries containing the same level of information as a robust study summary have been accepted. For example, for some substances the data available in the form of a report from IUCLID IV does not comply with the required level of information, whereas, for other substances, a IUCLID IV report does contain a sufficient and, thus, acceptable level of information.

If no information in form of a robust study summary is presented, then a clear justification for adaptation is required.

### **Adaptation according to column 2 of Annexes VII-X**

Column 2 of Annexes VII-X permits adaptation in relation to exceptions from the standard information requirements. The Criteria Document permits using the specific rules in column 2 with the exception of adaptations based on exposure or risk considerations.

In the review of the submissions, it was evaluated whether an adaptation from the standard testing regime of Annexes VII-X proposed by the applicant was valid and sufficiently justified.

Applying the adaptation from the standard testing regime requires, first of all, that the reason for doing so shall be valid. This means the specific rules given in column 2 of Annexes VII-X shall apply and they shall be documented and justified. For example, if the applicant seeks waiving of a specific endpoint by stating the substance is 'readily biodegradable', then this adaptation is only regarded as justified, if ready biodegradability has been demonstrated in a valid study.

For the future registration of substances under REACH, the proposed long term toxicity test depends on the chemical safety assessment. For the current review of Annex IV, a minimum one long-term toxicity study for the most sensitive organism (crustacean or fish) is required. There is no waiving possibility for this endpoint.

In absence of terrestrial data, equilibrium partitioning can be applied together with data on the aquatic toxicity to calculate the potential for effects in the terrestrial environment. Exposure based waiving of the standard information required for demonstrating effects on terrestrial organisms is not considered as valid. Furthermore, reference to data confirming the ready biodegradability of a substance cannot be used as an argument for omitting information on effects on terrestrial organisms, as rapid biodegradation does not ensure minimum risk of possible future uses, where the substance is released directly into the soil environment.

### **Adaptation according to Annex XI**

Annex XI includes general rules for adaptation of the standard testing regime set out in Annexes VII-X, which are detailed in three sections: (i) Testing does not appear scientifically necessary, (ii) Testing is technically not possible, and (iii) Substance-tailored exposure-driven testing. The Criteria Document states that the general rules in Annex XI, sections 1 and 2, may be applied in submissions for Annex IV, whereas the rules in section 3 do not apply as they are based on an

exposure assessment and, thus, a known use of the substance which cannot take exposure resulting from unknown future uses into account (Criteria Document, section 3 (7)).

In the review of the submissions, the following principles were applied to evaluate the justification of proposed adaptations referring to Annex XI.

*Use of existing data.* Data from experiments not carried out according to GLP or the test methods referred to in REACH, Article 13 (3), and historical human data, were only accepted in the evaluation of the submissions, when the conditions described in Annex XI, section 1.1 were met. First of all, this requires that the data are considered as adequate and reliable for the particular endpoint and that adequate and reliable documentation is provided.

*Weight of evidence.* Weight of evidence combining several independent sources may lead to the assumption/conclusion that a substance has or has not a particular dangerous property. This type of argumentation requires that adequate and reliable documentation is provided for each individual endpoint for which the weight of evidence is applied.

*Qualitative or quantitative structure-activity relationship ((Q)SAR).* In absence of experimental data, results obtained by use of QSAR models can be applied. The approach applied in the review was that the QSAR models applied in the submissions should be commonly recognised and used within the application area of the models (e.g., the application area could be that the model is valid for water soluble substances). A justification that the validity criteria of the applied QSAR model were fulfilled was considered as a mandatory documentation requirement. Examples of QSAR results that were not accepted in the review include: (i) QSAR calculations for substances that were not within the application area of the model and (ii) predictions of ready biodegradability when no other evidence for ready biodegradability was presented.

*Grouping of substances and read-across approach.* The grouping and read-across approach permits the prediction of physicochemical, ecotoxicological and toxicological properties within a group of substances. It requires that the properties of the substances are alike or follow a regular pattern as a result of structural similarities. The similarities may be based on a common functional group, common precursor or breakdown products with similar structure or a constant pattern in the changing of the potency of the properties across the group.

A justification based on a physical and/or chemical description of the structural similarities was considered as a mandatory requirement for the grouping approach. As the results of the endpoints in Annexes VII-X may depend on different functional groups or structural similarities within the group of substances (especially if the group is broad) it is required to document the relationship between structural similarities and effect for each effect type.

Adequate and reliable documentation shall be provided in relation to the use of the read-across approach. When data for one substance are used for the assessment of another substance, the structures of both substances and their structural similarities need to be described. It should also be clear that the actual data for the substance used in the read-across to another substance must be adequately described and documented. Furthermore, the mere reference to substances in the current Annex IV has not been considered sufficient, if the above mentioned details of the read-across were not provided.

### **Criteria for commonly known substances**

Section 3 (8) of the Criteria Document describes the possibility that commonly known substances can be evaluated case-by-case. This applies only to substances for which there are clear scientific evidence that prolonged daily and continuous human and environmental exposure does not lead to more than minimum risk. Waiving of the standard information requirements for such substances may refer to Annex XI, particularly sections 1.1 (Use of existing data) and 1.2 (Weight of evidence).

It shall be justified that the information required in Annexes VII-X would not add useful data to the already available information. The approach taken in the review was that clear scientific evidence (in the form of quantitative data or observations) should be provided for all individual endpoints for substances where reference to section 3 (8) of the Criteria Document is made.

### **Submissions for deletion**

Section 4(5) of the Criteria Document, defining the documentation requirements prescribes that:

- Dossier containing a conclusion that the substance does not meet the criteria shall be provided
- Documentation and justification shall be provided by completion of the relevant sections of the format (Appendix 2).

The approach taken in the review was that the documentation should be reliable and that the data should give rise to concerns by the indication that the criteria were not met for at least one endpoint.

The results of the reviews are presented below in the Table entitled 'Results of Review of Submissions for Annex IV of REACH'.

Regarding the proposals for deletion from Annex IV of Carbon and Graphite discussion on the nanoforms of the two substances is included as part of the evaluation of the existing entries in Appendix 2.

**RESULTS OF REVIEW OF SUBMISSIONS FOR ANNEX IV OF REACH**  
 Summary and conclusions on proposals for insertion of a substance in Annex IV of REACH

Ref. No.	Identity of substance:			Submitted to (MS or industry association):	Summary of review	Conclusion
	Name	CAS-No.	EINECS			
219	Crystal Glass	No	No	Austria	<p>A dossier covering "Full lead crystal", "Lead crystal" and "Crystal glass" is representing "Crystal glass" and "Full lead crystal". No clear identification is described for the Crystal glass and the Full lead crystal" as no IUPAC name, EINECS or CAS number are given. According to the dossier no structural formula can be specified as the glass is a macromolecular network of an irregular structure and vitreous state.</p> <p>The dossier indicates that glass may have different compositions and variable content of colouring agents and heavy metals.</p> <p>No experimental studies are available for the leached metals from crystal glass. A risk based approach is taken to evaluate possible effects from leached metals from the glass material. The concentrations of the leached metal from full lead crystal found by use of a leaching method are compared to limit concentrations set up for toys, drinking water, surface water, foodstuff and FAO/WHO limits on tolerable intake. In general, Cd, Cr, and Sb, and Pb is considered as critical in the risk assessment of the leached metals from crystal glass. For the evaluation of the toxicity to invertebrates a maximum concentration of 100 mg/L is used instead of the criteria of 1000 mg/L. By use of rough extrapolation assuming extraction of 1000 mg crystal glass per liter the leached concentrations of Cd, Cr, and Pb are above the Negligible Addition, NA set by the Dutch authority.</p> <p>Based on the concerns raised for the metals leached from the crystal glass together with the unclear identification of crystal glass the documentation is considered as incomplete for inclusion in Annex IV.</p> <p>The proposal was submitted in the form of an expert statement.</p>	Fail
225	Fructose		200-333-3	Austria		

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
218	Full Lead Crystal	No	No	Austria	Fail
226	Invert Sugar		232-393-1	Austria	
227	Sugar Factory Lime as a specific form of Calcium Carbonate		207-439-9	Austria	
72	4-O-alpha-D-glucopyranosyl-D-glucitol (maltitol)	585-88-6 (maltitol)	209-567-0 (maltitol)	Belgium	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
44	Calcium gluconate	299-28-5	206-075-8	Belgium	Fail A dossier with limited number of robust study summaries is submitted. The dossier is covering Gluconic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete. Data on the following endpoints are not included and justifications for adaptation are not acceptable: 8.3, 8.4.2, 8.4.3, 8.6.2, 8.7. For the ecotoxicological endpoints only data on biodegradability and acute toxicity to fish is submitted. Data for all other ecotoxicological endpoints are not included and the justifications for adaptation are not acceptable.
13	Calcium lactobionate	5001-51-4	225-668-2	Belgium	Fail A dossier with no data other than physicochemical data and without robust study summaries is submitted. The dossier is covering lactobionic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete and justifications are not acceptable for all toxicological and ecotoxicological endpoints. In general there is not sufficient information to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Read across is made to gluconate indicating a low oral toxicity of gluconate (NOAEL>1 g/kg bw in a 4wk study). The dossier is stating that the effects were likely due to the accompanying cation (sodium) rather than caused by the gluconate anion. By this it is questioned how the cation influence the toxicity, but this was not discussed or questioned in the dossier covering the three salts of lactobionic acid.
97	Caramel	8028-89-5	232-435-9	Belgium	Fail A dossier with only very few data and robust study summaries is submitted. Documentation is not complete. Data is submitted for mutagenicity and repeated dose toxicity. Data are lacking for all other toxicological and ecotoxicological endpoints and justifications for adaptation are not acceptable. Read across is made to fructose, glucose, sucrose, but no documentations are included.
95	D-Fructose	57-48-7	200-333-3	Belgium	Fail A dossier with no data other than physicochemical data and without robust study summaries is submitted. Documentation is not complete. Data are lacking for all toxicological and ecotoxicological endpoints and justifications for adaptation are not acceptable. Read across is made to fructose, glucose, sucrose, trehalulose, isomaltulose and isomaltulose, but no documentations are included.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
41	D-gluconic acid	526-95-4	208-401-4	Belgium	Fail A dossier with limited number of robust study summaries is submitted. The dossier is covering Gluconic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete. Data on the following endpoints are not included and justifications for adaptation are not acceptable: 8.3, 8.4.2, 8.4.3, 8.6.2, 8.7. For the ecotoxicological endpoints only data on biodegradability and acute toxicity to fish is submitted. Data for all other ecotoxicological endpoints are not included and the justifications for adaptation are not acceptable.
11	D-lactobionic acid (4-O-beta-D galactopyranosyl-D-gluconic acid)	96-82-2	202-538-3	Belgium	Fail A dossier with no data other than physicochemical data and without robust study summaries is submitted. The dossier is covering lactobionic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete and justifications are not acceptable for all toxicological and ecotoxicological endpoints. In general there is not sufficient information to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.
45	D-maltobionic acid 4-O-alpha-D-glucopyranosyl-gluconic acid	534-42-9	-	Belgium	Fail A dossier with no data other than physicochemical data and without robust study summaries is submitted. Documentation is not complete. Data are lacking for all toxicological and ecotoxicological endpoints and justifications for adaptation are not acceptable. Read across is mentioned to gluconates and lactobionates, but no data are included.
52	Erythritol	149-32-6	205-737-3	Belgium	Fail A dossier with robust study summaries is submitted. Documentation is incomplete as sufficient information is lacking to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data on the following endpoints are not included and justifications for adaptation are not acceptable: 7.8; 7.10; 7.12; 7.17; 8.1-8.3 and 9.1; 9.3; 9.4.
99	Invert Sugar	8013-17-0	232-393-1	Belgium	Fail A dossier with no data other than physicochemical data and without robust study summaries is submitted. Documentation is not complete. Data are lacking for all toxicological and ecotoxicological endpoints and justifications for adaptation are not acceptable. Read across is made to fructose, glucose, sucrose, trehalulose, isomaltulose and isomaltose, but no documentations are included.

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
22	Isomalt (Mixture of 6-O- $\alpha$ -D-glucopyranosyl-D-sorbitol (1,6-GPS) and 1-O- $\alpha$ -D-glucopyranosyl-D-mannitol (1,1-GPM) which are simultaneously obtained by the hydrogenation of 6-O- $\alpha$ -D-glucopyranosyl-D-fructose)	Isomalt: 64519-82-0 1,6-GPS: 534-73-6 1,1-GPM: 20942-99-8	1,6-GPS: 208-605-3 1,1-GPM: 244-122-4 no distinct EINECS was allocated to Isomalt	Belgium	Fail  A dossier with robust study summaries is submitted. Documentation is not complete. Data for the endpoints 8.1, 8.2, 8.3, 8.5, and all ecotoxicological endpoints are not included and the justifications for adaptation are not acceptable. Robust study summary for biodegradability (9.2) is incomplete as test method is not fully described. It is stated that there are no indications for toxicity to the aquatic or terrestrial system or for accumulation in the environment. However a statement of "no indications for toxicity or accumulation" is insufficient to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Descriptions and justifications for applying read across from substances already on the Annex IV are not acceptable without documentations.
100	Isomaltose	499-40-1	207-879-1	Belgium	Fail  A dossier with no data other than physicochemical data and without robust study summaries is submitted. Documentation is not complete. Data are lacking for all toxicological and ecotoxicological endpoints and justifications for adaptation are not acceptable. Read across is made to fructose, glucose, sucrose, trehalulose, isomaltulose and maltodextrin, but no documentations are included.
47	Isomaltulose (6-O- $\alpha$ -D-glucopyranosyl-D-fructose)	13718-94-0	237-282-1	Belgium	Fail  A dossier with only very few data and robust study summaries for four toxicological endpoints is submitted. Documentation is incomplete and justifications are missing. Data on the following endpoints are not included and justification for adaptation is not acceptable: 8.1-8.3 and 8.5. Data are not provided as it is stated that no particular concerns for skin and eye irritation or skin sensitisation have arisen from common use of sugars in households and no acute toxicity study has been performed with reference to a repeated dose study. Isomaltulose is approved as a novel food / food ingredient under Regulation 258/97/EC. Data on all ecotoxicological endpoints are missing and justification is not acceptable. Data on a biodegradability study is submitted but they are not acceptable for showing ready biodegradability. Adaptations are done according to Column 2 of Annexes VII-X and Annex XI, saying that toxic effects to the aquatic or terrestrial system are unlikely due to degradability and low potential for bioaccumulation.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
69	Lactitol, 4-O-Beta-D-Galactopyranosyl-D-Glucitol	81025-04-9	209-566-5	Belgium	Fail
				<p>The documentation is incomplete and insufficient to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Information on endpoint 9.4 is not included in the dossier. The dossier is missing clear documentation for adaptations. The dossier is missing clear documentation in the robust study summaries. Robust study summaries on the endpoints 9.1 and 9.2 are incomplete.</p> <p>Description and justification for applying the adaptation from the standard testing regime according to column 2 in Annexes VII-X are missing for the endpoints 9.2 and 9.3. It is stated that there are no indications for accumulation in the environment. However, a statement of "no indications for accumulation" is insufficient to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Description and justification for applying read across from substances already on the Annex IV according to Annex XI section 1.5 are incomplete.</p>	
73	Maltitol syrup	-	-	Belgium	Fail
				<p>A dossier covering Maltitol, Maltitol syrup, Sorbitol syrup and Polyglycolol syrup is submitted. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Information on the following endpoints for physicochemical properties is not included in the dossier: 7.9, 7.12 and 7.13. Toxicological and ecotoxicological information on the following endpoints is not included in the dossier: 8.1, 8.2, 8.3 and 9.1. WHO Food additives series are included as robust study summaries. The EPI suite model system was used for the documentation of the ready biodegradability of Maltitol and Sorbitol. Documentation on the biodegradability on the syrups is lacking. The dossier is missing clear documentation for the adaptations done according to column 2 in Annexes VII-X and according to Annex XI section 1 and 2.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
15	Palatinose syrup	glucose 50-99-7, fructose 57-48-7, sucrose 57-50-1, isomaltose 499-40-1, isomaltulose 13718-94-0, trehalulose 51411-23-5	glucose 200-075-1, fructose 200-333-3, sucrose 200-334-9, isomaltose 207-879-1, isomaltulose 237-282-1, trehalulose 257-183-7	Belgium	<p>A dossier without robust study summaries is submitted. Palatinose syrup is not identified by a CAS or EINECS No. but by its constituent sugars glucose, fructose, sucrose, isomaltose, isomaltulose and trehalulose. Adaptations according to Annex XI are done for all toxicological endpoints e.g. saying that from sugars common use in households no particular concerns for skin and eye irritation or skin sensitisation have arisen, no mutagenicity is seen for sugars (weight of evidence). However no documentation has been provided. With reference to a FDA report it is stated that a long-standing history of safe exposure to humans and environment exist for glucose, fructose, sucrose and isomaltose. Read across are made for isomaltulose and trehalulose. Adaptations according to Annex XI are done for the ecotoxicological endpoints but justification for adaptation is not acceptable without any documentation.</p>	Fail
68	Pentane 1,2,3,4,5-pentol/1,2,3,4,5-Pentahydroxypentane (Xylitol)	87-99-0	201-788-0	Belgium	<p>The documentation is incomplete and insufficient to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Information on the following endpoints is not included in the dossier: 7.8, 7.12, 7.13, 9.2. The dossier does not include clear documentation for the applied adaptations.</p> <p>Description and justification for applying the adaptation from the standard testing regime according to column 2 in Annexes VII-X and Annex XI section 1 and 2 are missing for the following endpoints: 9.2, 9.3 and 9.4. It is stated that there are no indications for toxicity or accumulation in the aquatic or terrestrial environment. However, a statement of "no indications for toxicity or accumulation" is insufficient to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Description and justification for applying read across from substances already on the Annex IV according to Annex XI section 1.5 are incomplete.</p>	Fail

Review of submissions for Annex IV

Ref. No.	Identity of substance:				Submitted to (MS or industry association):	Summary of review	Conclusion
	Name	CAS-No.	EINECS				
63	Polyglycitol syrup	-	-	-	Belgium	A dossier covering Maltitol, Maltitol syrup, Sorbitol syrup and Polyglycitol syrup is submitted. The documentation is incomplete and insufficient to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Information on the following endpoints for physicochemical properties is not included in the dossier: 7.9, 7.12 and 7.13. Toxicological and ecotoxicological information on the following endpoints is not included in the dossier: 8.1, 8.2, 8.3 and 9.1. WHO Food additives series are included as robust study summaries. The EPI suite model system was used for the documentation of the ready biodegradability of Maltitol and Sorbitol. Documentation on the biodegradability on the syrups is lacking. The dossier is missing clear documentation for the adaptations done according to column 2 in Annexes VII-X and according to Annex XI section 1 and 2.	Fail
43	Potassium gluconate	299-27-4	206-074-2	-	Belgium	A dossier with limited number of robust study summaries is submitted. The dossier is covering Gluconic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete. Data on the following endpoints are not included and justifications for adaptation are not acceptable: 8.3, 8.4.2, 8.4.3, 8.6.2, 8.7. For the ecotoxicological endpoints only data on biodegradability and acute toxicity to fish is submitted. Data for all other ecotoxicological endpoints are not included and the justifications for adaptation are not acceptable.	Fail
14	Potassium lactobionate	-	-	-	Belgium	A dossier with no data other than physicochemical data and without robust study summaries is submitted. The dossier is covering lactobionic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete and justifications are not acceptable for all toxicological and ecotoxicological endpoints. In general there is not sufficient information to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Read across is made to gluconate indicating a low oral toxicity of gluconate (NOAEL>1 g/kg bw in a 4wk study). The dossier is stating that the effects were likely due to the accompanying cation (sodium) rather than caused by the gluconate anion. By this it is questioned how the cation influence the toxicity, but this was not discussed or questioned in the dossier covering the three salts of lactobionic acid.	Fail

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
42	Sodium gluconate	14906-97-9 and 527-07-1	238-976-7 and 208-407-7	Belgium	Fail A dossier with limited number of robust study summaries is submitted. The dossier is covering Gluconic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete. Data on the following endpoints are not included and justifications for adaptation are not acceptable: 8.3, 8.4.2, 8.4.3, 8.6.2, 8.7. For the ecotoxicological endpoints only data on biodegradability and acute toxicity to fish is submitted. Data for all other ecotoxicological endpoints are not included and the justifications for adaptation are not acceptable.
12	Sodium lactobionate	27297-39-8	-	Belgium	Fail A dossier with no data other than physicochemical data and without robust study summaries is submitted. The dossier is covering lactobionic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete and justifications are not acceptable for all toxicological and ecotoxicological endpoints. In general there is not sufficient information to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Read across is made to gluconate indicating a low oral toxicity of gluconate (NOAEL>1 g/kg bw in a 4wk study). The dossier is stating that the effects were likely due to the accompanying cation (sodium) rather than caused by the gluconate anion. By this it is questioned how the cation influence the toxicity, but this was not discussed or questioned in the dossier covering the three salts of lactobionic acid.
74	Sorbitol syrup	68425-17-2 (hydrogenated glucose syrup);	270-337-8 (hydrogenated glucose syrup)	Belgium	Fail A dossier covering Maltitol, Maltitol syrup, Sorbitol syrup and Polyglycol syrup is submitted. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Information on the following endpoints for physicochemical properties is not included in the dossier: 7.9, 7.12 and 7.13. Toxicological and ecotoxicological information on the following endpoints is not included in the dossier: 8.1, 8.2, 8.3 and 9.1. WHO Food additives series are included as robust study summaries. The EPI suite model system was used for the documentation of the ready biodegradability of Maltitol and Sorbitol. Documentation on the biodegradability on the syrups is lacking. The dossier is missing clear documentation for the adaptations done according to column 2 in Annexes VII-X and according to Annex XI section 1 and 2.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
46	Trehalulose (1-O- $\alpha$ -D-glucopyranosyl)-D-fructose)	51411-23-5	257-183-7	Belgium	Fail A dossier with no data other than physicochemical data and without robust study summaries is submitted. Documentation is not complete. Data are lacking for all toxicological and ecotoxicological endpoints and justifications for adaptation are not acceptable. Read across is mentioned to sucrose, glucose, fructose and isomaltulose, but no data are included.
120	(2R,3R)-2,3-dihydroxybutanedioic acid (Tartaric Acid)	87-69-4	201-766-0	CEFIC	Fail The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data for very few physicochemical and toxicological endpoints are included, whereas no ecotoxicological data are included. Adaptations from the standard testing regime have not been applied.
214	(Z)-tetradec-9-enoic acid	544-64-9	208-876-8	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
205	12-hydroxy-octadecanoic acid	106-14-9	203-366-1	CEFIC	Fail
60	2-hydroxypropane-1,2,3-tricarboxylic acid (Citric acid)	77-92-9 (anhydrous) 5949-29-1 (citric acid monohydrate)	201-069-1	CEFIC	Fail

A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.

The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

A full dossier with robust study summaries is submitted. The robust study summaries are not marked with reference numbers of the relevant sections in Annexes VII-X and some studies are not sufficiently described to conclude on the tests. Data are lacking for the endpoints 8.1-8.3. No data for terrestrial toxicity is included in the dossier (9.4). For toxicity to invertebrates, data for only one test is submitted. It is not clear whether the test is an acute or a chronic test. Acute toxicity to fish is reported as LC50 = 440-760 mg/L, which fails the criterion (EC/LC50 > 1000 mg/L). The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
211	9,12,15-Octadecatrienoic acid, (9Z,12Z,15Z)-	463-40-1	207-334-8	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	
204	9,12-Octadecadienoic acid	60-33-3	200-470-9	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
129	9-Hexadecenoic acid	2091-29-4	-	CEFIC	Fail
154	9-Octadecenoic acid (Z)-, potassium salt	143-18-0	205-590-5	CEFIC	Fail

A dataset covering a group of C<sub>10</sub>-C<sub>22</sub> Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.

The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

A dataset covering a group of C<sub>10</sub>-C<sub>22</sub> Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.

The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
172	9-Octadecenoic acid (Z)-, sodium salt	143-19-1	205-591-0	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	
156	9-Octadecenoic acid, 12-hydroxy-, monopotassium salt, [R-(Z)]-	7492-30-0	231-314-8	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
30	Ammonium dihydrogenorthophosphate (Monoammonium phosphate)	7722-76-1	231-764-5	CEFIC	<p>A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitiser, no concern, non-hazardous" but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.</p> <p>The substance is inorganic, however it is stated that the substance is readily biodegradable. The attached IUCLID report provides data which are not included in Table 4 in the dossier. Based on data from the IUCLID report the substance fails the criteria for inclusion into Annex IV. The IUCLID report states "May cause local irritation", "Prolonged or repeated contact may lead to chronic dermatitis", "Slightly eye irritant". The toxicity to fish also fails the criteria of &gt;1000 mg/L (155 mg/L).</p>	Fail
58	Animal Fat	92113-40-1	295-644-4	CEFIC	<p>A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitiser, no concern, non-hazardous, etc." but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Gelatine is proposed for grouping and read across, but no justifications are given.</p>	Fail
57	Bone meal	68409-75-6	270-061-8	CEFIC	<p>A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitiser, no concern, non-hazardous, etc." but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Gelatine is proposed for grouping and read across, but no justifications are given.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
56	Bone, defatted	97926-21-1	308-236-9	CEFIC	A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous, etc." but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Gelatine is proposed for grouping and read across, but no justifications are given.	Fail
127	Calcium bis(dihydrogenorthophosphate)	7758-23-8	231-837-1	CEFIC	A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous" but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. The substance is inorganic, however it is stated that it is readily biodegradable. IUCLID report is attached.	Fail
7	Calcium Carbonate (Sugar Factory Lime)	-	207-439-9	CEFIC	Expert Statement was provided as part of the proposal. Incomplete documentation to demonstrate that criteria for minimum risk because of intrinsic properties of the substance are met.	Fail
173	Calcium distearate	1592-23-0	216-472-8	CEFIC	A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
125	Calcium hydrogenorthophosphate	7757-93-9 (anhydrous) and 7789-77-7 (dihydrate)	231-826-1	CEFIC	Fail A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous" but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. The substance is inorganic, however it is stated that it is readily biodegradable. IUCLID report is attached.
29	Calcium magnesium orthophosphate	25618-23-9	247-131-1	CEFIC	Fail A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous" but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. The substance is inorganic, however it is stated that it is readily biodegradable. Attached IUCLID report does not provide any data.
126	Calcium phosphate	10103-46-5	233-283-6	CEFIC	Fail A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous" but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. The substance is inorganic, however it is stated that it is readily biodegradable. IUCLID report is attached.

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
175	Calcium(2+) 12-hydroxyoctadecanoate	3159-62-4	221-605-8	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
267	Canola oil, low erucic rapeseed oil	120962-03-3		CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
210	Capric acid (Decanoic acid)	334-48-5	206-376-4	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
3	Carrageenan, PES (Processed Eucheuma Seaweed)	9000-07-1	232-524-2	CEFIC	Fail
				<p>A dossier is submitted with robust study summaries for toxicological information only. Data are missing on 7.9, 7.10, 7.12, 7.13, 7.14, 7.17 and 8.4.3. Documentation for ecotoxicological properties is incomplete and adaptations according to Annex VII-X and XI are not fully justified or invalid justifications are made. Data (EC-values etc.) for aquatic toxicity are given based on EPI Suite modelling of D-Galactose, but no documentation on the modelling is included. Modelled data indicates that the aquatic toxicity criteria may not be met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
245	Castor oil, dehydrated	64147-40-6	264-705-7	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
237	Castor oil, hydrogenated	8001-78-3	232-292-2	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
298	Castor oil, hydrogenated	8001-78-3	232-292-2	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. Read across is made from a substance not part of the submission. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail
113	Coconut Oil	8001-31-8	232-281-2	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. Read across is made from a substance not part of the submission. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
292	Coconut Oil	8001-31-8	232-281-2	CEFIC	Fail The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.
51	Collagen	09007-34-5	232-697-4	CEFIC	Fail A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitiser, no concern, non-hazardous" but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Gelatine is proposed for grouping and read across but no justifications are given.
55	Collagen (ox)	09050-08-2	232-937-8	CEFIC	Fail A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitiser, no concern, non-hazardous, etc." but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Gelatine is proposed for grouping and read across, but no justifications are given.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
53	Collagen hydrolysate	92113-31-0	295-635-5	CEFIC	A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous, etc." but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Gelatine is proposed for grouping and read across, but no justifications are given.	Fail
54	Collagen ossein	121543-31-5	310-156-4	CEFIC	A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous, etc." but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Gelatine is proposed for grouping and read across, but no justifications are given.	Fail
108	Corn Steep Liquor (CSL)	66071-94-1	266-113-4	CEFIC	Documentation is incomplete. Robust study summaries are only included for 7.3; 7.5; 7.7; 8.5.1; 8.6.2; 8.4.1; 8.4.2 and for lactic acid (read across to 9.1). Remaining documentation is lacking and justification according to Annex VII-X and XI is not complete/fully justified. Therefore documentation is incomplete and it cannot be concluded if the criteria for minimum risk are met. CSL is a mixture of water soluble fractions of maize steeped. It is questioned if CSL is to be considered as a preparation under REACH.	Fail

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
266	Cottonseed oil	8001-29-4	232-280-7	CEFIC	Fail
246	Decanoic acid, ester with 1,2,3-propanetriol octanoate	65381-09-1	265-724-3	CEFIC	Fail

The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.

Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. The modelling is not presented in the submission, only the data obtained. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.

Studies performed on the WAF of the substance find toxicity to invertebrates in the range 100-1000 mg/l indicating that the criteria for inclusion in Annex IV are not fulfilled.

The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.

The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
124	Dipotassium (+)-(2R,3R)-2,3-dihydroxybutanedionate (dipotassium tartrate)	921-53-9	213-067-8	CEFIC	The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data for very few physicochemical endpoints are included, whereas no toxicological and ecotoxicological data are included. Adaptations from the standard testing regime have not been applied.	Fail
123	Sodium (+)-(2R,3R)-2,3-dihydroxybutanedionate (Sodium tartrate dihydrate)	6106-24-7	212-773-3	CEFIC	The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data for very few physicochemical endpoints are included, whereas no toxicological and ecotoxicological data are included. Adaptations from the standard testing regime have not been applied.	Fail
206	Docosanoic acid	112-85-6	204-010-8	CEFIC	A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
162	Dodecanoic acid, calcium salt	4696-56-4	225-166-3	CEFIC	Fail
160	Dodecanoic acid, magnesium salt	4040-48-6	223-727-7	CEFIC	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
157	Dodecanoic Acid, Potassium salt	10124-65-9	233-344-7	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.
212	Eicosanoic acid (Arachidic acid) C20H40O2	506-30-9	208-031-3	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
207	Erucic acid ((Z)-docos-13-enoic acid)	112-86-7	204-011-3	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.
37	Fatty acids C16-18, Methyl esters	85586-21-6	287-824-6	CEFIC	Fail A dossier with very few data and without robust study summaries is submitted. No adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. Data are missing for most endpoints: 7.5; 7.8; 7.10; 7.12; 8.3-8.9; (except 8.5.1) 9.1-9.4 (except 9.1.1 and 9.2.1.1). Link is provided to an IUCLID data set for the substance, in which the few data are documented. Based on the data in the IUCLID data set the substance fails the ecotoxicological criteria. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.
196	Fatty acids peanut oil	68424-13-5	270-275-1	CEFIC	No dossier available

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
147	Fatty acids sunflower oil	84625-38-7	283-413-0	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.
134	Fatty acids tall oil	61790-12-3	263-107-3	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
297	Fatty acids tall oil	61790-12-3	263-107-3	CEFIC	Fail
151	Fatty acids, C10-14	90990-09-3	292-770-1	CEFIC	Fail

The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.

A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.

The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
193	Fatty acids, C10-16	68002-90-4	268-105-6	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	
187	Fatty acids, C12-18, potassium salts	91032-02-9	293-008-0	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
195	Fatty acids, C12-20 and C12-20-unsatd.	68334-03-2	269-808-0	CEFIC	Fail
137	Fatty acids, C14-18	67701-02-4	266-926-4	CEFIC	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
176	Fatty acids, C14-18 and C16-18-unsatd., potassium salts	68002-80-2	268-094-8	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	
168	Fatty acids, C14-18 and C16-18-unsatd., sodium salts	67701-11-5	266-935-3	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
138	Fatty acids, C14-18 and C16-22-unsatd.	68002-87-9	268-101-4	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	
144	Fatty Acids, C16-18 and C18 unsaturated	68953-27-5	273-195-5	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
179	Fatty acids, C16-18 and C18-unsatd., sodium salts	68424-26-0	270-286-1	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
36	Fatty acids, C16-18, C18 unsaturated methyl esters	67762-38-3	267-015-4	CEFIC	Fail
				<p>A dossier with very few data (non-documented) and without robust study summaries is submitted. No adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. Data are missing for most endpoints: 7.5; 7.8; 7.10; 7.12; 8.3-8.9; (except 8.5.1 - but no documentation is provided) 9.1-9.4 (except 9.1.1 and 9.2.1.1 - but no documentation is provided). The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
184	Fatty acids, C16-18, calcium salts	85251-71-4	286-484-6	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
186	Fatty acids, C16-18, magnesium salts	91031-63-9	292-967-2	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
180	Fatty acids, C16-18, sodium salts	68424-38-4	270-299-2	CEFIC	Fail
202	Fatty acids, C16-20	68937-76-8	273-087-8	CEFIC	Fail

A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.

The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.

The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
192	Fatty acids, C16-22	68002-88-0	268-103-5	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	
141	Fatty acids, C16-22 and C18-22-unsatd	95912-82-6	306-078-5	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
181	Fatty acids, C16-22, calcium salts	68604-59-1	271-700-3	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
152	Fatty acids, C18-22	90990-11-7	292-772-2	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
200	Fatty acids, C6-10	68937-74-6	273-085-7	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
201	Fatty acids, C8-10	68937-75-7	273-086-2	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	
148	Fatty acids, C8-16	85631-26-1	287-973-7	CEFIC	
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
150	Fatty acids, C8-18	90990-08-2	292-769-6	CEFIC	
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
183	Fatty acids, C8-18 and C18-unsatd., calcium salts	84776-34-1	283-960-5	CEFIC	Fail
169	Fatty acids, C8-18 and C18-unsatd., magnesium salts	67762-33-8	267-011-2	CEFIC	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	
136	Fatty acids, castor-oil, hydrogenated	61790-39-4	263-131-4	CEFIC	
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
185	Fatty acids, castor-oil, hydrogenated, calcium salts	85251-72-5	286-485-1	CEFIC	
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
131	Fatty acids, coco	61788-47-4	262-978-7	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.
203	Fatty Acids, coco heavy fractions	68937-85-9	273-096-7	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
232	Fatty acids, coco, calcium salts	64754-97-8	265-225-0	CEFIC	Fail
142	Fatty acids, coco, hydrogenated	68938-15-8	273-118-5	CEFIC	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
231	Fatty acids, coco, potassium salts	61789-30-8	263-049-9	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.
158	Fatty acids, coco, sodium salts	61789-31-9	263-050-4	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.
194	Fatty acids, Cotton-seed oil	68308-51-0	269-656-5	CEFIC	No dossier available

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
133	Fatty acids, dehydrated castor-oil	61789-45-5	263-061-4	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
143	Fatty acids, fish-oil, hydrogenated	68938-25-0	273-119-0	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
153	Fatty Acids, Olive oil	92044-96-7	295-376-8	CEPIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.
139	Fatty acids, palm kernel-oil	101403-98-9	309-936-7	CEPIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
145	Fatty acids, palm kernel-oil, potassium salts	70969-43-6	275-067-4	CEFIC	Fail
182	Fatty acids, palm kernel-oil, potassium salts	70969-43-6	275-067-4	CEFIC	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
159	Fatty acids, palm kernel-oil, sodium salts	61789-89-7	263-097-0	CEFIC	Fail
197	Fatty acids, palm-oil	68440-15-3	270-438-7	CEFIC	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
146	Fatty acids, palm-oil, hydrogenated	84238-17-5	282-486-6	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	
191	Fatty acids, palm-oil, hydrogenated, sodium salts	93763-22-5	297-840-5	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
167	Fatty acids, palm-oil, potassium salts	66072-07-9	266-119-7	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
149	Fatty acids, rape-oil	85711-54-2	288-314-6	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
188	Fatty acids, rape-oil, hydrogenated, calcium salts	91697-59-5	294-321-5	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	
189	Fatty acids, rape-oil, hydrogenated, magnesium salts	91697-61-9	294-323-6	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
233	Fatty acids, tall-oil, calcium salts	68154-86-9	268-922-8	CEFIC	Fail
165	Fatty acids, tallow, calcium salts	64755-01-7	265-228-7	CEFIC	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
135	Fatty acids, tallow, hydrogenated	61790-38-3	263-130-9	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	
166	Fatty acids, tallow, hydrogenated, calcium salts	66071-81-6	266-106-6	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
190	Fatty acids, tallow, hydrogenated, magnesium salts	91697-83-5	294-345-6	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
177	Fatty acids, tallow, hydrogenated, potassium salts	68153-66-2	268-892-6	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
178	Fatty acids, tallow, hydrogenated, sodium salts	68309-30-8	269-672-2	CEFIC	Fail
170	Fatty acids, tallow, sodium salts	8052-48-0	232-491-4	CEFIC	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
132	Fatty acids, vegetable-oil	61788-66-7	262-994-4	CEFIC	Fail
198	Fatty acids, vegetable-oil, satd.	68648-23-7	271-983-3	CEFIC	Fail

Review of submissions for Annex IV

Ref. No.	Identity of substance:				Submitted to (MS or industry association):	Summary of review	Conclusion
	Name	CAS-No.	EINECS				
199	Fatty acids, vegetable-oil, unsatd.	68648-24-8	271-984-9		CEFIC	A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.	Fail
5	Fructose	-	200-333-3		CEFIC	Expert Statement was provided as part of the proposal. Incomplete documentation to demonstrate that criteria for minimum risk because of intrinsic properties of the substance are met.	Fail
105	Fructose	57-48-7	200-333-3		CEFIC	A dossier without robust study summaries is submitted. The submitted data includes summary from EPI Suite (excluding ecotox data) and a FDA Food Additive Summary Report. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Documentation for ecotoxicological data is missing and the justifications for adaptations are not acceptable.	Fail
49	Gelatine	09000-70-8	232-554-6		CEFIC	A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous" but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Substances similar to gelatine and/or by-products of the gelatine industry are proposed for grouping and read across but no justifications are given. IUCLID datasheets are attached for gelatine, gelatine hydrolysate and calcium hydrogenorthophosphate, but without providing sufficiently described data.	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
50	Gelatine hydrolysate	68410-45-7	270-082-2	CEFIC	<p>A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous" but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Gelatine is proposed for grouping and read across but no justifications are given. IUCLID datasheets are attached, but without providing sufficiently described data.</p>	Fail
257	Glycerides, C10-18	85665-33-4	288-123-8	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
247	Glycerid-es, C12-18	67701-26-2	266-944-2	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
248	Glycerid-es, C14-18	67701-27-3	266-945-8	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
250	Glycerides, C14-18 and C16-18-unsatd	67701-29-5	266-947-9	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
87	Glycerides, C14-C18 mono- and di-	67701-33-1	266-952-6	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
252	Glycerides, C16-18	68002-71-1	268-084-3	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
251	Glycerides, C16-18 and C18-unsatd	67701-30-8	266-948-4	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
263	Glycerides, C16-18 and C18-unsatd. Mono-, di- and tri-	68424-61-3	270-312-1	CEFIC	Fail
259	Glycerides, C16-18 and C18-unsatd. Mono-, di- and tri-	91744-20-6	294-582-5	CEFIC	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
89	Glycerides, C16-18 mono-	91052-47-0	293-208-8	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
88	Glycerides, C16-18, mono- and di-	85251-77-0	286-490-9	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
256	Glycerides, C8-10	85409-09-2	287-075-5	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
249	Glycerides, C8-18 and C18-unsatd	67701-28-4	266-946-3	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
258	Glycerides, C8-18 and C18-unsatd. Mono-, di- and tri-	91052-27-6	293-185-4	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
241	Glycerides, coco mono-	61789-05-7	263-027-9	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
253	Glycerides, mixed coco, decanoyl and octanoyl	68606-18-8	271-729-1	CEFIC	The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.	Fail
255	Glycerides, mixed decanoyl and octanoyl	73398-61-5	277-452-2	CEFIC	The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
234	Glycerides, tall-oil mono-, di-, and tri-	97722-02-6	307-751-6	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
262	Glycerides, vegetable-oil mono- and di-	97488-91-0	307-030-6	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
261	Glycerides, vegetable-oil mono- and di-, hydrogenated	97488-92-1	307-031-1	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
254	Glycerides, wheat germ-oil mono-, di- and tri-	68990-07-8	?	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
1	Glycerol	56-81-5	200-289-5	CEFIC	Fail
236	Glycerol triaurate	538-24-9	208-687-0	CEFIC	Fail

A full dossier is submitted with robust study summaries from IUCLID. Adaptations have been provided in accordance with Column 2 of Annexes VII-X and Annex XI. Documentation for ecotoxicological properties are for many endpoints unclear or incomplete. Toxicity to invertebrates is based on a 24 hours study (9.1.1), test on short term toxicity to fish is lacking important information on test conditions (9.1.3), no long term toxicity tests have been provided (9.1.5, 9.1.6) and the justification for the proposed adaptation is not valid as it is based on risk considerations (The applicant claims that the information/data are not needed, since a risk assessment (OECD/ICCA) allegedly results in unproblematic risk characterization ratios). No data are provided on effects on terrestrial organisms (9.4) and the adaptation is not acceptable.

The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogeneous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
85	Glycerol tristearate	555-43-1	209-079-6	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
265	Grapeseed oil	8024-22-4	284-511-6	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
174	Hexadecanoic acid, potassium salt	2624-31-9	220-088-6	CEFIC	Fail
268	High oleic sunflower oil	210823-73-7		CEFIC	Fail

A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.

The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.

Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.

The modelling is predicting that the substance is not readily biodegradable.  
The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
274	Hydrogenated palm olein	93334-36-2	297-11-1	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. Read across is made from a substance not part of the submission. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail
278	Hydrogenated coconut oil	84836-98-6	284-283-8	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
281	Hydrogenated cottonseed oil	68334-00-9	269-804-9	CEFIC	Fail
				<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	
275	Hydrogenated palm kernel oil	84540-04-5	283-093-2	CEFIC	Fail
				<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	
277	Hydrogenated palm kernel olein	93334-38-4	297-113-2	CEFIC	
				<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail
276	Hydrogenated palm kernel stearin	68990-82-9	273-627-2	CEFIC	
				<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
272	Hydrogenated palm oil	68514-74-9	271-056-3	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. Read across is made from a substance not part of the submission. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail
273	Hydrogenated palm stearin	93334-37-3	297-112-7	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. Read across is made from a substance not part of the submission. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):
269	Hydrogenated rapeseed oil	84681-71-0	283-532-8	CEFIC
<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>				
299	Hydrogenated shea butter	92797-40-5	296-576-8	CEFIC
<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>				

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
279	Hydrogenated shea olein	93333-83-6	297-053-7	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail
280	Hydrogenated shea stearin	93348-60-8	297-203-1	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
270	Hydrogenated soybean oil	8016-70-4	232-410-2	CEFIC	Fail
<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>					
271	Hydrogenated sunflower oil	91723-20-5	294-524-9	CEFIC	Fail
<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>					

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):
116	Illipe Fat	91770-65-9	294-851-7	CEFIC
<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>				
295	Illipe Fat	91770-65-9	294-851-7	CEFIC
<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>				

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
282	Interesterified shea butter	97593-46-9	307-351-1	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail
6	Invert Sugar	-	200-333-3, 200-075-1, 200-334-9	CEFIC	<p>Expert Statement was provided as part of the proposal. Incomplete documentation to demonstrate that criteria for minimum risk because of intrinsic properties of the substance are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):
130	Isooctadecanoic acid	30399-84-9	250-178-0	CEFIC
<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>				
				Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
78	Lactitol, 4-O-Beta-D-Galactopyranosyl-D-Glucitol	81025-04-9	209-566-5	CEFIC	Fail The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Information on the endpoint 9.4 is not included in the dossier. The dossier is missing clear documentation for adaptations. The dossier is missing clear documentation on robust study summaries. Robust study summaries on the endpoints 9.1 and 9.2 are incomplete. Description and justification for applying the adaptation from the standard testing regime according to column 2 in Annexes VII-X are missing for the following endpoints: 9.2 and 9.3. It is stated that there are no indications for accumulation in the environment. However, a statement of "no indications for accumulation" is insufficient to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Description and justification for applying read across from substances already on the Annex IV according to Annex XI section 1.5 are incomplete.
33	Lanolin	8006-54-0	232-348-6	CEFIC	Fail A dossier without robust study summaries is submitted. Data and justifications for adaptations are missing for the following endpoints: 8.4, 8.6, 8.7, 8.8, 9.1, 9.2, 9.3, 9.4. It is stated that the substance is inherently biodegradable, but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.
34	Lanolin Alcohol	8027-33-6	232-430-1	CEFIC	Fail A dossier without robust study summaries is submitted. Data and justifications for adaptations are missing for the following endpoints: 8.4, 8.6, 8.7, 8.8, 9.1, 9.2, 9.3, 9.4. It is stated that the substance is inherently biodegradable, but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
128	Magnesium hydrogenorthophosphate	7757-86-0	231-823-5	CEFIC	Fail A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous" but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. The substance is inorganic, however it is stated that it is readily biodegradable. IUCLID report is attached.
38	Methyl Ester, Palm based	91051-34-2	293-086-6	CEFIC	Fail A dossier with very few data (non-documented) and without robust study summaries is submitted. No adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. Data are missing for most endpoints: 7.5; 7.8; 7.10; 7.12; 8.2-8.9; (except 8.5.1 - but no documentation is provided) 9.1-9.4 (except 9.1.1 and 9.2.1.1 - but no documentation is provided). The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.
39	Methyl Ester, Palm Kernel based	91051-32-0	293-084-5	CEFIC	Fail A dossier with very few data (non-documented) and without robust study summaries is submitted. No adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. Data are missing for most endpoints: 7.5; 7.8; 7.10; 7.12; 8.3-8.9; (except 8.5.1 - but no documentation is provided) 9.1-9.4 (except 9.1.1 and 9.2.1.1 - but no documentation is provided). The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.
40	Methyl Myristate	124-10-7	204-680-1	CEFIC	Fail A dossier with very few data and without robust study summaries is submitted. No adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. Data are missing for most endpoints: 7.8; 7.10; 7.12; 8.3-8.9; (except 8.5.1) 9.1-9.4 (except 9.1.1 and 9.2.1.1). Link is provided to an IUCLID data set for the substance, in which the few data are documented. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
35	Methyl Palmitate	112-39-0	203-966-3	CEFIC	Fail A dossier with very few data (non-documented) and without robust study summaries is submitted. No adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. Data are missing for most endpoints: 7.3; 7.5; 7.8; 7.10; 7.12; 8.3-8.9; (except 8.5.1 - but no documentation is provided) 9.1-9.4 (except 9.1.1 and 9.2.1.1 - but no documentation is provided). The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.
48	Microcrystalline cellulose, Cellulose gel	9004-34-6	-	CEFIC	Fail A dossier is submitted with robust study summaries. Documentation is incomplete. Data on following endpoints are missing: 7.3, 7.5, 8.7.1, 8.7.3 and data for all ecotoxicological endpoints. Justification for adaptation from the standard testing regime is that the substance is unlikely to cross cell membranes, it breaks down to glucose units and thus considered as non-toxic in the environment. Read across/grouping to cellulose pulp is mentioned, but no data are included.
67	Microcrystalline Cellulose, cellulose gel	9004-34-6	-	CEFIC	Fail A dossier is submitted with robust study summaries. Documentation is incomplete. Data on following endpoints are missing: 7.9, 7.12, 7.13, 7.17, 8.7.1, 8.7.3. For the ecotoxicological endpoints: 9.1, 9.2.1.1, 9.3.4, 9.4.1 QSAR estimations (EPI Suite) are provided for the primary monomer D-glucose. It is stated that "Modeling for D-glucose indicates very low ecotoxicity for the monomer and it would be expected that the ecotoxicity of the polymeric microcrystalline cellulose would be even less of a concern" however same conclusion can not be done in relation to biodegradability (Molecule size 180 versus 30,000-300,000 for cellulose). Justifications based on ready biodegradability are not acceptable. Read across/grouping to cellulose pulp is mentioned, but no data are included.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
243	Monoglycerides, hydrogenated tallow	61789-09-1	263-031-0	CEFIC	The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.	Fail
244	Monoglycerides, tallow	61789-13-7	263-035-2	CEFIC	The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
121	Monopotassium (+)-(2R,3R)-2,3-dihydroxybutanedionate (monopotassium tartrate)	868-14-4	212-769-1	CEFIC	Fail The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data for very few physicochemical endpoints are included, whereas no toxicological and ecotoxicological data are included. Adaptations from the standard testing regime have not been applied.
122	Monopotassium monosodium (+)-(2R,3R)-2,3-dihydroxybutanedionate (potassium sodium tartrate tetrahydrate)	6381-59-5	212-769-1	CEFIC	Fail The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data for very few physicochemical endpoints are included, whereas no toxicological and ecotoxicological data are included. Adaptations from the standard testing regime have not been applied.
242	Monosodium citrate	18996-35-5	242-734-6	CEFIC	Fail A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitizer, no concern, non-hazardous" but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.
213	Myristic acid (Tetradecanoic acid)	544-63-8	208-875-2	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
140	Octadecadienoic acid	121250-47-3	-	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.
208	Octadecanoic acid, 9, 10-dihydroxy	120-87-6	204-432-2	CEFIC	Fail A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.  The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
239	Octadecanoic acid, ester with 1,2,3-propanetriol	11099-07-3	234-325-6	CEFIC	Fail
163	Octadecanoic acid, magnesium salt	557-04-0	209-150-3	CEFIC	Fail

The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.

A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.

The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
164	Octadecanoic acid, potassium salt	593-29-3	209-786-1	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
155	Octadecanoic acid, sodium salt	822-16-2	212-490-5	CEFIC	<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
235	Octanoin, tri- (Octanoic acid 1,2,3-propanetriyl)	538-23-8	208-686-5	CEFIC	Fail
109	Olive Oil	8001-25-0	232-277-0	CEFIC	Fail

The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.

The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.

Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.

The modelling is predicting that the substance is not readily biodegradable.

The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
289	Olive Oil	8001-25-0	232-277-0	CEFIC	Fail
114	Palm kernel Oil	8023-79-8	232-425-4	CEFIC	Fail

The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.

The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.

Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.

The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
293	Palm kernel Oil	8023-79-8	232-425-4	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p> <p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail
286	Palm kernel olein	91079-13-9	293-399-8	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
285	Palm kernel stearin	92129-07-2	295-808-5	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail
112	Palm Oil	8002-75-3	232-316-1	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. Read across is made from a substance not part of the submission. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>Studies performed on the WAF of the substance find toxicity to invertebrates in the range 100-1000 mg/l indicating that the criteria for inclusion in Annex IV are not fulfilled. The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
291	Palm Oil	8002-75-3	232-316-1	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
284	Palm olein	93334-39-5	297-114-8	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. Read across is made from a substance not part of the submission. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>Studies performed on the WAF of the substance find toxicity to invertebrates in the range 100-1000 mg/l indicating that the criteria for inclusion in Annex IV are not fulfilled. The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
283	Palm stearin	91079-14-0	293-400-1	CEFIC	Fail
110	Peanut Oil	8002-037	232-296-4	CEFIC	Fail

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
238	Peanut oil; arachidic oil	8002-03-7	232-296-4	CEFIC	Fail
65	Pectin	9000-69-5	232-553	CEFIC	Fail

The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.

The documentation is incomplete and insufficient to demonstrate that the criteria for minimum risk are met. Data for ecotoxicology do not confirm that minimum risk can be predicted from the intrinsic properties as data confirming ready biodegradability have not been submitted, although the dossier includes information on microbial degradation of pectin in plants and the aquatic environment. Aquatic toxicity (endpoints 9.1.1, 9.1.3) is based on QSARs although as a high molecular weight polymer, pectin is out of the domain of the QSAR model. Other ecotoxicological properties (remaining endpoints in 9.1, 9.2, 9.3, 9.4) are predicted on the basis of application of Annex IX sections 1 and 2 or adaptation according to Column 2 of Annexes VII - IX. On the basis of these considerations, the substance is likely to meet the criteria for minimum risk to the environment. A comprehensive toxicological data package was submitted, containing robust study summaries, however the requisite tests on skin and eye irritation (8.1.1 and 8.2.1), in vitro cytotoxicity study in mammalian cells (8.4.2) and in vitro gene mutation study in mammalian cells (8.4.3) have not been submitted and criteria for adaptation according to Column 2 of Annexes VII - IX have not been met. The substance meets the criteria for minimum risk in relation to other toxicological endpoints.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
79	Pentane 1,2,3,4,5-pentol/1,2,3,4,5-Pentahydroxypentane (Xylitol)	87-99-0	201-788-0	CEFIC	Fail
59	Pork Fat	94349-77-6	305-195-9	CEFIC	Fail

Identity of substance:		Summary of review		Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	
209	Ricinoleic acid	141-22-0	205-470-2	CEFIC	
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	Fail
117	Sal Oil	91770-61-5	294-848-0	CEFIC	
				<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Identity of substance:		Summary of review		Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):
296	Sal Oil	91770-61-5	294-848-0	CEFIC
<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>				
111	Sesame Oil	8008-74-0	232-370-6	CEFIC
<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>				

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
290	Sesame Oil	8008-74-0	232-370-6	CEFIC	Fail
115	Shea Butter	91080-23-8 / 68424-60-2	266-984-4	CEFIC	Fail

The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.

The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.

Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.

The modelling is predicting that the substance is not readily biodegradable.

The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
294	Shea Butter	91080-23-8 / 68424-60-2	266-984-4	CEFIC	<p>The information in the dossier does not fulfil the criteria for inclusion in Annex IV. There is a large toxicological data set for this class of substances that shows only minor toxicological effects, namely minor irritation in some cases, and some indication of possible carcinogenic effects at very high doses (far in excess of the average daily fat uptake in humans) that are not considered to be toxicologically relevant. The data-set for ecotoxicity is, however, sparse. The major problem with the dossier is that the grouping strategy used by the applicant, particularly that the group used to support the substance is very large (ca. 100 members), and the discussion of structure-activity (chain length, branching of chains, unsaturation) is not sufficiently well argued. It is stated that the group is homogenous without adequate justification. There is a lack of justification for the grouping approach to be applied to each endpoint based on structural considerations. There are not sufficient data to support fulfilment of the criteria on the ecotoxicity for such a large and in-homogenous group of substances in terms of e.g. biodegradability representing different structures (branching of chains, unsaturation, esterification). Conclusions on chronic toxicity are based on only two studies with Daphnia representing mono- and diglycerides and conclusions on bioaccumulation are based on only one study for a triglyceride. Toxicity to bacteria is based on only two studies with limited reliability. In addition, not all the included test study summaries on biodegradability indicate that the criteria for ready biodegradability are met.</p>	Fail
288	Shea olein	93348-61-9	297-204-7	CEFIC	<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):
287	Shea stearin	93348-62-0	297-205-2	CEFIC
<p>The submission covers 36 vegetable oils and fats. The oils and fats are described by the typical fatty acid composition.</p> <p>Data on toxicological endpoints are not documented in the dossier, but a reference list is provided. No robust study summaries are included. Data on physicochemical and ecotoxicological endpoints are mainly obtained by EPI Suite modelling. A modelling compound is used for the modelling but justification for using the modelling substance is not directly given. The modelling substance is not part of the submission. Read across is applied to other oils and fats but no justification on substance level is directly given. A general justification is given saying that all vegetable derived oils and fats are comprised of linear carbon chains that differ only in length and level of saturation. The CAS no. are given for the substances which read across is made from. Ecotoxicological endpoints for which modelling and read across is applied beyond the validity range of the applied model (acute ecotoxicity): 9.1.1, 9.1.2, and 9.1.3. The application area of the model is Log Kow up to 5.0 for acute toxicity to fish and daphnids and up to 6.4 for acute toxicity to green algae.</p> <p>The modelling is predicting that the substance is not readily biodegradable.</p> <p>The documentation in the dossier is incomplete and the criteria for inclusion in Annex IV are not fulfilled.</p>				
2	Sodium Alginate	9005-38-3	232-680-1	CEFIC
<p>A full dossier is submitted with robust summaries. Adaptations have been applied in accordance with Column 2 of Annexes VII-X and Annex XI. QSAR estimations on physicochemical parameters are included and criteria for ready biodegradation are confirmed. Data on 7.9-7.11, 7.13 and 7.14 are lacking. Data on 9.1.6.2, 9.1.6.3, 9.3.2 are lacking. Data (EC-values etc.) for aquatic toxicity are given based on EPI Suite modelling of guluronic acid, but no documentation on the modelling is included. The applicant states that modelling for guluronic acid indicates very low ecotoxicity for the monomer and that it would be expected that the ecotoxicity for the polymeric sodium alginate would be of even less concern. Data provided on fate and behavior are also based on modelling with guluronic acid but no justification for using guluronic acid instead of sodium alginate is given. No data are provided for effects to the terrestrial environment (9.4). The justification for this is that sodium alginate is derived from, and is a major component of, seaweed and is not toxic to terrestrial plants or animals.</p>				

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
31	Sodium dihydrogenorthophosphate (monosodium phosphate)	7758-80-7	231-449-2	CEFIC	Fail
86	Stearic acid, monoester with glycerol	31566-31-1	250-705-4	CEFIC	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
161	Tetradecanoic acid, magnesium salt	4086-70-8	223-817-6	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	
171	Tetradecanoic Acid, Potassium salt	13429-27-1	236-550-5	CEFIC	Fail
				<p>A dataset covering a group of C10-C22 Fatty acids and their Na-, K-, Ca- and Mg-salts is submitted. The grouping approach is applied and the justification is based on the description of the common functional group, the likelihood of common precursors and/or breakdown products and incremental or constants change across the category. The group has ca. 100 members of which 87 are proposed for inclusion in Annex IV. The group contains fatty acids of variable structure (saturated or unsaturated, even- or odd-carbon, hydroxyl groups, methyl-branching, second carboxylic group). A common conclusion is provided for the group of substances. Robust study summaries may be attached for individual substances supplied with EPI Suite modelling for physicochemical and ecotoxicological endpoints. In case of data gaps waiving according to Column 2 of Annexes VII-X or according to Annex XI is applied.</p> <p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. For the ecotoxicological properties documentation is incomplete. Robust study summaries are missing and for estimated values, the applied QSARs are used outside the validity range (Kow too high). In general data on long term toxicity and effects on terrestrial organisms are lacking and the justification for waiving is not acceptable.</p>	

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
61	Tripotassium 2-hydroxypropane-1,2,3 tricarboxylate monohydrate (Tripotassium citrate)	6100-05-6	212-755-5	CEFIC	Fail A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitiser, no concern, non-hazardous, etc." but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Citric acid is proposed for grouping and read across, but no justifications are given.
62	Trisodium 2-hydroxypropane-1,2,3, tricarboxylate dihydrate (trisodium citrate dihydrate)	6132-04-3	200-675-3	CEFIC	Fail A dossier with no data is submitted. Data for all endpoints are lacking and no adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The dossier is stating "not a skin irritant, not an eye irritant, not a skin sensitiser, no concern, non-hazardous, etc." but no documentation is provided. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Citric acid is proposed for grouping and read across, but no justifications are given.
94	Xanthan gum	11138-66-2	234-394-2	CEFIC	Fail The information in the dossier does not fulfil the criteria for inclusion in Annex IV. The documentation is incomplete, so it has not been clearly demonstrated that the criteria for minimum risk are met. The toxicological data is extensive and robust, and it can be concluded that the substance does not pose a hazard to humans, although some data of unknown reliability indicates skin irritation is possible in some circumstances. However, the read-across from another sugar (diutan gum) has not been thoroughly substantiated. The largest weakness in the dossier is that there is very little data for the ecotoxicological endpoints, particularly 9.1.1, 9.1.2, 9.1.4, and long-term toxicity (9.1.5. and 9.1.6), and on ready biodegradability (9.2.1.1). These, and the partition coefficient (7.8), have been estimated using a simple programme (Blowin?) with an unrealistically low MW (180-715 vs 1 000 000), with no substantiation of this procedure.

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
98	Xanthan gum	11138-66-2	-	CEFIC	<p>The information in the dossier does not fulfill the criteria for inclusion in Annex IV. The documentation is incomplete, so it has not been clearly demonstrated that the criteria for minimum risk are met. The toxicological data is extensive and robust, and it can be concluded that the substance does not pose a hazard to humans, although some data of unknown reliability indicates skin irritation is possible in some circumstances. However, the read-across from another sugar (diutan gum) has not been thoroughly substantiated. The largest weakness in the dossier is that there is very little data for the ecotoxicological endpoints, particularly 9.1.1, 9.1.2, 9.1.4, and long-term toxicity (9.1.5 and 9.1.6), and on ready biodegradability (9.2.1.1). These, and the partition coefficient (7.8), have been estimated using a simple programme (Blowin?) with an unrealistically low MW (180-715 vs 1 000 000), with no substantiation of this procedure.</p>	Fail
83	Calcium gluconate	299-28-5	206-075-8	CEFIC/France	<p>A dossier covering Gluconic acid, Glucono-delta-lactone, Sodium gluconate, Calcium gluconate and Potassium gluconate is submitted. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data for the following endpoints are missing: 7.9, 7.10, 7.12, 7.13, 7.14, 7.17, 8.3, 8.4.2, 8.4.3, 8.5.2/3, 8.7.3, 8.8, 9.1.4, 9.1.5, 9.1.6, 9.2.1.2, 9.2.1.4 and 9.4. Toxicity to fish for glucono-delta-lactone (LC50 = 360 mg/l) fails the criteria for inclusion into Annex IV. The applicant has attached QSAR estimations (EPI suite V4.10) on physicochemical data and degradation. Weight of evidence and historical use are being used for argumentation for lack of data. Compliance with criteria for ecotoxicological properties is confirmed for existing data but documentation, e.g. in the form of a study report or other primary source of data is not included.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
80	Gluconic acid	526-95-4	208-401-4	CEPIC/France	<p>A dossier covering Gluconic acid, Glucono-delta-lactone, Sodium gluconate, Calcium gluconate and Potassium gluconate is submitted. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data for the following endpoints are missing: 7.9, 7.10, 7.12, 7.13, 7.14, 7.17, 8.3, 8.4.2, 8.4.3, 8.5.2/3, 8.7.3, 8.8, 9.1.4, 9.1.5, 9.1.6, 9.2.1.2, 9.2.1.4 and 9.4. Toxicity to fish for glucono-delta-lactone (LC50 = 360 mg/l) fails the criteria for inclusion into Annex IV. The applicant has attached QSAR estimations (EPI suite V4.10) on physicochemical data and degradation. Weight of evidence and historical use are being used for argumentation for lack of data. Compliance with criteria for ecotoxicological properties is confirmed for existing data but documentation, e.g. in the form of a study report or other primary source of data is not included.</p>	Fail
81	Glucono-delta-lactone	90-80-2	202-016-5	CEPIC/France	<p>A dossier covering Gluconic acid, Glucono-delta-lactone, Sodium gluconate, Calcium gluconate and Potassium gluconate is submitted. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data for the following endpoints are missing: 7.9, 7.10, 7.12, 7.13, 7.14, 7.17, 8.3, 8.4.2, 8.4.3, 8.5.2/3, 8.7.3, 8.8, 9.1.4, 9.1.5, 9.1.6, 9.2.1.2, 9.2.1.4 and 9.4. Toxicity to fish for glucono-delta-lactone (LC50 = 360 mg/l) fails the criteria for inclusion into Annex IV. The applicant has attached QSAR estimations (EPI suite V4.10) on physicochemical data and degradation. Weight of evidence and historical use are being used for argumentation for lack of data. Compliance with criteria for ecotoxicological properties is confirmed for existing data but documentation, e.g. in the form of a study report or other primary source of data is not included.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
84	Potassium gluconate	299-27-4	206-074-2	CEFIC/France	<p>A dossier covering Gluconic acid, Glucono-delta-lactone, Sodium gluconate, Calcium gluconate and Potassium gluconate is submitted. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data for the following endpoints are missing: 7.9, 7.10, 7.12, 7.13, 7.14, 7.17, 8.3, 8.4.2, 8.4.3, 8.5.2/3, 8.7.3, 8.8, 9.1.4, 9.1.5, 9.1.6, 9.2.1.2, 9.2.1.4 and 9.4. Toxicity to fish for glucono-delta-lactone (LC50 = 360 mg/l) fails the criteria for inclusion into Annex IV. The applicant has attached QSAR estimations (EPI suite V4.10) on physicochemical data and degradation. Weight of evidence and historical use are being used for argumentation for lack of data. Compliance with criteria for ecotoxicological properties is confirmed for existing data but documentation, e.g. in the form of a study report or other primary source of data is not included.</p>	Fail
82	Sodium gluconate	527-07-1	208-407-7	CEFIC/France	<p>A dossier covering Gluconic acid, Glucono-delta-lactone, Sodium gluconate, Calcium gluconate and Potassium gluconate is submitted. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data for the following endpoints are missing: 7.9, 7.10, 7.12, 7.13, 7.14, 7.17, 8.3, 8.4.2, 8.4.3, 8.5.2/3, 8.7.3, 8.8, 9.1.4, 9.1.5, 9.1.6, 9.2.1.2, 9.2.1.4 and 9.4. Toxicity to fish for glucono-delta-lactone (LC50 = 360 mg/l) fails the criteria for inclusion into Annex IV. The applicant has attached QSAR estimations (EPI suite V4.10) on physicochemical data and degradation. Weight of evidence and historical use are being used for argumentation for lack of data. Compliance with criteria for ecotoxicological properties is confirmed for existing data but documentation, e.g. in the form of a study report or other primary source of data is not included.</p>	Fail
32	Methyl-cis-9-octadecenoate (Fatty acids, rape-oil, Me esters)	85586-25-0	287-828-8	CONCAWE	<p>A dossier with robust study summaries is submitted. The robust study summaries are incomplete and it is unclear where to find data mentioned in Table 4. Data for the toxicological endpoints 8.6, 8.7, 8.8 and for the ecotoxicological endpoints 9.1.4, 9.1.5 or 9.1.6 (long term toxicity), 9.2.1.3, 9.2.1.4, 9.2.2.1, 9.2.3, 9.3.1, 9.3.3 and 9.4 are not included. Biodegradability is given based on an ultimate biodegradability test. No adaptations according to the rules in Annexes VII-X, column 2, or Annex XI have been applied. The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
215	Fructose		200-333-3	DK, NL, AT	The proposal was submitted in the form of an expert statement.
216	Invert sugar		200-333-3	DK, NL, AT	The proposal was submitted in the form of an expert statement.
217	Sugar Factory Lime as a specific form of Calcium Carbonate		207-439-9	DK, NL, AT	The proposal was submitted in the form of an expert statement.
102	Beta-cyclodextrin, cycloheptaamylose	7585-39-9	231-493-2	France	A dossier with robust study summaries is submitted. Data for the following endpoints are missing: 7.9, 7.13, 7.14, 7.17, 8.6.1, 8.7.1, 9.1, 9.2.1.2 and 9.2.1.4. Documentation is not complete. Compliance with criteria for ecotoxicological properties is not confirmed - no short term toxicity data are available on invertebrates, algae and fish. No long term toxicity data are available on invertebrates and fish and there are no data from tests on juvenile fish, FELS, and embryo/sac fry stages. Data for biodegradability is estimated by EPI suite modelling.
103	D-erythro-hex-2-enoic acid gamma-lactone (Erythorbic acid)	89-65-6	201-928-0	France	A dossier with robust study summaries is submitted. Data for the following endpoints are missing: 7.9, 7.10, 7.13, 7.14, 7.17, 8.1-8.3, 8.6.1, 8.7.1, 8.7.3, 9.1, 9.2.1.2 and 9.2.1.4. Documentation is not complete. Compliance with criteria for ecotoxicological properties is not confirmed - no short term toxicity data are available on invertebrates, algae and fish. No long term toxicity data are available on invertebrates and fish and there are no data from tests on juvenile fish, FELS, and embryo/sac fry stages (9.1) and no data on terrestrial toxicity (9.4). Data for biodegradability is estimated by EPI suite modelling.
4	Glass	-	-	France	According to the dossier no clear identification can be described for the glass as no IUPAC name, EINECS or CAS number can be given. Moreover the existing EINECS number for glass does not correspond to the glass produced by European glass producers as it was registered by the chemical industry. Information on the molecular and structural formula is not specified, but a description on "oxide glass" is given in the executive summary. The dossier states that only few special types of glasses are put on the market as chemicals on their own and that glass is mainly marketed as articles.  The dossier is stating that 175 entries in CAS are related to glass. The dossier also indicates that glass may have different compositions and variable content of fluxes, stabilizers, colouring agents including heavy metals.  Based on the unclear identification of "glass" the documentation is considered to be incomplete for inclusion in Annex IV.

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
104	Sodium salt of 2,3 didehydro-D-erythro-hexono-lactone (erythorbic acid sodium salt, sodium erythorbate)	6381-77-7	228-973-9	France	A dossier with robust study summaries is submitted. Data for the following endpoints are missing: 7.9, 7.10, 7.13, 7.14, 7.17, 8.1-8.3, 8.6.1, 8.7.1, 8.7.3, 9.1, 9.2.1.2 and 9.2.1.4. Documentation is not complete. Compliance with criteria for ecotoxicological properties is not confirmed - no short term toxicity data are available on invertebrates, algae and fish. No long term toxicity data are available on invertebrates and fish and there are no data from tests on juvenile fish, FELS, and embryo/sac fry stages (9.1) and no data on terrestrial toxicity (9.4). Data for biodegradability is estimated by EPI suite modelling.	Fail
223	Vinasse			France	Documentation is incomplete as no information regarding physicochemical, toxicological and ecotoxicological data is submitted.	Fail
19	Calcium gluconate (glycogenic acid)	299-28-5	206-075-8	Germany	A dossier with limited number of robust study summaries is submitted. The dossier is covering Gluconic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete. Data on the following endpoints are not included and justifications for adaptation are not acceptable: 8.3, 8.4.2, 8.4.3, 8.6.2, 8.7. For the ecotoxicological endpoints only data on biodegradability and acute toxicity to fish is submitted. Data for all other ecotoxicological endpoints are not included and the justifications for adaptation are not acceptable.	Fail
25	Calcium lactobionate	5001-51-4	225-668-2	Germany	A dossier with no data other than physicochemical data and without robust study summaries is submitted. The dossier is covering lactobionic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete and justifications are not acceptable for all toxicological and ecotoxicological endpoints. In general there is not sufficient information to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Read across is made to gluconate indicating a low oral toxicity of gluconate (NOAEL>1 g/kg bw in a 4wk study). The dossier is stating that the effects were likely due to the accompanying cation (sodium) rather than caused by the gluconate anion. By this it is questioned how the cation influence the toxicity, but this was not discussed or questioned in the dossier covering the three salts of lactobionic acid.	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
91	Caramel	8028-89-5	232-435-9	Germany	Fail A dossier with only very few data and robust study summaries is submitted. Documentation is not complete. Data is submitted for mutagenicity and repeated dose toxicity. Data are lacking for all other toxicological and ecotoxicological endpoints and justifications for adaptation are not acceptable. Read across is made to fructose, glucose, sucrose, but no documentations are included.
90	D-Fructose (Fruit Sugar, Levulose)	57-48-7	200-333-3	Germany	Fail A dossier with no data other than physicochemical data and without robust study summaries is submitted. Documentation is not complete. Data on the following endpoints are not included and justification for adaptation is not acceptable: 8.1-8.3 and 8.5 Read across is made to fructose, glucose, sucrose, trehalulose, isomaltulose and isomaltose, but no documentations are included. The applicant has attached results from QSAR estimations (EPI Suite) on aquatic toxicity and biodegradability except 9.4.2/9.4.3 (terrestrial toxicity), but no documentation on the modelling is attached.
16	D-gluconic acid	526-95-4	208-401-4	Germany	Fail A dossier with limited number of robust study summaries is submitted. The dossier is covering Gluconic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete. Data on the following endpoints are not included and justifications for adaptation are not acceptable: 8.3, 8.4.2, 8.4.3, 8.6.2, 8.7. For the ecotoxicological endpoints only data on biodegradability and acute toxicity to fish is submitted. The applicant has attached results from QSAR estimations (EPI Suite) on aquatic toxicity and biodegradability except 9.4.2/9.4.3 (terrestrial toxicity) for gluconic acid, but no documentation on the modelling is attached.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
23	D-lactobionic acid	96-82-2	202-538-3	Germany	Fail A dossier with no data other than physicochemical data and without robust study summaries is submitted. The dossier is covering lactobionic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete and justifications are not acceptable for all toxicological endpoints. The applicant has attached results from QSAR estimations (EPI Suite) on aquatic toxicity and biodegradability except 9.4.2/9.4.3 (terrestrial toxicity) for lactobionic acid, but no documentation on the modelling is attached.
27	D-maltobionic acid 4-O-alpha-D-glucopyranosyl- gluconic acid	534-42-9	-	Germany	Fail A dossier with no data other than physicochemical data and without robust study summaries is submitted. Documentation is not complete. Data are lacking for all toxicological endpoints and justifications for adaptation are not acceptable. Read across is mentioned to gluconates and lactobionates, but no data are included. The applicant has attached results from QSAR estimations (EPI Suite) on aquatic toxicity and biodegradability except 9.4.2/9.4.3 (terrestrial toxicity), but no documentation on the modelling is attached.
224	Epoxidized soybean oil	8013-07-8	232-391-0	Germany	Fail Documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Data in the dossier are not documented, as no Robust Study Summaries are included. Reference is made to IUCLID, but the report is not available. Data for growth inhibition of aquatic plants fails the ecotoxicological criteria (L(E)C> 1000mg/L).

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
71	High-alumina, low-silica fibres	287922-11-6	not available	Germany	Fail
92	Invert Sugar	8013-17-0	232-393-1	Germany	Fail
21	Isomalt (Mixture of 6-O- $\alpha$ -D-glucopyranosyl-D-sorbitol (1,6-GPS) and 1-O- $\alpha$ -D-glucopyranosyl-D-mannitol (1,1-GPM) which are simultaneously obtained by the hydrogenation of 6-O- $\alpha$ -D-glucopyranosyl-D-fructose)	Isomalt: 64519-82-0 1,6-GPS: 534-73-6 1,1-GPM: 20942-99-8	1,6-GPS: 208-605-3 1,1-GPM: 244-122-4 no distinct EINECS was allocated to Isomalt	Germany	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	
93	Isomaltulose	499-40-1	207-879-1	Germany	
				<p>A dossier with no data other than physicochemical data and without robust study summaries is submitted. Documentation is not complete. Data are lacking for all toxicological endpoints and justifications for adaptation are not acceptable. Read across is made to fructose, glucose, sucrose, trehalulose, isomaltulose and maltodextrin, but no documentations are included. The applicant has attached results from QSAR estimations (EPI Suite) on aquatic toxicity and biodegradability except 9.4.2/ 9.4.3 (terrestrial toxicity), but no documentation on the modelling is attached.</p>	Fail
9	Isomaltulose	13718-94-0	237-282-1	Germany	
				<p>A dossier with only very few data and robust study summaries for four toxicological endpoints is submitted. Documentation is incomplete and justification for adaptation is not acceptable: 8.1-8.3 and 8.5. Data are not provided as it is stated that no particular concerns for skin and eye irritation or skin sensitisation have arisen from common use of sugars in households and no acute toxicity study has been performed with reference to a repeated dose study. Isomaltulose is approved as a novel food / food ingredient under Regulation 258/97/EC. The applicant has attached results from QSAR estimations (EPI Suite) on aquatic toxicity and biodegradability except 9.4.2/ 9.4.3 (terrestrial toxicity), but no documentation on the modelling is attached.</p>	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review		Conclusion		
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):		
10	Palatinose syrup	glucose 50-99-7, fructose 57-48-7, sucrose 57-50-1, isomaltose 499-40-1, isomaltulose 13718-94-0, trehalulose 51411-23-5	glucose 200-075-1, fructose 200-333-3, sucrose 200-334-9, isomaltose 207-879-1, isomaltulose 237-282-1, trehalulose 257-183-7	Germany	<p>A dossier without robust study summaries is submitted. Palatinose syrup is not identified by a CAS or EINECS No. but by its constituent sugars glucose, fructose, sucrose, isomaltose, isomaltulose and trehalulose. Adaptations according to Annex XI are done for all toxicological endpoints e.g. saying that from sugars common use in households no particular concerns for skin and eye irritation or skin sensitisation have arisen, no mutagenicity is seen for sugars (weight of evidence). However no documentation has been provided. With reference to a FDA report it is stated that a long-standing history of safe exposure to humans and environment exist for glucose, fructose, sucrose and isomaltose. Read across are made for isomaltulose and trehalulose. The applicant has attached results from QSAR estimations (EPI Suite) on aquatic toxicity and biodegradability for the constituent sugars but without sufficient justification and documentation on the modelling.</p>	Fail
18	Potassium gluconate	299-27-4	206-074-2	Germany	<p>A dossier with limited number of robust study summaries is submitted. The dossier is covering Gluconic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete. Data on the following endpoints are not included and justifications for adaptation are not acceptable: 8.3, 8.4.2, 8.4.3, 8.6.2, 8.7. For the ecotoxicological endpoints only data on biodegradability and acute toxicity to fish is submitted. Data for all other ecotoxicological endpoints are not included and the justifications for adaptation are not acceptable.</p>	Fail
26	Potassium lactobionate	-	-	Germany	<p>A dossier with no data other than physicochemical data and without robust study summaries is submitted. The dossier is covering lactobionic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete and justifications are not acceptable for all toxicological and ecotoxicological endpoints. In general there is not sufficient information to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Read across is made to gluconate indicating a low oral toxicity of gluconate (NOAEL&gt;1 g/kg bw in a 4wk study). The dossier is stating that the effects were likely due to the accompanying cation (sodium) rather than caused by the gluconate anion. By this it is questioned how the cation influence the toxicity, but this was not discussed or questioned in the dossier covering the three salts of lactobionic acid.</p>	Fail

Identity of substance:		Summary of review		Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	
17	Sodium gluconate	14906-97-9 and 527-07-1	238-976-7 and 208-407-7	Germany	
				A dossier with limited number of robust study summaries is submitted. The dossier is covering Gluconic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete. Data on the following endpoints are not included and justifications for adaptation are not acceptable: 8.3, 8.4.2, 8.4.3, 8.6.2, 8.7. For the ecotoxicological endpoints only data on biodegradability and acute toxicity to fish is submitted. Data for all other ecotoxicological endpoints are not included and the justifications for adaptation are not acceptable.	Fail
24	Sodium lactobionate	27297-39-8	-	Germany	
				A dossier with no data other than physicochemical data and without robust study summaries is submitted. The dossier is covering lactobionic acid and the sodium, calcium and potassium salts hereof. Documentation is not complete and justifications are not acceptable for all toxicological and ecotoxicological endpoints. In general there is not sufficient information to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Read across is made to gluconate indicating a low oral toxicity of gluconate (NOAEL>1 g/kg bw in a 4wk study). The dossier is stating that the effects were likely due to the accompanying cation (sodium) rather than caused by the gluconate anion. By this it is questioned how the cation influence the toxicity, but this was not discussed or questioned in the dossier covering the three salts of lactobionic acid.	Fail
264	Toilet soap, shaving cream			Germany	
				No dossier available	
20	Trehalulose (1-O- $\alpha$ -D-glucopyranosyl)-D-fructose)	51411-23-5	257-183-7	Germany	
				A dossier with no data other than physicochemical data and without robust study summaries is submitted. Documentation is not complete. Data are lacking for all toxicological endpoints and justifications for adaptation are not acceptable. Read across is mentioned to sucrose, glucose, fructose and isomaltulose, but no data are included. The applicant has attached results from QSAR estimations (EPI Suite) on aquatic toxicity and biodegradability except 9.4.2/ 9.4.3 (terrestrial toxicity), but no documentation on the modelling is attached.	Fail
8	Tannin	1401-55-4	215-753-2	Germany, CEFIC, NL, Reach Alliance	
				The documentation is incomplete. Data are lacking for endpoints 7.3, 7.5, 7.8, 7.13 and 7.17. Also toxicological data for the endpoints 8.3, 8.4, 8.6-8.9; and all ecotoxicological data are missing. The dossier is missing robust study summaries and no adaptations from the standard testing regime are done.	Fail

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
70	Fatty acids, C16-18 and C18-unsaturated Methyl esters	67762-38-3	267-015-4	Italy	Fail  A dossier is submitted with robust study summaries in IUCLID format. The documentation is incomplete and insufficient to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.  Data on ecotoxicological properties are incomplete. Long term toxicity study for aquatic organisms is missing and waiving from the standard testing regime is not acceptable. Documentation on fate and behavior in the environment for endpoints under 9.3 is missing. It is stated that the substances has a low potential for sorption to soil and that the substance has no potential to bioaccumulate but documentation is lacking. The dossier is referring to but not including valid QSAR with proven scientific validity for the waiving from bioaccumulation testing. The substance fails the criteria based on the log Kow of 5.02. Data for effects on terrestrial organisms are lacking and justification for adaptation is not acceptable.
106	Methyl-cis-9-octadecenoate (Fatty acid-rape oil- Me ester (RME))	85586-25-0	287-828-8	Italy	Fail  The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met.  Information on the following endpoints is not included in the dossier: 8.4.2, 8.8, 9.1.6.1, 9.1.6.2, 9.1.6.3, 9.2.1.4, 9.4.1 and 9.4.2. The dossier is missing clear documentation in robust study summaries as the documentation is based on IUCLID data files and MSDS. Read across has been applied for several endpoints and from several other fatty acid esters. However the justification for applying the read across approach is missing.
101	Calcium Carbonate	471-34-1	207-439-9	REACH Alliance	Fail  A dossier with robust study summaries is submitted. Documentation is not complete and the applicant has not included sufficient data for all relevant ecotoxicological endpoints. The documentation used for the justification for the adaptations for the following endpoints is considered to be either insufficient or unclear: 9.1.3, 9.1.4, 9.1.6.2, 9.1.6.3, 9.3.1, 9.3.2, 9.4.1-9.4.4 and 9.4.6. References made to external positive lists are not considered valid.
107	Calcium Sulfate	7778-18-9	231-900-3	REACH Alliance	Fail  A dossier with only two robust study summaries is submitted. Adaptations according to Column 2 of Annexes VII-X and Annex XI are applied. Documentation is not complete and justifications for adaptations are not complete. Justifications are not acceptable for 9.1.4, 9.1.5-9.1.6, 9.3.1-3, 9.4.

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
77	Tannin	1401-55-4	215-753-2	REACH Alliance	The documentation is incomplete. Data are lacking for endpoints 7.3, 7.5, 7.8, 7.13 and 7.17. Also toxicological data for the endpoints 8.3, 8.4, 8.6-8.9; and all ecotoxicological data are missing. The dossier is missing robust study summaries and no adaptations from the standard testing regime are done.	Fail
229	Lactose	not submitted	not submitted	REACH Alliance/NL/DK	The proposal was submitted in the form of an expert statement.	
230	Milk fat and milk fat components	not submitted	not submitted	REACH Alliance/NL/DK	The proposal was submitted in the form of an expert statement.	
228	Milk proteins and milk protein hydrolyses	not submitted	not submitted	REACH Alliance/NL/DK	The proposal was submitted in the form of an expert statement.	
222	Ceramic frits	65997-18-4	266-047-6	Spain	Documentation: All end points available or adaptation applied according to column 2 of Annexes VII-X or to Annex X. Robust study summaries included. All adaptations described and justified except for 9.4.2 Effect on soil microorganisms.  Review on ecotoxicology. Meeting of the criteria for aquatic toxicity has not been clearly demonstrated. The acute toxicity of the eluates of the test material to <i>Daphnia magna</i> was in one test below 1000 mg/l (437 mg/l). For the long-term toxicity testing to early life-stages of fish, results obtained for Pb in an acute and subchronic (90 days) test was compared with the solubility of the Pb in the ceramic frits, showing a lower solubility than the LC50 for Pb. This was also done with Cd but without robust study summary for the toxicity of Cd. A very low potential to bioaccumulate in aquatic species is stated but has not been clearly demonstrated. Metals as Pb are known to accumulate in aquatic organisms. It has been demonstrated that the solubility of the metals in the frits is low but the potential for bioaccumulation has not been studied or demonstrated.  It can be questioned whether the Ceramic frits are considered as a substance or a preparation under REACH.	Fail
118	Lard	61789-99-9	263-100-5	Spain	The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. The dossier is missing robust study summaries and descriptions and justifications for applying the adaptation from the standard testing regime and for applying the read across approach are not given.	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion	
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail	
119	Lard oil	8016-28-2	232-405-5	Spain	The documentation is incomplete as sufficient information is missing to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. The dossier is missing robust study summaries and descriptions and justifications for applying the adaptation from the standard testing regime and for applying the read across approach are not given.	Fail
96	Hydrogenated glucose syrup	68425-17-2	270-337-8	The Netherlands	A dossier without robust study summaries is submitted. Information on the following endpoints is not included: 7.1, 7.3, 7.5, 7.17, 8.4, 8.5.2/3, 8.7, 8.8, 9.1, 9.3, 9.4. Extensive lack of information on ecotoxicological properties. The applicant points to section 3.8 in the criteria document to be considered, but no justification for waiving of data in line with the rules in Annex XI is presented. The applicant has attached reports from IUCLID, US FDA, US EPA etc. but did not include the information in the dossier.	Fail
66	Glass	-	-	UK	According to the dossier no clear identification can be described for the glass as no IUPAC name, EINECS or CAS number can be given. Moreover the existing EINECS number for glass does not correspond to the glass produced by European glass producers as it was registered by the chemical industry. Information on the molecular and structural formula is not specified, but a description on "oxide glass" is given in the executive summary. The dossier states that only few special types of glasses are put on the market as chemicals on their own and that glass is mainly marketed as articles.  The dossier is stating that 175 entries in CAS are related to glass. The dossier also indicates that glass may have different compositions and variable content of fluxes, stabilizers, colouring agents including heavy metals.  Based on the unclear identification of "glass" the documentation is considered to be incomplete for inclusion in Annex IV.	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
76	Lactitol, 4-O-Beta-D-Galactopyranosyl-D-Glucitol	81025-04-9	209-566-5	UK	Fail
75	Pentane 1,2,3,4,5-pentol/1,2,3,4,5-Pentahydroxypentane (Xylitol)	87-99-0	201-788-0	UK	Fail

Review of submissions for Annex IV

Identity of substance:		Summary of review			Conclusion
Ref. No.	Name	CAS-No.	EINECS	Submitted to (MS or industry association):	Pass/Fail
28	Titanium antimony chromium III oxide rutile (C.I. Pigment Brown 24)	68186-90-3	269-052-1	UK	Fail
<p>A full dossier is submitted with robust study summaries. The documentation is incomplete as sufficient information is lacking to demonstrate that the criteria for minimum risk because of intrinsic properties of the substance are met. Information on endpoint 9.4 is not included in the dossier. The documentation is also incomplete as the dossier is lacking clear documentation for the applied adaptations. Description and justification for applying the read across approach according to Annex XI section 1.5 is lacking for the following endpoints: 9.1.5 and 9.1.6. The substance is poorly water soluble and long-term toxicity test should therefore be considered. Long-term toxicity study is submitted for C.I. Pigment Yellow 53, only. However, description and justification for applying read across from C.I. Pigment Yellow 53 according to Annex XI section 1.5 are incomplete. Description and justification for applying the adaptation from the standard testing regime according to column 2 in Annexes VII-X are missing for endpoint 9.3. The justification is based on the substance being almost completely insoluble in octanol, however the documentation is missing.</p>					Fail

## Summary and conclusions on proposals for deletion of a substance from Annex IV of REACH

Ref. No.	Identity of substance:			Submitted to (MS or industry association)	Summary of review	Conclusion
	Name	CAS-No.	EINECS			
220	Carbon	7440-44-0	231-153-3	The Commission	<p>This proposal focuses solely on the problem with nanoparticles of carbon. This can be regarded as a 'worst-case'. Information is available for the following end-points: 8.5 (8.5.2, 8.5.3), 9.1 (9.1.5, 9.1.6.2), 9.4. The information in the dossier consists only of very short conclusions. There are no robust study summaries on any of the end-points.</p> <p>The proposal gives anecdotal evidence that nanoforms of carbon and graphite have the same identity (CAS number) as graphite and carbon. The proposal also presents evidence of adverse effects in cellular toxicity tests, and some in vivo studies. The composition of the test substance and the test methodology means that the results are not interpretable with regards to the criteria for exclusion from Annex IV. The toxicology of nanoforms is not well understood, and techniques for investigating nanoforms are not established. The results are not conclusive, but raise concerns for possible lung- and skin-effects greater than would be predicted from the toxicology of larger particles of carbon and graphite.</p>	Proposal accepted / not accepted Accepted
221	Graphite	7782-42-5	231-955-3	The Commission	<p>This proposal focuses solely on the problem with nanoparticles of carbon. This can be regarded as a 'worst-case'. Information is available for the following end-points: 8.5 (8.5.2, 8.5.3), 9.1 (9.1.5, 9.1.6.2), 9.4. The information in the dossier consists only of very short conclusions. There are no robust study summaries on any of the end-points.</p> <p>The proposal gives anecdotal evidence that nanoforms of carbon and graphite have the same identity (CAS number) as graphite and carbon. The proposal also presents evidence of adverse effects in cellular toxicity tests, and some in vivo studies. The composition of the test substance and the test methodology means that the results are not interpretable with regards to the criteria for exclusion from Annex IV. The toxicology of nanoforms is not well understood, and techniques for investigating nanoforms are not established. The results are not conclusive, but raise concerns for possible lung- and skin-effects greater than would be predicted from the toxicology of larger particles of carbon and graphite.</p>	Accepted