



Education and Culture DG

2011 annual work programmes on grants and contracts for the "Preparatory Action in the field of sport", for the "Special annual event – Special Olympics (Athens)" and for the Pilot project "Knowledge Partnerships in 2011"

(European Commission C(2011)2680 of 20 April 2011)

SUMMARY

Under Article 75 of the Financial Regulation, the commitment of the expenditure shall be preceded by a financing decision adopted by the Commission. Under Article 110 of the Financial Regulation, grants and contracts provided by the Commission are subject to an annual work programme.

The attached Commission Decision presents the annual work programmes on grants and contracts for budget line 15.05.11 (Preparatory Action in the field of sport), for the sport event foreseen under budget line 15.05.06 (Special Annual Events) and for the Pilot Project "Knowledge Partnerships" under budget line 15.07.79 and serves as a financing decision within the meaning of Article 90 of the Implementing Rules.

The Preparatory Action in the field of sport concerns the identification and development of networks and good practices in the fields of prevention of and fight against violence and intolerance in sport, and innovative approaches to strengthening the organisation of sport in Europe. It will also finance studies, conferences and seminars to be organised in the field of sports, so as to create a basis for future EU actions in this area. Part of the budget available is also intended as a contribution to the financing of a Europe-wide network promoting education through football, set up by Streetfootballworld, with a view of establishing the Euroschools 2012 project.

The Special Annual Event concerns the organisation of the World Special Olympics Summer Games in Athens, Greece (20 June – 4 July 2011) and the legacy programmes of the European Special Olympics Summer Games in Warsaw, Poland, and of the World Special Olympics Summer Games in Athens, Greece. EU funding will also allow participating athletes from all 27 Member States to train and prepare for and attend the games in Greece.

The aim of the Pilot Project "Knowledge Partnerships" is to fund partnerships which should be a structured, coming together of businesses with education and training institutions to deliver new curricula, new courses and to develop new, innovative ways of delivering education. The objective is to ensure that graduates in all fields will emerge from their education with in-depth knowledge of specific research issues as well as with high levels of T-shaped skills, ensuring high employability, adaptability and entrepreneurial, creative and innovative attitudes. The expenditure that will be implemented by beneficiaries can be reimbursed on the basis of real costs incurred or/and by using lump sums and flat-rate financing. The decision introduces the lump sums and flat-rate financing that can be used for the year 2011.

RÉSUMÉ

L'article 75 du règlement financier prévoit que l'engagement de toute dépense est précédé d'une décision de financement adoptée par la Commission. En application de l'article 110 du règlement financier, les subventions et les marchés de la Commission font l'objet d'un programme de travail annuel.

La décision de la Commission ci-jointe présente les programmes de travail annuels en matière de subventions et de marchés pour la ligne budgétaire 15 05 11 (Action préparatoire dans le domaine du sport), pour l'événement sportif prévu sous la ligne budgétaire 15 05 06 (Événements annuels spéciaux) et pour le projet pilote «Partenariats de la connaissance» prévu sous la ligne budgétaire 15 07 79, et vaut décision de financement au sens de l'article 90 des modalités d'exécution du règlement financier.

L'Action préparatoire dans le domaine du sport a trait au recensement et à la mise en place de réseaux et de bonnes pratiques dans les domaines de la prévention de la violence et de l'intolérance dans le sport et de la lutte contre celles-ci, ainsi que d'approches innovantes pour renforcer l'organisation du sport en Europe. Elle financera également l'organisation d'études, de conférences et de séminaires concernant le sport, dans le but de poser les jalons d'actions futures de l'Union européenne en la matière. Une partie du budget disponible doit également contribuer au financement d'un réseau européen de soutien à l'éducation par le football, sous l'égide de l'organisation Streetfootballworld, en vue de la mise en place du projet Euroschools 2012.

L'Événement annuel spécial concerne l'organisation des Jeux olympiques spéciaux mondiaux d'été à Athènes, en Grèce (du 20 juin au 4 juillet 2011) ainsi que les programmes découlant des Jeux olympiques spéciaux européens d'été à Varsovie, en Pologne, et des Jeux olympiques spéciaux mondiaux d'été à Athènes, en Grèce. Le financement de l'UE permettra par ailleurs aux athlètes participants de l'ensemble des 27 États membres de s'entraîner, de se préparer et de se rendre aux jeux en Grèce.

L'objectif du projet pilote «Partenariats de la croissance» est de financer des partenariats qui consisteraient en un regroupement structuré d'entreprises et d'établissements d'enseignement et de formation, en vue de produire de nouveaux programmes et de nouveaux cours et de mettre au point des méthodes nouvelles et novatrices de dispenser l'enseignement. Il s'agit de faire en sorte qu'à l'issue de leur formation, les diplômés de tous les domaines possèdent une connaissance approfondie de thèmes de recherche spécifiques et des niveaux élevés de compétences «en T», leur conférant un haut degré d'employabilité et d'adaptabilité ainsi que l'esprit d'entreprise, de création et d'innovation. Les dépenses qui seront effectuées par les bénéficiaires pourront être remboursées sur la base des coûts réels supportés et/ou au moyen de montants forfaitaires et d'un financement à taux forfaitaire. La décision définit les montants forfaitaires et le financement à taux forfaitaire qui peuvent être utilisés pour l'exercice 2011.

ZUSAMMENFASSUNG

Gemäß Artikel 75 der Haushaltsordnung geht jeder Ausgabe ein Finanzierungsbeschluss der Kommission voran. Gemäß Artikel 110 der Haushaltsordnung werden die Finanzhilfen und Aufträge der Kommission in ein Jahresarbeitsprogramm aufgenommen.

Der beigefügte Kommissionsbeschluss enthält die Jahresarbeitsprogramme für Finanzhilfen und Aufträge im Rahmen der Haushaltslinie 15 05 11 (Vorbereitende Maßnahme im Bereich des Sports), der Sportveranstaltung gemäß Haushaltslinie 15 05 06 (Besondere jährliche Veranstaltungen) und des Pilotprojekts „Wissenspartnerschaften“ gemäß Haushaltslinie 15 07 79; er dient als Finanzierungsbeschluss im Sinne des Artikels 90 der Durchführungsbestimmungen.

Die „Vorbereitende Maßnahme im Bereich des Sports“ betrifft die Ermittlung und Entwicklung von Netzwerken und bewährten Verfahren in den Bereichen Prävention und Bekämpfung von Gewalt und Intoleranz im Sport sowie innovative Konzepte zur Stärkung der Organisation des Sports in Europa. Außerdem sollen Studien, Konferenzen und Seminare im Bereich des Sports finanziert werden, um eine Grundlage für künftige Maßnahmen der EU in diesem Bereich zu schaffen. Mit einem Teil der verfügbaren Mittel soll auch ein Beitrag zur Finanzierung eines europaweiten Netzwerks für die Förderung der Erziehung durch Fußball geleistet werden, das von Streetfootballworld aufgebaut wird und zur Realisierung des Projekts Euroschools 2012 führen soll.

Bei der besonderen jährlichen Veranstaltung geht es in diesem Jahr um die Organisation der World Special Olympics Summer Games in Athen, Griechenland (20. Juni bis 4. Juli 2011), sowie der „Legacy Programmes“ der European Special Olympics Summer Games in Warschau, Polen, und der World Special Olympics Summer Games in Athen, Griechenland. Außerdem werden dank der EU-Mittel Sportler aus allen 27 Mitgliedstaaten für die Spiele in Griechenland trainieren und an diesen Spielen teilnehmen können.

Mit dem Pilotprojekt „Wissenspartnerschaften“ sollen Partnerschaften gefördert werden, die eine strukturierte Zusammenarbeit der Wirtschaft mit Einrichtungen der allgemeinen und beruflichen Bildung ermöglichen, so dass neue Lehrpläne aufgestellt, neue Kurse angeboten und neue, innovative Wege der Bildungsvermittlung beschritten werden können. Es soll sichergestellt werden, dass die Absolventen aller Fachrichtungen nach dem Abschluss über tiefgehende fachliche Kenntnisse und über ausgeprägte „T-shaped Skills“ verfügen, was hohe Beschäftigungsfähigkeit und Anpassungsfähigkeit sowie unternehmerische, kreative und innovative Einstellungen gewährleistet. Die von den Begünstigten getätigten Ausgaben können auf der Basis der tatsächlich angefallenen Kosten und/oder von Pauschalbeträgen und Finanzierungen auf der Grundlage von Pauschaltarifen erstattet werden. Mit dem Beschluss wird dieser Rückgriff auf Pauschalbeträge und Finanzierungen auf der Grundlage von Pauschaltarifen für das Jahr 2011 ermöglicht.

ANNEX

2011 Annual work programme on grants and contracts for the "Preparatory Action in the field of sport" and for the "Special annual event – Special Olympics (Athens)"

Budget lines: 15.05.11 ('Preparatory action in the field of sport')
15.05.06 ('Special annual events')

Legal basis: 15.05.11: Preparatory action in the field of application of the TFEU (Article 49(6)(b) of the Financial Regulation);
15.05.06: Institutional prerogative of the Commission (Article 49(6)(d) of the Financial Regulation)

1. OBJECTIVES AND PRIORITIES

1.1. General objectives

According to Article 165 of the Treaty on the Functioning of the European Union (TFEU), "the Union shall contribute to the promotion of sporting issues, while taking into account the specific nature of sport, its structures based on voluntary activity and its social and educational function".

Following the implementation of the Preparatory Actions in the field of sport for 2009 and 2010, the objective of the Preparatory Action in the field of sport for 2011 is to prepare future EU actions in view of the implementation of Article 165 TFEU. This objective can be attained by:

- **Providing policy support** for the identification of future policy actions in the area of sport. Policy support can take the form of studies, surveys, conferences and seminars to consult stakeholders and develop better knowledge of this field;
- **Testing the establishment and functioning of suitable networks and good practices** in relevant fields.

As indicated in the comments to budgetary line 15.05.11, part of the budget is also intended to contribute to the financing of the initiative Euroschoools 2012, a Europe-wide network promoting 'education through football' composed of European schools and local organisations. The funds will be allocated to Streetfootballworld, the organisation which set up this network and has already successfully managed the Euroschoools 2008.

As indicated in the comments to budget line 15.05.06, this appropriation is intended to cover the costs of measures supported as special annual events. In particular, a grant will be allocated to Special Olympics Europe/Eurasia to co-finance the organisation of the World Special Olympics Summer Games in Athens, Greece (20 June – 4 July 2011) and the legacy programmes of the European Special Olympics Summer Games in Warsaw, Poland, and of the World Special Olympics Summer Games in Athens, Greece.

1.2. Priorities

The 2011 Preparatory Action will be focused on the following priorities:

- Supporting the prevention of and fight against violence and intolerance in sport, including racism and other discriminatory attitudes.
- Testing innovative approaches to strengthen the organisation of sport in Europe, namely by supporting good governance in sport organisations.

1.3 Expected results

The expected results are the testing of networks and the exchange of good practices amongst European and National stakeholders in the areas highlighted above (fight against violence/intolerance/racism/discrimination and good governance in sport).

2. GRANTS

2.1. Grants awarded through a call for proposals

Index 1.20 of the programming table of budget line 15.05.11.

A call for proposals related to the second general objective of the Preparatory Action in the field of sport will cover support to networks and good practices in two areas:

a) Prevention of and fight against violence and intolerance in sport

The call will support transnational projects in sport focusing on the prevention of and the fight against violence and discrimination in sport. Actions will support transnational networking and exchange of good practices between the sport sector, educational institutions, NGOs active in this field and national and local authorities in order to promote the respect of fundamental European values in sport.

b) Innovative approaches to strengthening the organisation of sport in Europe

The call will support transnational projects aiming at developing the European dimension in sport through innovative organisational approaches. Actions will support transnational networking and exchange of best practices within the sport sector. Actions should focus on the testing of innovative approaches aiming at promoting good governance in sport organisations, at reinforcing the capacity building of sport stakeholders or at developing the European dimension in sport by increasing the competitive level of sport disciplines in Europe.

Potential beneficiaries

Commission funding will be available for public authorities and for private, non-profit organisations.

Selection criteria

The applicant must have stable and sufficient sources of funding to maintain his/her activity throughout the period during which the action is being carried out, as well as the professional competencies and qualifications required to complete the proposed action.

Award criteria

Eligible projects and/or networks will be assessed against the following award criteria:

1. Quality of the proposed activities [0 – 20]:

- The compliance with the objectives of the call for proposals;
- The thoroughness of the methodology;
- The overall coherence of the activity programme;
- The quality and innovative characteristic of the proposed outputs.

2. Strength and relevance of the network [0 - 5];
3. Dissemination and exploitation strategy [0 - 5];
4. Long-lasting impact (sustainability) [0 - 5];
5. European added value [0 – 5].

2.2. Grants to bodies with a de jure or de facto monopoly and for actions with specific characteristics that require a particular type of technical competence, high degree of specialisation or administrative power (Art. 168.1(c),(f) of IR)

2.2.1. Preparatory action in the field of sport (Art. 168.1(f) of IR)

Index 1.10 of the programming table of the budget line 15.05.11

Part of the budget is intended to contribute to the financing of the initiative Euroschoools 2012, a Europe-wide network promoting ‘education through football’ composed of European schools and local organizations. The funds will be allocated to Streetfootballworld, which is the one organization which set up this network. Streetfootballworld has already successfully managed the Euroschoools 2008 project, in the framework of the UEFA European Championship Football 2008. This organization has therefore the expertise and a well established and functioning network in order to manage the Euroschoools 2012 project, similarly organised in the framework of the UEFA European Championship Football 2012.

Streetfootballworld has built up technical competence by implementing many programs that use football to get young people involved in such issues. Besides, Streetfootballworld has already successfully managed several initiatives as part of the educational / cultural programme of major events such as the FIFA World Championship 2006 (Germany), UEFA's Euro 2008 (Austria/Switzerland) and the FIFA World Championship 2010 (South Africa).

Streetfootballworld has a strong track record in designing and implementing international youth events in different locations that have been implemented in a multi-stakeholder setting in close cooperation with local partners (NGOs), host cities, national associations, national and international governments and sponsors from the corporate sector.

2.2.2. Special annual event (Art. 168.1(c), of IR)

Index 1.10 of the programming table of the budget line 15.05.06

Grants for special annual event in the field of sport are awarded in a monopoly situation. Only the organising committee of the event can be the beneficiary of the grant.

As indicated in the comments to budget line 15.05.06, An amount of € 4.000.000 is to be allocated as an action grant to the entity *Special Olympics Europe/Eurasia* to co-finance the organisation of the World Special Olympics Summer Games in Athens, Greece (20 June – 4 July 2011) and the legacy programmes of the European Special Olympics Summer Games in Warsaw, Poland and of the World Special Olympics Summer Games in Athens, Greece. This funding will also allow participating athletes from all 27 Member States to train and prepare for and attend the games in Greece. The World Special Olympics Summer Games in Athens will bring together over 7 500 people with intellectual disabilities from more than 180 countries. These athletes will be supported by 2 500 coaches, 3 000 officials, 25 000 volunteers and over 30 000 family members. Athletes will compete to the best of their abilities in 22 Olympic-type sports. An important part of the Games will be a global policy summit to discuss the challenges and opportunities for better social inclusion of people with intellectual disabilities. A family forum will also take place, enabling athletes’ family members to learn and share experiences about raising a person with intellectual disabilities.

3. PROCUREMENT

3.1. Studies, conferences and seminars

Index 1.10 of the programming table of the budget line 15.05.11

Studies, surveys, conferences, seminars and activities aimed at disseminating and providing visibility to the Preparatory Action will be carried out in order to support the Commission's structured dialogue with sport stakeholders and to identify future actions in the field of sport on the basis of priorities identified in the 2007 White Paper on Sport and the 2011 Communication on Developing the European Dimension in Sport. For the organisation of conferences existing DG EAC framework contracts will be used. The studies will be financed through the calls for tenders.

The relevant studies, conferences and seminars are foreseen in the 2011 Communication, e.g.:

- A study preparing the Impact Assessment for a possible Council Recommendation based on the EU Physical Activity Guidelines;
- A study on the economic and legal aspects of the transfers of players;
- A conference to further explore possible ways to improve the situation with regard to the activities of sports agents;
- A study on the feasibility of establishing a sport monitoring function in the EU.

PROGRAMMING TABLE N°1 FOR 2011

Budget line 15.05.11

Title Preparatory action in the field of Sport

**EUR 27
3.000.000**

**TOTAL*
3.000.000**

Index WPI	Actions and sub-actions	Budget	Mode of implementation	Number of grants / contracts	Average value of grants / contracts	Maximum rate of cofinancing	Publication of the calls/tenders	Grant Agreement / Grant Decisions (**)
1.10	Action 1: policy support: studies, conferences and seminars	600.000	PP	4	150.000	NA	2nd quarter	NA
1.20	Action 2: support to networks and good practices	2.000.000	CFP	10	200.000	80%	2nd quarter	AGR
1.30	Action 3: Euroschools 2012 project carried out by Streetfootballworld	400.000	SPE	1	400.000	80%	NA	AGR
Total		3.000.000						

*Pursuant to article 83 of the Financial Regulation, the appropriations may also finance the payment of default interest.

(**):

AGR Grants covered by a written agreement

PP: Public Procurement

CFP: Grants awarded with a call for Proposals

SPE: Grants for actions with specific characteristics - Art.168.1(f) IR

PROGRAMMING TABLE N°2 FOR 2011

Budget line 15.05.06

Title Special Annual Events

**EUR 27
4.000.000**

**TOTAL*
4.000.000**

Index	Actions and sub-actions	Budget	Mode of implementation	Number of grants / contracts	Average value of grants / contracts	Maximum rate of cofinancing	Publication of the calls/tenders	Grant Agreement / Grant Decisions (**)
1.10	World Special Olympics Summer Games in Athens	4.000.000	MON	1	4.000.000	80%	NA	AGR
Total		4.000.000						

*Pursuant to article 83 of the Financial Regulation, the appropriations may also finance the payment of default interest.

(**):

AGR Grants covered by a written agreement

MON: Grants to bodies with a de jure or de facto monopoly - Art 168.1 (c) IR

2011 Annual work programme on grants for the Pilot Project "Knowledge Partnerships"

Budget line: 15.07.79 – Pilot Project – Knowledge Partnerships

Legal basis: Pilot Project within the meaning of Article 49(6)(a) of Council Regulation (EC, Euratom) N° 1605/2002 of 25 June on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p.1), as last amended by Council Regulation (EC) N° 1525/2007 of 17 December 2007 (OJ L 343, 27.12.2007, p.9).

1. BACKGROUND

The integrated approach advocated in the Europe 2020 Strategy involves the development of synergies and combined actions between research, innovation and education policies (so-called "knowledge triangle"). In this perspective "knowledge partnerships" are explicitly mentioned in the EU 2020 Strategy, under the flagship initiative Innovation Union ("To promote knowledge partnerships and strengthen links between education, business, research and innovation, including through the EIT").

Many efforts have been devoted at EU level to strengthening the relations between research and innovation and between research and education. The EU has also showed political determination to enhance relations between business and academia, e.g. as one of the core elements of the modernisation agenda for universities and in the context of the European Higher Education Area. But the concrete implementation so far as been less structured and systematic leaving the relation between education and innovation somehow as the neglected link of the knowledge triangle.

The University-Business Forum has opened a dialogue between the two worlds about how they can work more closely together and has shown that there is an appetite on both sides for partnership focused on education, with a view to ensuring that education delivers high-level and highly valued skills, underpinned at all times by high levels of adaptability, entrepreneurship and creative and innovative capacities.

2. GENERAL OBJECTIVES OF THE PILOT PROJECT

The pilot project would propose to fund Knowledge Partnerships which should be a structured, coming together of businesses with education and training institutions to deliver new curricula, new courses and to develop new innovative ways of delivering education. The outcomes of the Knowledge Partnerships could inspire education and training institutions in Europe to modernise their curricula etc.

The aim at all times should be to ensure that graduates in all fields will emerge from their education with in-depth knowledge of specific research issues as well as with high levels of T-shaped skills, ensuring high employability, adaptability and entrepreneurial, creative and innovative attitudes.

The distribution of available appropriations among the actions funded by budget line 15.07.79 is shown in the table in **Appendix 1**.

3. GRANTS

Action grants shall be covered by a written agreement with each EU higher education institutions, research institutes and training institutions at national, central, regional or local level as well as with business and industries (including venture capitalises, incubation firms ...).

Furthermore, this action is within the scope of a call for proposals.

3.1. Priorities, objectives and foreseen results

Priority: to ensure that EU higher education institutions, research institutes and training institutions at national, central, regional or local level will set up structured consortium partnerships with business and industries, to deliver new curricula, new courses and to develop new innovative ways of delivering education.

Objectives: to guarantee that graduates in all fields will emerge from their education with in-depth knowledge of specific research issues as well as with high levels of T-shaped skills, ensuring high employability, adaptability and entrepreneurial, creative and innovative attitudes with the objective of developing the following skills at master and Ph D levels:

- Robust entrepreneurship skills in the broadest sense enabling graduates to creatively respond to a complex and rapidly changing environment; encompassing but not confined to setting up a business or running and SME,
- Business skills (e.g. basic economics, financial literacy, developing market research, drafting a business plan, raising finance, sales techniques, running a business meeting),
- Inter-personal skills (team working, communication, self-confidence, taking initiative, problem-solving, taking calculated risk, leadership).

Foreseen results: to support up to a maximum of 3 EU education, research and training institutions in partnership with business and industries to deliver new curricula, new courses and new ways of delivering education. Furthermore, based on supporting experiences, conclusions and outcomes of the present Pilot project, the results might allow the continuation of this scheme under other existing actions-programmes, such as Lifelong Learning Programme.

3.2. Implementation

The duration of the actions implemented under the Pilot Project will cover a period between 12 months up to a maximum of 18 months.

3.3. Timetable

- April 2011: Publication of the call for proposals;
- May 2011: Application deadline;
- June 2011: Evaluation procedure and award decision;
- July: Signature of legal commitments and start the implementation of the actions.

3.4. Maximum possible rate of co-financing.

The expenditure will be implemented on the basis of real costs and using lump sums and flat- rate financing taking into account the principle of non-profit.

For the actions covering expenses related with "Mobility" (Subsistence costs, preparation of mobility, sending organisations for the organisation of mobility") and also for "Staff Costs" related with Multilateral Projects, Networks, Accompanying Measures, Studies and Comparative Research, the reimbursement on the basis of lump sums and flat-rate financing will be applied.

The actions implemented by beneficiaries will be reimbursed on the basis of real costs incurred for the activities for which no lump sums and flat-rate financing are available on the basis of a maximum EU co-financing rate up to 75% of the total eligible costs.

The lump sums and flat-rate financing that can be used among the actions funded by budget line 15.07.79 are shown in **Appendix 2**.

3.5. Eligibility criteria

Only proposals satisfying all the criteria shall pass to the following evaluation stages:

- Applicants must be in conformity with Articles 93(1), 94 and 96(2)(a) of the Financial Regulation;
- Applicants must be legal persons properly constituted and registered in one of the EU Member States;
- Eligible applicants are: EU higher education institutions, research institutes and training institutions at national, central, regional or local level; business and industries (including venture capitalises, incubation firms ...); These organisations are encouraged to establish solid structured consortium partnerships;
- The proposal must have been submitted by the call deadline;
- The proposal must contain at least three independent partners organisation established in at least three Member States;
- The proposal must include at least one higher education establishment and one private company.

3.6. Selection criteria

Only organisations with the necessary financial and operational capacity may be awarded a grant.

- Financial capacity to carry out the action: the applicant must have access to solid and adequate funding to maintain its activities for the period of the action and to help finance it as necessary. (The verification of financial capacity will not apply to public bodies).
- Operational capacity to complete the proposed action: the applicant must have the operational resources (technical, management) and the professional skills and qualifications needed to successfully complete the proposed action, as well as the ability to implement it. The applicant must have a strong track record of competence and experience in the field and in particular in the type of action proposed.

3.7. Award criteria

The grants will be awarded following a comparative assessment of the proposals received, giving score points based on:

- Quality of the work programme / project;
- Quality of the Partnership (appropriate balance between partners in terms of their involvement in the activities carried out);

- European added value;
- Relevance;
- Expected impact on partnership participants;
- Cost-benefit ratio.

The selection list (including a reserve list) with the retained proposals will be adopted by the Evaluation Committee including the best ranked applications within, as far as possible, the available budgetary financial resources.

4. FORM OF THE GRANT AND AMOUNTS

The action grants may take any of the following forms:

- a) Reimbursement of the eligible costs actually incurred on the basis of a maximum EU co-financing rate up to 75% of the total eligible costs;
- b) Lump sums;
- c) Flat-rate financing;
- d) A combination of the forms referred to in points a), b) and c).

The flat rate financing and lump sums proposed are those used for the Lifelong Learning Programme for 2011 (Commission Decision C(2010) 7190 of 15 October 2010).

PROGRAMMING TABLE FOR 2011

Budget Line 15.07.79 – Pilot Project "Knowledge Partnerships"

**EUR 27
1.000.000**

**TOTAL
1.000.000**

WPI (*)	Action	Total Budget	Mode of implementation	Number of grants	Average value of grants	Max rate of co-financing	Publication of the call	Legal instrument for grants
1.10	3 Grants to EU higher education institutions, research institutes and training institutions at national, central, regional or local level; business and industries (including venture capitalises, incubation firms ...)	1.000.000	CFP	3	330.000	Real Costs (75%) and Flat rates/Lump sums	April 2011	AGR
TOTAL		1.000.000						

CFP Grants awarded following a call for Proposals

AGR Grant covered by a written agreement

(*) WPI : Work Programme Index

APPENDIX 2

LUMP SUMS AND FLAT-RATE FINANCING AUTHORISED FOR 2011 PILOT PROJECT KNOWLEDGE PARTNERSHIPS

1. JUSTIFICATION FOR HAVING RECOURSE TO LUMP SUMS AND FLAT-RATE FINANCING UNDER PILOT PROJECT "KNOWLEDGE PARTNERSHIPS"

Having recourse to lump sum and flat-rate financing simplifies the calculation of the grant amount considerably in comparison to the 'traditional' system of basing the amount of the grant on a detailed budget of eligible costs. It has in particular the following advantages:

- It enables applicants to take the pre-established lumps sums and flat-rate financing into account when submitting their applications for grants;
- Applying pre-established rates (adapted to apply to all applicants, where necessary), offers advantages in terms of transparency and equal treatment of beneficiaries;
- Financing on the basis of lump sums and flat-rate financing where an analysis of the cost base has been made ex-ante introduces an incentive for the beneficiary to use resources as economically as possible, as the final grant is based on the pre-established rates in function of the implementation of the action, without further adjustments of the grant amount based on realised financial information;
- The emphasis in managing grant agreements/decisions in application of lump sums and flat-rates is placed on the quality and level of achievement of measurable objectives, and is therefore focused on outputs rather than inputs. This implies that no ex-post reduction on the individual beneficiary level is foreseen where, for instance, the beneficiary has been able to make cost-savings or has been able to attract additional sources of financing;
- It therefore also reduces the level of uncertainty regarding the grant amount that a beneficiary might expect to receive;
- The approach offers a considerable reduction in administrative work for the beneficiary and a significant simplification in its reporting to the contracting authority;
- It significantly decreases the workload of the contracting authority (in comparison to the analysis of a detailed budget of eligible costs per cost category) and as a consequence speeds up the payment procedure.

The actions falling under the Pilot Project are particularly well suited to this approach, involving grants of a recurring nature.

The Union contribution provided is relatively low, ensuring the respect of the co-financing and non-profit rules. Therefore, significant gains can be drawn by establishing and applying harmonised lump sum and flat-rate schemes.

2. LUMP SUMS AND FLAT-RATE FINANCING AUTHORISED FOR APPLICATIONS IN 2011

The flat rate financing and lump sums proposed are those used for the Lifelong Learning Programme for 2011 (Commission Decision C(2010) 7190 of 15 October 2010).

2.1. Lump Sums and Flat-Rate financing applied to Mobility Actions

The co-financed costs relate to the mobility of the individual (subsistence, travel, linguistic and cultural preparation) as well as to the management and the follow-up of the mobility action.

Scales of unit costs are well suited to the grants for the Pilot Project actions. They are of a recurring nature, are treated in large numbers and involve a homogenous cost structure and level.

2.1.1. Subsistence and travel costs

For all mobility actions other than students, the contribution to subsistence costs will be calculated as an overall amount on the basis of the duration of stay. Subsistence costs cover accommodation, meals, local travel, the cost of telecommunications, including fax and Internet, as well as other sundries.

For mobility actions with duration of up to and including 12 weeks, travel and costs are reimbursed on the basis of real costs.

For mobility actions with duration longer than 12 weeks, the lump sum constitutes an overall amount considered to include also travel costs. The principle of proportionality justifies the approach of including travel costs for mobility actions of duration longer than 12 weeks, as the contribution towards the travel costs represents only a minor part compared to the global amount for subsistence.

2.1.1.1. Mobility actions - Subsistence costs (other than students)

Table 1: Maximum rates per host country and category of duration of stay for Subsistence Costs – Mobility actions (for student mobility see table 2)

	Excluding travel and visa costs									Including travel and visa costs	
	Total amount first week						Total amount		Additional amount per week (wks 3 - 12)*	Total amount for 13 full weeks **	Additional amount per week (wks 14 - 45)*
	1 day	2 days	3 days	4 days	5 days	6 days	One week (7 days)	Two weeks			
BE	170	340	510	680	850	1.020	1.190	1.666	190	4.232	190
BG	120	240	360	480	600	720	840	1.176	134	2.986	134
CZ	170	340	510	680	850	1.020	1.190	1.666	190	4.232	190
DK	240	480	720	960	1.200	1.440	1.680	2.352	269	5.983	269
DE	170	340	510	680	850	1.020	1.190	1.666	190	4.232	190
EE	150	300	450	600	750	900	1.050	1.470	168	3.738	168
EL	170	340	510	680	850	1.020	1.190	1.666	190	4.232	190
ES	180	360	540	720	900	1.080	1.260	1.764	202	4.490	202
FR	200	400	600	800	1.000	1.200	1.400	1.960	224	4.984	224
IE	210	420	630	840	1.050	1.260	1.470	2.058	235	5.231	235

	Excluding travel and visa costs									Including travel and visa costs	
	Total amount first week						Total amount		Additional amount per week (wks 3 - 12)*	Total amount for 13 full weeks **	Additional amount per week (wks 14 - 45)*
IT	190	380	570	760	950	1.140	1.330	1.862	213	4.737	213
CY	150	300	450	600	750	900	1.050	1.470	168	3.738	168
LV	150	300	450	600	750	900	1.050	1.470	168	3.738	168
LT	130	260	390	520	650	780	910	1.274	146	3.244	146
LU	170	340	510	680	850	1.020	1.190	1.666	190	4.232	190
HU	160	320	480	640	800	960	1.120	1.568	179	3.985	179
MT	150	300	450	600	750	900	1.050	1.470	168	3.738	168
NL	190	380	570	760	950	1.140	1.330	1.862	213	4.737	213
AT	190	380	570	760	950	1.140	1.330	1.862	213	4.737	213
PL	160	320	480	640	800	960	1.120	1.568	179	3.985	179
PT	160	320	480	640	800	960	1.120	1.568	179	3.985	179
RO	130	260	390	520	650	780	910	1.274	146	3.244	146
SI	160	320	480	640	800	960	1.120	1.568	179	3.985	179
SK	150	300	450	600	750	900	1.050	1.470	168	3.738	168
FI	210	420	630	840	1.050	1.260	1.470	2.058	235	5.231	235
SE	200	400	600	800	1.000	1.200	1.400	1.960	224	4.984	224
UK	220	440	660	880	1.100	1.320	1.540	2.156	246	5.478	246

* For weeks 3-12 and 14-45, additional full weeks are counted on the basis of the amount indicated in the columns 'Additional amount for weeks 3-12 and 14-45'.

** This includes a special amount covering travel and visa costs as they are included from week 13 onwards.

The calculation method for an "incomplete" week is the number of additional days multiplied with 1/7 of the amount indicated in the columns 'Additional amount per week' for weeks 3-12 and 14-45. An exception is made for the second incomplete week for which the basis for calculation is the number of additional days multiplied by 1/7 of the difference between the amounts for one week and two weeks.

In the case of a stay with duration between 12 and 13 weeks (i.e. an incomplete 13th week) the basis for calculation will be the amount due for 12 weeks increased by 1/7 of the amount indicated in the columns 'Additional amount per week' for each additional day. The total amount thus obtained will be considered excluding travel and visa costs.

Table 2: Maximum rates per host country and category of duration of stay for Subsistence Costs – Mobility actions – Student Mobility Subsistence

The specific scale concerns mobility of students. The scale is adapted to the lower level of costs incurred by this category of beneficiaries, as well as to the longer duration of the stay.

A basic amount is calculated for Bulgaria (because it is the country with the lowest cost of living) on the basis of the analysis of statistical data on actual grants levels. The Eurostat cost of living index is then applied to arrive at the rates applicable to the other host countries.

The rates below do not include travel costs which can be reimbursed based on real cost.

HOST COUNTRY	Monthly rate
Belgique/Belgie – BE	640
Balgarija – BG	448
Česká republika – CZ	627
Danemark – DK	890
Deutschland – DE	634
Eesti – EE	544
Ellas – EL	608
España – ES	653
France – FR	742
Eire/Ireland – IE	781
Italia – IT	717
Kypros – CY	570
Latvija – LV	544
Lietuva – LT	486
Luxembourg – LU	640
Magyarország – HU	602
Malta – MT	544
Nederland – NL	698
Österreich – AT	691
Polska – PL	602
Portugal – PT	589
Romania – RO	480
Slovenija – SI	576
Slovensko – SK	557
Suomi – FI	768
Sverige – SE	736
United Kingdom – UK	806

2.1.2. Costs for the organisation of mobility and courses

A number of actions require the clear commitment from the sending or hosting organisation to ensure quality in all dimensions (pedagogic as well as logistic) of the mobility period. Such costs can be covered by either lump sums or scales of unit costs. This support is given to the organisation, not the individual, and is intended to cover a part of the costs relative to the organisation of the mobilities.

The lump sum and flat-rate financing amounts have been established on the basis of real costs reported under the same or similar activities. The rates have been established in a way so as to ensure that the co-financing and no-profit rules are respected.

2.1.2.1. *Grants for the preparation of mobility*

The grant will be available for the following actions: internships, visits and exchanges, and workshops.

The grant is awarded to the sending organisation as a flat-rate financing amount, in function of the number of beneficiaries. The grant is available only in cases where linguistic preparation is considered necessary.

Table 3: Maximum flat-rate financing amount for the preparation of mobility

Preparation costs	Flat-rate financing for pedagogic, linguistic and cultural preparation per participant, if necessary/applicable	500 € / beneficiary
-------------------	---	---------------------

2.1.2.2. *Grants to sending organisations for the organisation of mobility*

Scales are defined per group of outgoing persons and for incoming invited teaching staff from enterprises. The grant is intended to ensure quality of the mobility arrangements for student and staff.

The organisations sending people abroad incur a variety of costs required by a proper framework that guarantees quality in all dimensions (pedagogic as well as logistic) of the mobility period. This comprises information, definition of training plan, pedagogical, language and cultural preparation, monitoring and tutoring of the beneficiary, validation of competences and logistic support (assistance in accommodation, residence permits, social security and visas, provision of health insurance, finding suitable placements, etc.).

The grant is awarded as a flat-rate financing amount, in function of the number of beneficiaries and the rates per beneficiary. The rates were first established in 2006, on the basis of the real costs incurred by the National Agencies in 2005 for the organisation of mobility of Erasmus students. In 2007 these rates were extended to cover also the Leonardo da Vinci placements with a similar cost structure and level. The experience shows that the established rates remain appropriate.

Table 4: Maximum scale of unit cost applicable to sending organisations for the organisation of mobility

Scale 1 (1 st to 25 th person)	390 / beneficiary
Scale 2 (26 th to 100 th person)	315 / beneficiary
Scale 3 (101 st to 400 th person)	225 / beneficiary
Scale 4 (> 400 th person)	180 / beneficiary

2.2. Flat rate financing applied to Multilateral Projects, Networks and Accompanying Measures, Studies and Comparative Research

These co-financed costs relates to multilateral projects, networks, accompanying measures, studies and comparative research.

Scales of unit costs are well suited to the grants for the Pilot Project actions. They are of a recurring nature, are treated in large numbers and involve a homogenous cost structure and level.

2.2.1. Staff Costs

Eligible staff costs are calculated on the basis of scales of eligible unit costs. The unit cost covers cost of staff assigned to the action, either by the beneficiary or by the co-beneficiaries (partners), comprising actual salaries plus social security charges and other statutory costs included in the remuneration.

The staff cost rates are based on a study conducted by an independent research company in 2005. The rates have been updated on the basis of an index reflecting the annual rise in labour costs per country, adjusted with the currency exchange rate, where applicable. The table below comprises the maximum eligible daily rates, to which the EU co-financing percentage will be applied.

Table 5: Maximum eligible daily rates for Staff Costs – Multilateral Projects, Networks, Accompanying Measures, Studies and Comparative Research

Country			Manager	Researcher Teacher Trainer	Technical	Administrative
Belgique/Belgie	BE	Belgium	380	325	263	205
Bulgarija	BG	Bulgaria	84	75	58	39
Česká republika	CZ	Czech Republic	138	138	100	72
Danemark	DK	Denmark	497	425	346	271
Deutschland	DE	Germany	356	309	248	191
Eesti	EE	Estonia	102	94	66	46
Ellas	EL	Greece	280	239	196	152
España	ES	Spain	287	258	198	139
France	FR	France	423	358	234	179
Eire	IE	Ireland	386	336	280	205
Italia	IT	Italy	568	332	225	187
Kypros	CY	Cyprus	335	294	182	124
Latvija	LV	Latvia	101	82	65	44
Lietuva	LT	Lithuania	90	77	59	41
Luxembourg	LU	Luxembourg	508	436	353	275
Magyarország	HU	Hungary	123	108	81	46
Malta	MT	Malta	136	123	96	68
Nederland	NL	Netherlands	388	339	269	211
Österreich	AT	Austria	420	324	241	199
Polska	PL	Poland	130	107	83	61
Portugal	PT	Portugal	182	160	118	78
Romania	RO	Romania	155	119	93	59
Slovenia	SI	Slovenia	252	227	183	115
Slovenská republika	SK	Slovakia	151	122	108	88
Suomi /Finland	FI	Finland	374	268	221	185
Sverige	SE	Sweden	443	379	312	240
United Kingdom	GB	United Kingdom	412	389	273	197

2.2.2. *Subsistence Costs*

The flat-rate financing scale approved by the Commission for accommodation and daily allowances for mission costs is applied, in accordance with Article 181.1(b) of the detailed rules for implementation of the Financial Regulation applicable to the general budget of the European Communities.

To ensure the respect of the co-financing and non-profit rules, the global co-financing percentage (maximum up to 75%) will be applied to these rates.

Table 6: Maximum eligible daily rates for Subsistence Costs – Multilateral Projects, Networks, Accompanying Measures, Studies and Comparative Research

Country			Daily rates (€)
Belgique/Belgie	BE	Belgium	232
Balgarija	BG	Bulgaria	227
Česká republika	CZ	Czech Republic	230
Danemark	DK	Denmark	270
Deutschland	DE	Germany	208
Eesti	EE	Estonia	181
Ellas	EL	Greece	222
España	ES	Spain	212
France	FR	France	245
Eire	IE	Ireland	254
Italia	IT	Italy	230
Kypros	CY	Cyprus	238
Latvija	LV	Latvia	211
Lietuva	LT	Lithuania	183
Luxembourg	LU	Luxembourg	237
Magyarország	HU	Hungary	222
Malta	MT	Malta	205
Nederland	NL	Netherlands	263
Österreich	AT	Austria	225
Polska	PL	Poland	217
Portugal	PT	Portugal	204
Romania	RO	Romania	222
Slovenia	SI	Slovenia	180
Slovenská republika	SK	Slovakia	205
Suomi /Finland	FI	Finland	244
Sverige	SE	Sweden	257
United Kingdom	GB	United Kingdom	276