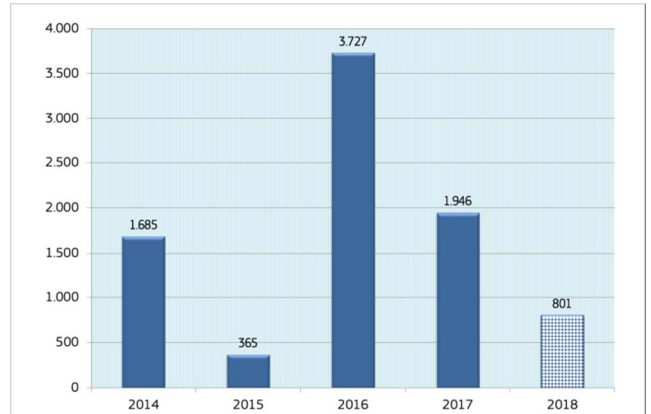


1. CARTEL STATISTICS

1.1. Fines imposed (not adjusted for Court judgments) - period 2014 - 2018

Last change: ++21 March 2018++

| Year | Amount in €* |
|--------------|----------------------|
| 2014 | 1 684 768 000 |
| 2015 | 364 531 000 |
| 2016 | 3 726 976 000 |
| 2017 | 1 945 656 000 |
| ++2018++ | 800 748 000 |
| total | 8 522 679 000 |

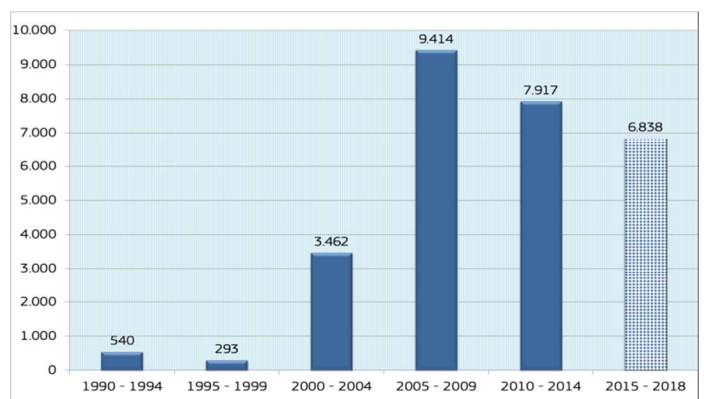


*Amounts as imposed by the Commission (incl. corrections following amendment decisions) and not corrected for changes following judgments of the Courts (General Court and European Court of Justice) only considering cartel infringements under Article 101 TFEU (previously Article 81 resp. 85 of the Treaty). Wherever prohibitions and fines concern infringements of Article 101 TFEU and of Article 102 TFEU (previously Articles 81 resp. 85 and Article 82 resp. Article 86 of the Treaty), only those amounts have been considered which concern Article 101 TFEU.

1.2. Fines imposed (not adjusted for Court judgments) - period 1990 - 2018

Last change: ++21 March 2018++

| Year | Amount in €*) |
|-----------------|-----------------------|
| 1990 - 1994 | 539 691 550 |
| 1995 - 1999 | 292 838 000 |
| 2000 - 2004 | 3 462 421 100 |
| 2005 - 2009 | 9 414 012 500 |
| 2010 - 2014 | 7 917 218 674 |
| ++2015 - 2018++ | 6 837 911 000 |
| total | 28 464 092 824 |

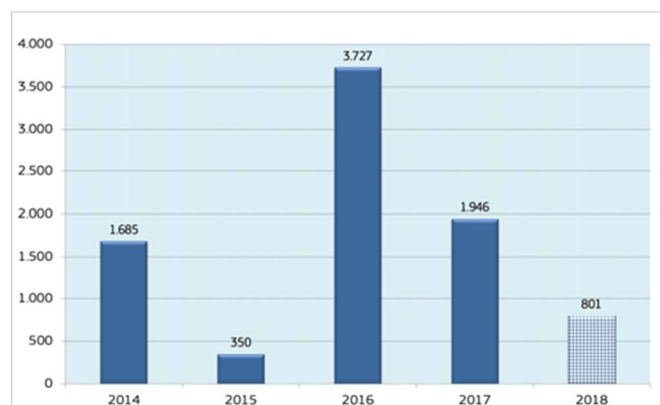


*Amounts as imposed by the Commission (incl. corrections following amendment decisions) and not corrected for changes following judgments of the Courts (General Court and European Court of Justice) and only considering cartel infringements under Article 101 TFEU (previously Article 81 resp. 85 of the Treaty). Wherever prohibitions and fines concern infringements of Article 101 TFEU and of Article 102 TFEU (previously Articles 81 resp. 85 and Article 82 resp. Article 86 of the Treaty), only those amounts have been considered which concern the Article 101 TFEU.

1.3. Fines imposed (adjusted for Court judgments) - period 2014 – 2018

Last change: ++21 March 2018++

| Year | Amount in €* |
|--------------|----------------------|
| 2014 | 1 684 768 000 |
| 2015 | 349 571 000 |
| 2016 | 3 726 976 000 |
| 2017 | 1 945 656 000 |
| ++2018++ | 800 748 000 |
| total | 8 507 719 000 |

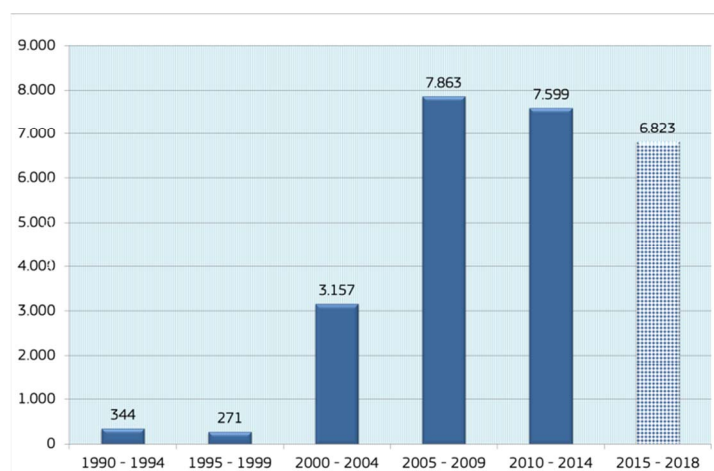


* Amounts corrected for changes (incl. corrections following amendment decisions) and judgments of the Courts (General Court and European Court of Justice) and only considering cartel infringements under Article 101 TFEU (previously Article 81 resp. Article 85 of the Treaty). Wherever prohibitions and fines concern infringements of Article 101 TFEU (previously Article 81 resp. Article 85 and of Article 102 TFEU previously Articles 82 resp. Article 86 of the Treaty), only those amounts have been considered which concern the Article 101 TFEU infringements.

1.4. Fines imposed (adjusted for Court judgments) - period 1990 – 2018

Last change: ++21 March 2018++

| Period | Amount in €* |
|-----------------|--------------------------|
| 1990 - 1994 | 344 282 550,00 |
| 1995 - 1999 | 270 963 500,00 |
| 2000 - 2004 | 3 157 348 710,00 |
| 2005 - 2009 | 7 863 307 786,50 |
| 2010 - 2014 | 7 598 863 580,00 |
| ++2015 - 2018++ | 6 822 951 000,00 |
| Total | 26 057 717 126,50 |



* Amounts corrected for changes (incl. corrections following amendment decisions) and judgments of the Courts (General Court and European Court of Justice) and only considering cartel infringements under Article 101 TFEU (previously Article 81 resp. Article 85 of the Treaty). Wherever prohibitions and fines concern infringements of Article 101 TFEU (previously Article 81 resp. Article 85 and of Article 102 TFEU (previously Articles 82 resp. Article 86 of the Treaty), only those amounts have been considered which concern the Article 101 TFEU infringements.



1.5. Ten highest cartel fines per case (since 1969)

Last change: ++10 November 2017++

| Year | Case name | Amount in €* |
|---------------|--|---------------|
| 2016/2017 | Trucks | 3 807 022 000 |
| 2012 | TV and computer monitor tubes | 1 409 588 000 |
| 2013/2016 | Euro interest rates derivatives (EIRD)** | 1 310 039 000 |
| 2008 | Carglass | 1 185 500 000 |
| 2014 | Automotive bearings | 953 306 000 |
| 2007 | Elevators and escalators | 832 422 250 |
| 2001 | Vitamins | 790 515 000 |
| 2010/2017 | Airfreight (incl. re-adoption) | 785 345 000 |
| ++2013/2015++ | Yen interest rate derivatives (YIRD) | 669 719 000 |
| 2007/2012 | Gas insulated switchgear (incl. re-adoption) | 675 445 000 |

* Amounts adjusted for changes following judgments of the Courts (General Court and European Court of Justice) and / or amendment decisions.

1.6. Ten highest cartel fines per undertaking (since 1969)

Last change: ++27 September 2017++

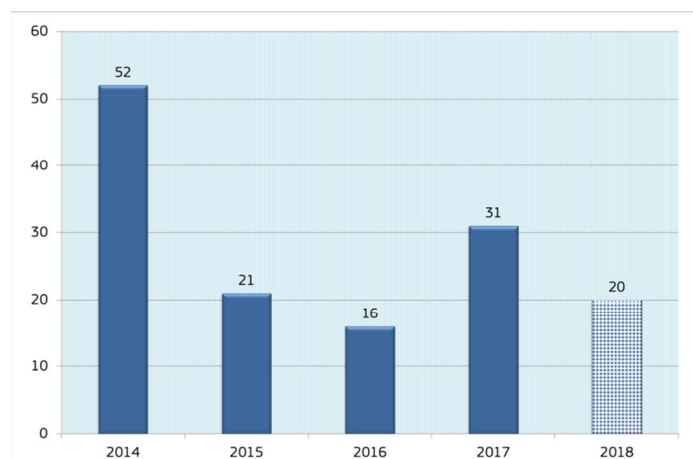
| Year | Undertaking | Case | Amount in €* |
|----------|----------------------|---------------------------------------|--|
| 2016 | Daimler | Trucks | 1 008 766 000 |
| ++2017++ | Scania | Trucks | 880 523 000 |
| 2016 | DAF | Trucks | 752 679 000 |
| 2008 | Saint Gobain | Carglass | 715 000 000 |
| 2012 | Philips | TV and computer monitor tubes | 705 296 000 of which 391 940 000 jointly and severally with LG Electronics |
| 2012 | LG Electronics | TV and computer monitor tubes | 687 537 000 of which 391 940 000 jointly and severally with Philips |
| 2016 | Volvo/Renault Trucks | Trucks | 670 448 000 |
| 2016 | Iveco | Trucks | 494 606 000 |
| 2013 | Deutsche Bank | Euro interest rate derivatives (EIRD) | 465 861 000 |
| 2001 | F. Hoffmann-La Roche | Vitamins | 462 000 000 |

* Amounts adjusted for changes following judgments of the Courts (General Court and European Court of Justice) and / or amendment decisions

1.7. Number of decisions (per undertaking/association)* - period 2014 - 2018

Last change: ++21 March 2018++

| Year | Undertakings |
|--------------|--------------|
| 2014 | 52 |
| 2015 | 21 |
| 2016 | 16 |
| 2017 | 31 |
| 2018 | ++20++ |
| Total | 140 |



* Includes entities not fined such as immunity applicants; If more than one legal entity of the same group were subject to the decision, they are counted as one for the purpose of this table.

1.8. Number of decisions (per undertaking/association)* - period 1990 - 2018

Last change: ++21 March 2018++

| Period | Undertakings |
|---------------|--------------|
| 1990 - 1994 | 185 |
| 1995 - 1999 | 45 |
| 2000 - 2004 | 157 |
| 2005 - 2009 | 200 |
| 2010 - 2014 | 180 |
| ++2015-2018++ | 88 |
| Total | 855 |

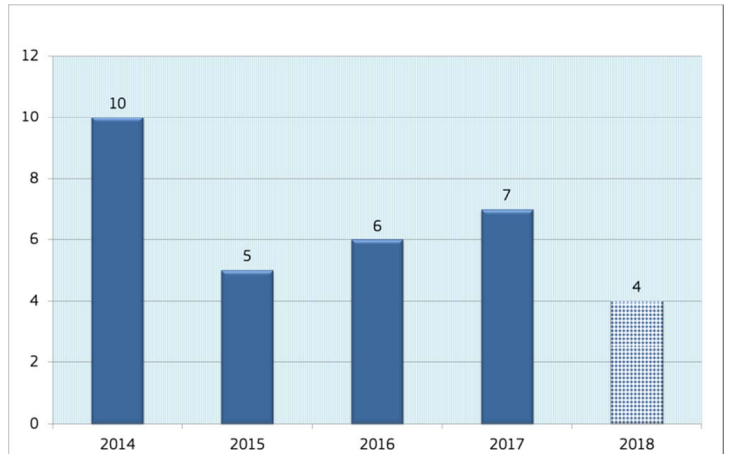


* Includes entities not fined such as immunity applicants; If more than one legal entity of the same group were subject to the decision, they are counted as one for the purpose of this table.

1.9. Cartel cases* decided by the European Commission - period 2014 - 2018

Last change: ++21 March 2018++

| Year | Decisions |
|--------------|-----------|
| 2014 | 10 |
| 2015 | 5 |
| 2016 | 6 |
| 2017 | 7 |
| ++2018++ | 4 |
| total | 32 |

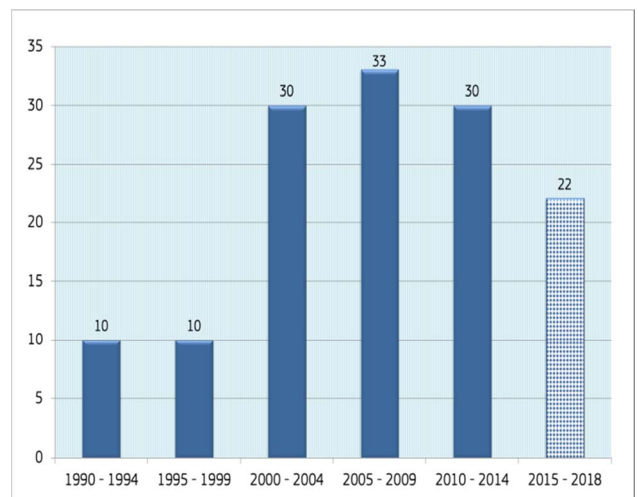


* A cartel case concerns a single proceeding against various undertakings concerned, and may involve more than one infringement.

1.10. Cartel cases* decided by the European Commission - period 1990 - 2018

Last change: ++21 March 2018++

| Period | Cases decided |
|-----------------|---------------|
| 1990 - 1994 | 10 |
| 1995 - 1999 | 10 |
| 2000 - 2004 | 30 |
| 2005 - 2009 | 33 |
| 2010 - 2014 | 30 |
| ++2015 - 2018++ | 22 |
| total | 135 |



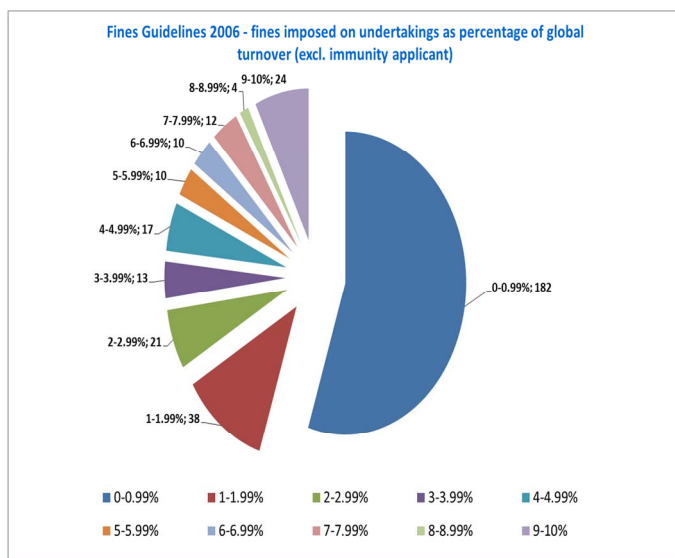
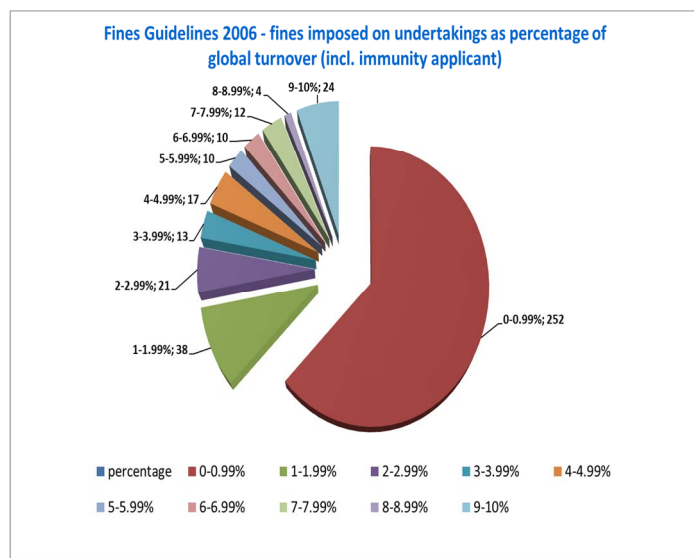
* A cartel case concerns a single proceeding against various undertakings concerned, and may involve more than one infringement. Only those cartel cases where a fine was imposed were considered for the purpose of that table.

1.11. Fines Guidelines 2006 – fines as percentage* of global turnover

Last update ++31 December 2017++

| Fines Guidelines 2006 – fines imposed on undertakings as percentage of global turnover (incl. immunity applicants) | | | | | | | | | | | |
|--|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|-------|
| percentage | 0-0.99% | 1-1.99% | 2-2.99% | 3-3.99% | 4-4.99% | 5-5.99% | 6-6.99% | 7-7.99% | 8-8.99% | 9-9.99% | total |
| undertakings fined | 252 | 38 | 21 | 13 | 17 | 10 | 10 | 12 | 4 | 24 | 401 |
| | 62.84% | 9.48% | 5.24% | 3.24% | 4.24% | 2.49% | 2.49% | 2.99% | 1.00% | 5.99% | |

| Fines Guidelines 2006 – fines imposed on undertakings as percentage of global turnover (excl. immunity applicants) | | | | | | | | | | | |
|--|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|-------|
| percentage | 0-0.99% | 1-1.99% | 2-2.99% | 3-3.99% | 4-4.99% | 5-5.99% | 6-6.99% | 7-7.99% | 8-8.99% | 9-9.99% | total |
| undertakings fined | 182 | 38 | 21 | 13 | 17 | 10 | 10 | 12 | 4 | 24 | 331 |
| | 54.98% | 11.48% | 6.34% | 3.93% | 5.14% | 3.02% | 3.02% | 3.63% | 1.21% | 7.25% | |



* The percentages of fines imposed on undertakings are considered per cartel infringement. Certain cases may comprise several infringements for which multiple counting of undertakings is considered.