



## How to create, validate and send the Annual Report Control dossier?

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### Purpose of this functionality

This functionality allows the input of the Annual Control dossier and the upload of related documents.

### Regulation source

**ERDF/CF, ESF:**  
 Council Regulation (EC) No 1083/2006: Article. 62.1.d  
 Commission Regulation (EC) No 1828/2006. Article 18.2, 40.2.j, annexes VI, VII and VIII

**EFF:**  
 Council Regulation (EC) No 1198/2006: Article. 61.1.e  
 Commission Regulation (EC) No 498/2007: Article 44.2, 65.2.g and annexes VI and VII

**ERDF/CF, ESF, EFF:**  
 draft Guidance on Treatment of errors (13/07/2011) - Annex to the Guidance on ACR and Opinions of 18/02/2009, ref. COCOF 09/0004/01-EN

### Concerned Funds

ERDF/CF	ESF	EFF
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### User roles and permission needed

Member State **AUDIT AUTHORITY** with **UPDATE** and **SEND** permissions.

Disclaimer: The information contained herein is for guidance only and is a description on how to use the SFC2007 application. There can be no guarantees as to the accuracy of the descriptions due to the evolving nature of the computer system. In no way does this information replace the regulations or could be considered as a legal interpretation of the Commission services.

**Before you start, check that...**

The Operational Programme status is in status "**DECISION TAKEN**".

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*Version 3.0 - Last revised: 22/11/2011*

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## 1. Create the Annual Control Report dossier

### 1.1. The "Create Annual Control Report" wizard.

1. Select the **AUDIT > ANNUAL CONTROL REPORT AND OPINION** menu

The list of existing Annual Control reports appears:

The screenshot shows the 'System for Fund management in the European Community 2007-2013' interface. The 'List of Annual Control Reports' table contains the following data:

Title	Period	Status	Previous Node	Current Node	Last Modified
<a href="#">"fq"</a>	2008	Created	Hungary	Hungary	17/08/2009
<a href="#">2007HU052PO001</a>	2009	Created	Hungary	Hungary	11/03/2010
<a href="#">ACR 2009 empl nvs ZOP</a>	2009	Created	Hungary	Hungary	18/10/2011
<a href="#">ACR 2011 nvs</a>	2011	Created	Hungary	Hungary	18/10/2011
<a href="#">ACR nvs empl 2010</a>	2010	Validated	Hungary	Hungary	18/10/2011
<a href="#">ACR wl10</a>	2008	Accepted	Commission	Commission	16/06/2010
<a href="#">Annual Control Report Example Multiple OPs/Funds</a>	2011	Created	Hungary	Hungary	17/08/2011
<a href="#">Annual Report for Hungary</a>	2009	Created	Hungary	Hungary	16/04/2009
<a href="#">My Annual Control Report</a>	2008	Sent	Hungary	Commission	25/11/2008
<a href="#">dfgerg</a>	2008	Accepted	Commission	Commission	08/09/2010
<a href="#">ergeztrjky</a>	2008	Created	Hungary	Hungary	10/12/2008
<a href="#">etrfrf*ty</a>	2008	Created	Hungary	Hungary	10/12/2008
<a href="#">hgfhf</a>	2009	Returned	Commission	Hungary	24/02/2011
<a href="#">hxytytr</a>	2009	Created	Hungary	Hungary	28/04/2009
<a href="#">refez</a>	2009	Created	Hungary	Hungary	06/11/2009

A red box highlights the 'Create a new Annual Control Report' link at the bottom left of the table.

2. Select the [Create a new Annual Control Report](#) link.

The create wizard appears:

The screenshot shows the 'Create a new Annual Control Report - Step 1: Provide General Details' wizard. The 'Title' field is highlighted with a red circle and the number 1. The 'Next' button is highlighted with a red box and the number 2. The 'Period' dropdown is set to '2008 (Period Covered 2007-2008)'.

3. Enter the general information about your dossier (1):

- Enter the name for your Annual Control Report.
- Select the period covered by the report.

The period covered by the report should be read as follows:


REFERENCE YEAR	PERIOD COVERED
2008	1/1/2007-30/6/2008

2009	1/7/2008-30/6/2009
2010	1/7/2009-30/6/2010
2011	1/7/2010-30/6/2011
2012	1/7/2011-30/6/2012
2013	1/7/2012-30/6/2013
2014	1/7/2013-30/6/2014
2015	1/7/2014-30/6/2015
9999 (Final CR)	1/7/2015-31/12/2015

- Add any comments (free text).

4. Click **NEXT (2)** to get to the next step.

The second step consists in adding the Operational Programmes covered by the Report.

5. Click the  button. The list of approved CCI appears.
6. Select the CCI of the programme. The CCI number and name of the Programme are displayed.
7. Click **ADD** to add the Operational programme to the list.

**REMARK**

You can add as many programmes as necessary. Repeat steps 4 to 6 to add more Programmes.

8. Click **FINISH** to finish the creation of the dossier.

The dossier is now created and will appear in the list of existing dossiers. The Status of the dossier is "Created".

## 2. Edit the Annual Control Report dossier.

After having created the dossier you can immediately edit it.

You are directed to the editing tabs:

User: m000000 Node: HU Profile: m0000165 - MSAA 05 16 US Version: 4.5.2 Help Home Logout

**System for Fund management in the European Community 2007-2013** English (en)

Audit > Annual Control Report > Edit Annual Control Report

Strategic Planning Programming Monitoring Execution Audit Evaluation Closure Utilities

**General Details**

Title: ACR 2011 nvs  
Period: 2011 (Period Covered 2010-2011) Status: Created Date: 18/10/2011  
Comment:

General Programmes Covered Financial Details Opinion Documents In Charge

**General Details**

Title: ACR 2011 nvs  
Period: 2011 (Period Covered 2010-2011)  
Comment:

Save Cancel

[Return to Display](#)

Following information must be entered:

- **FINANCIAL DETAILS** for covered funds and programmes.
- **OPINION** and scope of the examination.
- **DOCUMENTS** must be uploaded.
- Names of the **OFFICIALS IN CHARGE** (optional).

Additionally, you can change the name and covered period of the dossier in the **GENERAL** tab and/or add, remove or change covered programmes in the **PROGRAMMES** tab.

### 2.1. Enter the financial details.

9. Select the **FINANCIAL DETAILS** tab.
10. A row appears for each covered programme and Fund.

User: w0000000 Node: HU Profile: w0000165 - M5AA 05 16 US Version: 4.8.2 Help Home Logout

**System for Fund management in the European Community 2007-2013** English (en)

Audit > Annual Control Report > Edit Annual Control Report

Strategic Planning Programming Monitoring Execution Audit Evaluation Closure Utilities

General Details

Title: ACR 2009 empl nvs 20P  
Period: 2009 (Period Covered 2008-2009) Status: Created Date: 18/10/2011  
Comment:

General Programmes Covered **Financial Details** Opinion Documents In Charge

Table for Declared Expenditure and Sample Audits

Fund	CCI	Expenditure declared in reference year	Expenditure declared in reference year audited for the random sample	%	Irregular expenditure in random sample	% (error rate)	Other expenditure audited from complementary sample	Other expenditure audited from random sample	Irregular expenditure in other expenditure sample	Total expenditure declared cumulatively	%
		(1)	(2)	(3) = (2)/(1)	(4)	(5) = (4)/(2)	(6)	(7)	(8)	(9)	(10)
CF	2007HU161PO007	50	50	100.00			50	50	50	50	50
ERDF	2007HU161PO007	100	100	100.00			100	100	100	100	100
<b>TOTAL</b>		<b>150.00</b>	<b>150.00</b>	<b>100.00</b>	<b>10</b>	<b>6.67</b>	<b>150.00</b>	<b>150.00</b>	<b>150.00</b>	<b>150.00</b>	

(3) Percentage of expenditure audited in relation to expenditure declared to the Commission in reference year  
(5) Percentage (error rate) of irregular expenditure in random sample  
(7) Not in reference year  
(10) Total expenditure audited cumulatively as a percentage of total expenditure declared cumulatively

Return to Display Save Cancel

You must enter the following values:

- (1) Expenditure declared in reference year
- (2) Expenditure declared in reference year audited for the random sample
- (3) Percentage (%) is calculated by the system and rounded to 2 decimals.
- (4) Irregular expenditure in random sample
- (5) Percentage % (error rate) is calculated by the system and rounded to 2 decimals.
- (6) Other expenditure audited from complementary sample
- (7) Other expenditure audited from random sample
- (8) Irregular expenditure in other expenditure sample (concerns irregular expenditure audited from complementary sample and irregular expenditure audited from random sample)
- (9) Total expenditure declared cumulatively
- (10) Percentage (%)

**Some precisions:**

- (3) Percentage of expenditure audited in relation to expenditure declared to the Commission in reference year.
- (5) Percentage (error rate) of irregular expenditure in random sample
- (7) Not in reference year.
- (10) Total expenditure audited cumulatively as a percentage of total expenditure declared cumulatively.

When the Annual/Final Control Report covers more than one Programme or one Programme with 2 Funds, then Column (4) is to be provided for the whole sample on a Total line.

The format of the annual/Final Control Report has been modified **as from 2011 onwards**. These modifications allow the Member State:

- to present the table for 'Declared Expenditure and Sample Audits' as revised in the 'Guidance on Treatment of Errors' draft version of 13/07/2011. The columns 'other expenditure audited from complementary sample' and 'other expenditure audited from random sample' have been replaced by (6) '% projected error rate' and (7) 'other expenditure audited'; **(1)**
- to encode values for all columns on the Total line, in the case of one sample covering different OP's under a common system; **(2)**
- to split the reporting over the region types covered by the Programme for EFF. **(3)**

REMARK

General Programmes Covered **Financial Details** Opinion Documents In Charge

Table for Declared Expenditure and Sample Audits

Fund	CCI	Expenditure declared in reference year	Expenditure declared in reference year audited for the random sample	%	Irregular expenditure in random sample	% (error rate)	% (projected error rate)	Other expenditure audited	Irregular expenditure in other expenditure sample	Total expenditure declared cumulatively	%
		(1)	(2)	(3) = (2)/(1)	(4)	(5) = (4)/(2)	(6)	(7)	(8)	(9)	(10)
CF	2007HU161PO007	100	100	100.00	100	100.00	20	100	100	100	100
ERDF	2007HU161PO007	100	100	100.00	100	100.00	20	100	100	100	100
ESF	2007HU051PO002	100	100	100.00	100	100.00	20	100	100	100	100
TOTAL		100	100	100.00	100	100.00	20	100	100	100	100

(2) Amount of expenditure audited.  
 (3) Percentage of expenditure audited in relation to expenditure declared to the Commission in reference year.  
 (4)(5) Where the random sample covers more than one fund or programme, the information on the amount and percentage (error rate) of irregular expenditure is provided for the whole sample and cannot be provided on programme/fund level.  
 (6) In case of non statistical sampling for small populations, the error rate of the sample.  
 (7) Expenditure from complementary sample and expenditure for random sample not in the reference year [amount]  
 (10) Total expenditure audited cumulatively as a percentage of total expenditure declared cumulatively. Includes both expenditure audited for the random sample and the other expenditure audited.

Save Cancel

[Return to Display](#)

General Programmes Covered **Financial Details** Opinion Documents In Charge

Table for Declared Expenditure and Sample Audits

Region Type	CCI	Expenditure declared in reference year	Expenditure declared in reference year audited for the random sample	%	Irregular expenditure in random sample	% (error rate)	% (projected error rate)	Other expenditure audited	Irregular expenditure in other expenditure sample	Total expenditure declared cumulatively	%
		(1)	(2)	(3) = (2)/(1)	(4)	(5) = (4)/(2)	(6)	(7)	(8)	(9)	(10)
CR	2007HU14FPO001										
NCR	2007HU14FPO001										
CR	2007HU14FPO003										
NCR	2007HU14FPO003										

(2) Amount of expenditure audited.  
 (3) Percentage of expenditure audited in relation to expenditure declared to the Commission in reference year.  
 (6) In case of non statistical sampling for small populations, the error rate of the sample.  
 (7) Expenditure from complementary sample and expenditure for random sample not in the reference year [amount]  
 (10) Total expenditure audited cumulatively as a percentage of total expenditure declared cumulatively. Includes both expenditure audited for the random sample and the other expenditure audited.

Save Cancel

[Return to Display](#)

11. Click SAVE to save the entered data.

12. Repeat these steps for each covered programme and fund.

## 2.2. Enter the scope of the examination and opinion for the covered programmes.

13. Select the OPINION tab.

A row appears for each covered programme.

User: w000000 Node: HU Profile: w0000145 - MSAA 05 16 US Version: 4.8.1 Help Home Logout

**System for Fund management in the European Community 2007-2013** English (en)

Audit > Annual Control Report > Edit Annual Control Report

Strategic Planning Programming Monitoring Execution Audit Evaluation Closure Utilities

General Details

Title: ACR 2011 nvs  
Period: 2011 (Period Covered 2010-2011) Status: Created Date: 18/10/2011  
Comment:

General Programmes Covered Financial Details **Opinion** Documents In Charge

Scope of Examination and Opinion

CCI	Title	Scope of Examination	Opinion
2007HU051PO002	Programme for demo (24 oct 2007)		
2007HU161PO007	OP CONV REGIO 2611 -cc (testing rule 2.15)		

Return to Display Save Cancel

14. Select the SCOPE OF EXAMINATION. (1) Possible values are:

- Limited
- No limitation

15. Select the OPINION. (2) Possible values are:

- Adverse opinion
- Qualified opinion
- Unqualified opinion
- Disclaimer of opinion (This is used when the audit work has not yet been completed and where no opinion could be given. The "MS Annual Opinion" document must be uploaded and should contain a disclaimer or an explanation of why an opinion cannot be given at this stage.)

16. Click SAVE to save the selected values.

**NOTE**

Repeat these steps for each covered Programme.

## 2.3. Upload the documents.

### 2.3.1. Enter general information about the document

17. Select the DOCUMENTS tab.

18. Click on [Add a new Document link](#).

19. The screen that appears allows you to select the type of document you want to upload.



The screenshot shows the 'System for Fund management in the European Community 2007-2013' interface. The user is logged in as 'w0000000' with profile 'w0000145 - MSAA 05 16 US'. The page title is 'Audit > Annual Control Report > Edit Annual Control Report'. The 'Document Details' section is highlighted with a red box and a red circle containing the number '1'. The form fields are: Type: Other MS Document; Title: Other MS Document for ACR 2011 nvs; Document Date: 19/10/2011; Language: English; Commission Registration N°: (empty); Local Reference: (empty); Comments: (empty). Below the form is an 'Attachments' table with columns: Title, Filename, Link, Upload Date. The table is empty. At the bottom right, the 'Save' and 'Cancel' buttons are highlighted with a red box and a red circle containing the number '2'. There are also links for 'Return to Document List' and 'Return to Display'.

Possible types of documents are:

<b>For all Funds:</b>	<ul style="list-style-type: none"> <li>Other MS Document</li> </ul>
<b>For ERDF/CF:</b>	<ul style="list-style-type: none"> <li>MS Annual Control Report for ERDF/CF and ESF (Annex VI) *</li> <li>MS Annual Opinion for ERDF/CF and ESF (Annex VII)*</li> <li>MS Final Control Report and Closure Declaration for ERDF/CF and ESF (Annex VIII)</li> </ul>
<b>For EFF:</b>	<ul style="list-style-type: none"> <li>MS Annual Control Report and Opinion for EFF (Annex VI)*</li> <li>MS Final Control Report and Closure Declaration for EFF (Annex VII)</li> </ul>

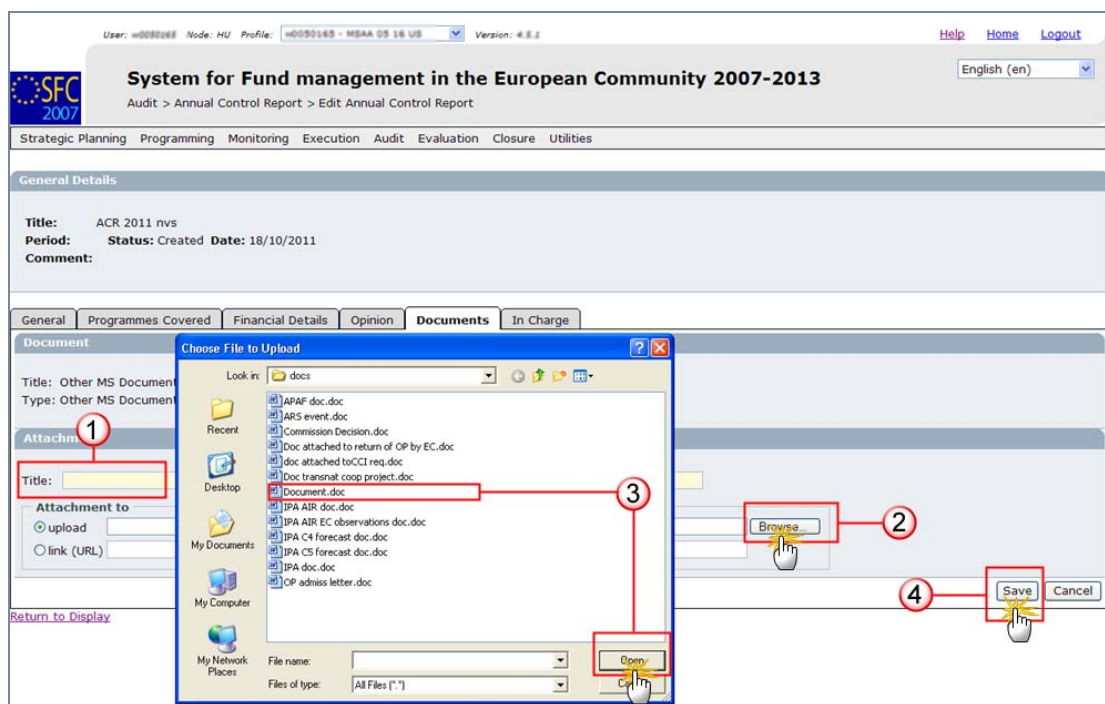
\* type of documents marked with asterisk are mandatory.

20. Enter the **Title** of the document, the **Document date**, the **Language** and a **Local reference** (if any). You can enter **COMMENTS** if you want to (1).

21. Click **SAVE** to save the entered data (2).

### 2.3.2. Upload the related files

22. The [Add a new Attachment](#) link appears. Click on it.



- (1) Give a title to the file you want to upload.
  - (2) Click on **BROWSE** to search for the file on your computer.
  - (3) On the **CHOOSE FILE** window, select the file by double-clicking on it.
23. Click **SAVE** (4) to save the entered data.  
You are redirected to the overview of the document.

<b>NOTE</b>	<p>Click on <a href="#">Add new Attachment</a> link to add a second file to your document.</p> <p>Click on <a href="#">Add a new Document</a> link to add a new type of document. Then follow steps 18 to 23 to enter the details and upload files for your new type of document.</p>
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## 2.4. Add the Officials in Charge

<b>REMARK</b>	<p>This information is optional. However, Officials added to the list of "Officials in Charge" will receive automatic notifications from SFC2007 each time there is a status change for the Annual Control Report and each time a document is sent to or by the Commission.</p>
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24. Select the **IN CHARGE** tab.  
The **add OFFICIALS IN CHARGE** tab appears.
25. Select the [Add a new Official in Charge](#) link.
26. Enter the requested data (1):  
Fields marked with asterisk (\*) are mandatory.

- **Name** of the official\*
- **E-mail** address\*
- **Phone** and **Fax**
- **Valid from** and **Until** (if the official will be in charge only for a specific period of time).
- **Automatically notified:** must be checked  in order to receive the automatic notifications.
- **Preferred language:** The automatic notifications will be sent in the selected language.

27. Click **ADD** to add the entered details (2).

**NOTE** Repeat these steps to add as many Officials in Charge as necessary (no limitation).

**TIP**

If a user no longer wants or needs to receive the automatic notifications (but the user is still Official in Charge simply select the user, uncheck the **AUTOMATICALLY NOTIFIED** option and click **UPDATE**.

If a user does no longer acts as an Official in Charge select the user, enter the date in the field '**Until**' and click **UPDATE**.

SFC2007: System for Fund management in the European Community 2007-2013  
How to create, validate and send the Annual Report Control dossier?

General Programmes Covered Financial Details Opinion Documents **In Charge**

**Official in Charge**

Name: Official in Charge 1 Phone:   
Email: test@ec.europa.eu Fax:   
Valid From: 01/10/2011  Until: 19/10/2011  ①  
Automatically Notified:  Preferred Language:   
Commission Official:  ②

1 results found, displaying 1 to 1   Page(s): 1 Item(s) per page: 5

Name	Phone	Fax	Email	Valid From	Until
<a href="#">Official in Charge 1</a>			test@ec.europa.eu	01/10/2011	

[Add a new Official in Charge](#)  
[Return to Display](#)

### 3. Validate the entered data

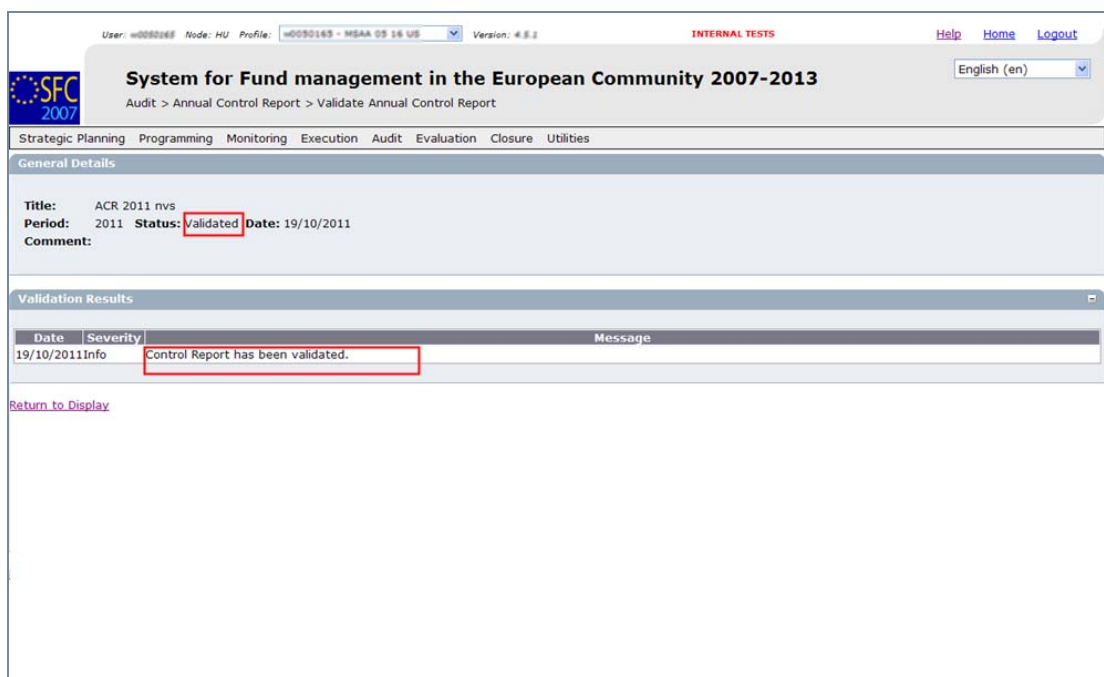
28. Click on the [Return to Display](#) link.

The DISPLAY ANNUAL CONTROL REPORT screen appears. It shows an overview of the dossier and its contents.

29. Click on the [Validate](#) link.

The system checks the consistency of the data.

The VALIDATION RESULTS screen appears. If **no errors** are detected, the message **Control Report has been validated** appears.



The screenshot shows the SFC2007 system interface. At the top, there is a header with the system name "System for Fund management in the European Community 2007-2013" and a navigation menu. Below the header, there is a section for "General Details" with the following information: Title: ACR 2011 nvs, Period: 2011, Status: Validated, Date: 19/10/2011. Below this, there is a "Validation Results" section with a table showing the validation message: "Control Report has been validated." The table has columns for Date, Severity, and Message. The date is 19/10/2011, the severity is Info, and the message is "Control Report has been validated." There is also a "Return to Display" link below the table.

#### REMARK

After successful validation, the status of the dossier is "**Validated**". If errors are detected, the status remains "**Created**" and you will not be able to send the dossier.

For the validation rules: go to the **VALIDATION RULES FOR THE ANNUAL CONTROL REPORT DOSSIER** section.

#### TIP

If errors are detected and you need to modify, add or delete data click on the [Return to Display](#) link and then click on the [Edit](#) link of the specific section to go to the corresponding edit tab.

## 4. Send the Dossier to the Commission

After validation, the send link is available on the **DISPLAY ANNUAL CONTROL REPORT** screen.

30. Click on the [Send](#) link.

The **CONFIRM SENDING** screen appears. The snapshot report is available (this report contains the screenshot of the data to be sent, this allows you to verify that the data you are sending is correct and accurate and that you are not missing any data).

31. Click **YES** to confirm the sending.

You are redirected to the **DISPLAY ANNUAL CONTROL REPORT** screen.

<b>REMARK</b>	<p>When the dossier has been sent, the status of the dossier is "<b>Sent</b>".</p> <p>The dossier is sent to the Commission when the status of the dossier is "<b>Sent</b>" and the related documents how a <b>sent date</b>.</p>
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### a. Validation rules for the Annual Control Report dossier

CODE	VALIDATION RULE	SEVERITY
2.1	validate that a title is provided	error
2.2	validate that a period is provided	error
2.3	validate that at least one Operational Programme is covered	error
2.4	validate that at least one Official in Charge of the Member state exists	warning
2.5	validate that only approved Operational Programmes have been referenced	error
2.6	validate that the covered Operational Programmes are not covered in other non-cancelled Annual/Final Control Reports of the same period; in fact a CCI can only be used once per period.	error
2.7	validate that the set of Operational Programmes covered are the same as in previous periods	warning
2.8	validate that an opinion record exists for each Operational Programme covered and that an opinion and a scope of examination is given	error
2.9	Validate that a financial record exists <ul style="list-style-type: none"> <li>▪ for each Fund and Operational Programme covered (Structural Funds)</li> <li>▪ for the Total when more than one Fund/OP is covered (from 2011 onwards)</li> </ul>	error

	<ul style="list-style-type: none"> <li>for each Region Type and Operational Programme covered (EFF) (from 2011 onwards)</li> </ul>	
2.10	<p>validate that the following official documents were uploaded in the system:</p> <p>For Annual Control Reports for ERDF/CF and ESF:</p> <ul style="list-style-type: none"> <li>MS Annual Control Report for ERDF/CF and ESF (Annex VI)</li> <li>MS Annual Opinion for ERDF/CF and ESF (Annex VII)</li> </ul> <p>For Annual Control Reports for EFF:</p> <ul style="list-style-type: none"> <li>MS Annual Control Report and Opinion for EFF (Annex VI)</li> </ul> <p>For Final Control Reports for ERDF/CF and ESF:</p> <ul style="list-style-type: none"> <li>MS Final Control Report and Closure Declaration for ERDF/CF and ESF (Annex VIII)</li> </ul> <p>For Final Control Reports for EFF:</p> <ul style="list-style-type: none"> <li>MS Final Control Report and Closure Declaration for EFF (Annex VII)</li> </ul>	error
2.11	validate that programmes covered either all belong to EFF or either all belong to the Structural Funds and the Cohesion Fund	error
2.12	validate that the period year is smaller or equal to the current year	error
2.13	For Annual Control Reports before 2011, validate that <a href="#">per Programme/Fund</a> the 'Total expenditure declared cumulatively (9)' entered in the Financial Detail is equal to the sum of 'Expenditure declared in reference year (1)' over the current and previous Annual Control reports ( <a href="#">only for SFCF</a> )	warning
2.14	For Annual Control Reports before 2011, validate that <a href="#">per Programme/Fund</a> the 'Total expenditure audited cumulatively as a percentage of the total expenditure declared cumulatively (10)' entered in the Financial Detail is equal to the sum of columns (2), (6) and (7) over the current and previous Annual Control Reports, divided by the 'Total expenditure declared cumulatively (9)' in the current Annual Control report ( <a href="#">only for SFCF</a> )	warning
2.15	For Annual Control Reports 2011 and onwards, validate that <a href="#">per Programme/Fund</a> the 'Total expenditure declared cumulatively (9)' entered in the Financial Detail is equal to the sum of 'Expenditure declared in reference year (1)' over the current and previous Annual Control reports ( <a href="#">only for SFCF</a> )	warning

2.16	For Annual Control Reports 2011 and onwards, validate that per Programme/Fund the 'Total expenditure audited cumulatively as a percentage of the total expenditure declared cumulatively (10)' entered in the Financial Detail is equal to the sum of columns (2) and (7) over the current and previous Annual Control Reports, divided by the 'Total expenditure declared cumulatively (9)' in the current Annual Control report (only for SFCF)	warning
2.17	For Annual Control Reports 2011 and onwards, the '%' (3), the '% error rate' (5), the 'Projected Error Rate (6)' and the 'Total expenditure audited cumulatively as a percentage of the total expenditure declared cumulatively (10)' should be maximum 100%	error

#### b. Workflow of the Annual Control Report

Action	Status	Editable by MS?
The MS creates the Annual Control Report dossier	Created	Yes
The MS Validates the data entered for the dossier	Validated	Yes
The MS Sends the dossier to the Commission	Sent	Only the "Officials in charge" section and sending of "other MS documents"
The Commission Returns the dossier for correction	Returned (for correction)	yes
The Commission Accepts the dossier	Accepted or Accepted with follow up (see below)	Only the "Officials in charge" section and sending of "other MS documents"
<b>Workflow Final Status of the Annual Control Report dossier</b>	<b>ACCEPTED</b>	<b>Only the "Officials in charge" section and sending of "other MS documents"</b>

#### 4.1. The status "Accepted with follow-up"

The Commission can decide to **Follow Up** an "Accepted" dossier:

The Annual Control Report and Opinion follow the models foreseen in Annexes VI and VII of Regulation (EC) No 1828/2006 and are of adequate quality, but the Commission requires additional information in order to be able to draw conclusions on the basis of the work carried out by the audit authority. In that case, a comment ("Follow Up") appears when clicking the question mark (?) next to the status "Accepted".



Following the comments made in the Acceptance letter of the Commission the Member State is expected to provide additional information through "Other MS Documents".

When completed, the Commission will remove the Follow Up and the status becomes just "**Accepted**" (without the "Follow Up" flag).

Example of a status "**Accepted with follow-up**":

